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# Biharmonic equations with improved subcritical polynomial growth and subcritical exponential growth

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# Abstract

The main purpose of this paper is to establish the existence of two nontrivial solutions and the existence of infinitely many solutions for a class of fourth-order elliptic equations with subcritical polynomial growth and subcritical exponential growth by using a suitable version of the mountain pass theorem and the symmetric mountain pass theorem.

**Keywords:** mountain pass theorem; Adams-type inequality; subcritical polynomial growth; subcritical exponential growth

# 1 Introduction

Consider the following Navier boundary value problem:

$$\begin{cases} \triangle^2 u(x) + c \triangle u = f(x, u), & \text{in } \Omega; \\ u = \triangle u = 0, & \text{in } \partial \Omega, \end{cases}$$
(1)

where  $\triangle^2$  is the biharmonic operator and  $\Omega$  is a bounded smooth domain in  $\mathbb{R}^N$  ( $N \ge 4$ ). In problem (1), let  $f(x, u) = b[(u + 1)^+ - 1]$ , then we get the following Dirichlet problem:

$$\begin{cases} \triangle^2 u(x) + c \triangle u = b[(u+1)^+ - 1], & \text{in } \Omega; \\ u = \triangle u = 0, & \text{in } \partial \Omega, \end{cases}$$
(2)

where  $u^+ = \max\{u, 0\}$  and  $b \in \mathbb{R}$ . We let  $\lambda_k$  (k = 1, 2, ...) denote the eigenvalues of  $-\Delta$  in  $H_0^1(\Omega)$ .

Thus, fourth-order problems with N > 4 have been studied by many authors. In [1], Lazer and McKenna pointed out that this type of nonlinearity furnishes a model to study traveling waves in suspension bridges. Since then, more general nonlinear fourth-order elliptic boundary value problems have been studied. For problem (2), Lazer and McKenna [2] proved the existence of 2k - 1 solutions when N = 1, and  $b > \lambda_k(\lambda_k - c)$  by the global bifurcation method. In [3], Tarantello found a negative solution when  $b \ge \lambda_1(\lambda_1 - c)$  by a degree argument. For problem (1) when f(x, u) = bg(x, u), Micheletti and Pistoia [4] proved that there exist two or three solutions for a more general nonlinearity *g* by the variational



© 2014 Pei and Zhang; licensee Springer.. This is an Open Access article distributed under the terms of the Creative Commons Attribution License (http://creativecommons.org/licenses/by/4.0), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly credited. method. Xu and Zhang [5] discussed the problem when f satisfies the local superlinearity and sublinearity. Zhang [6] proved the existence of solutions for a more general nonlinearity f(x, u) under some weaker assumptions. Zhang and Li [7] proved the existence of multiple nontrivial solutions by means of Morse theory and local linking. An and Liu [8] and Liu and Wang [9] also obtained the existence result for nontrivial solutions when f is asymptotically linear at positive infinity.

We noticed that almost all of works (see [4–9]) mentioned above involve the nonlinear term f(x, u) of a subcritical (polynomial) growth, say,

(SCP): there exist positive constants  $c_1$  and  $c_2$  and  $q_0 \in (1, p^* - 1)$  such that

$$|f(x,t)| \le c_1 + c_2 |t|^{q_0}$$
 for all  $t \in \mathbb{R}$  and  $x \in \Omega$ ,

where  $p^* = 2N/(N-4)$  denotes the critical Sobolev exponent. One of the main reasons to assume this condition (SCP) is that they can use the Sobolev compact embedding  $H^2(\Omega) \cap H_0^1(\Omega) \hookrightarrow L^q(\Omega)$  ( $1 \le q < p^*$ ). At that time, it is easy to see that seeking a weak solution of problem (1) is equivalent to finding a nonzero critical points of the following functional on  $H^2(\Omega) \cap H_0^1(\Omega)$ :

$$I(u) = \frac{1}{2} \int_{\Omega} (|\Delta u|^2 - c |\nabla u|^2) \, dx - \int_{\Omega} F(x, u) \, dx, \quad \text{where } F(x, u) = \int_0^u f(x, t) \, dt. \tag{3}$$

In this paper, stimulated by Lam and Lu [10], our first main results will be to study problem (1) in the improved subcritical polynomial growth

(SCPI): 
$$\lim_{t \to \infty} \frac{f(x,t)}{|t|^{p^*-1}} = 0$$

which is much weaker than (SCP). Note that in this case, we do not have the Sobolev compact embedding anymore. Our work is to study problem (1) when nonlinearity *f* does not satisfy the (AR) condition, *i.e.*, for some  $\theta > 2$  and  $\gamma > 0$ ,

$$0 < \theta F(x,t) \le f(x,t)t$$
 for all  $|t| \ge \gamma$  and  $x \in \Omega$ . (AR)

In fact, this condition was studied by Liu and Wang in [11] in the case of Laplacian by the Nehari manifold approach. However, we will use a suitable version of the mountain pass theorem to get the nontrivial solution to problem (1) in the general case N > 4. We will also use the symmetric mountain pass theorem to get infinitely many solutions for problem (1) in the general case N > 4 when nonlinearity f is odd.

Let us now state our results. In this paper, we always assume that  $f(x, t) \in C(\overline{\Omega} \times \mathbb{R})$ . The conditions imposed on f(x, t) are as follows:

- (H<sub>1</sub>)  $f(x, t)t \ge 0$  for all  $x \in \Omega$ ,  $t \in \mathbb{R}$ ;
- (H<sub>2</sub>)  $\lim_{|t|\to 0} \frac{f(x,t)}{t} = f_0$  uniformly for  $x \in \Omega$ , where  $f_0$  is a constant;
- (H<sub>3</sub>)  $\lim_{|t|\to\infty} \frac{f(x,t)}{t} = +\infty$  uniformly for  $x \in \Omega$ ;
- (H<sub>4</sub>)  $\frac{f(x,t)}{|t|}$  is nondecreasing in  $t \in \mathbb{R}$  for any  $x \in \Omega$ .

Let  $0 < \mu_1 < \mu_2 < \cdots < \mu_k < \cdots$  be the eigenvalues of  $(\triangle^2 - c\triangle, H^2(\Omega) \cap H_0^1(\Omega))$  and  $\varphi_1(x) > 0$  be the eigenfunction corresponding to  $\mu_1$ . Let  $E_{\mu_k}$  denote the eigenspace associated to  $\mu_k$ . In fact,  $\mu_k = \lambda_k(\lambda_k - c)$ . Throughout this paper, we denote by  $|\cdot|_p$  the  $L^p(\Omega)$ 

norm,  $c < \lambda_1$  in  $\triangle^2 - c \triangle$  and the norm of u in  $H^2(\Omega) \cap H^1_0(\Omega)$  will be defined by

$$\|u\| \coloneqq \left(\int_{\Omega} \left(|\Delta u|^2 - c|\nabla u|^2\right) dx\right)^{\frac{1}{2}}.$$

We also define  $E = H^2(\Omega) \cap H^1_0(\Omega)$ .

**Theorem 1.1** Let N > 4 and assume that f has the improved subcritical polynomial growth on  $\Omega$  (condition (SCPI)) and satisfies (H<sub>1</sub>)-(H<sub>4</sub>). If  $f_0 < \mu_1$ , then problem (1) has at least two nontrivial solutions.

**Theorem 1.2** Let N > 4 and assume that f has the improved subcritical polynomial growth on  $\Omega$  (condition (SCPI)), is odd in t and satisfies (H<sub>3</sub>) and (H<sub>4</sub>). If f(x, 0) = 0, then problem (1) has infinitely many nontrivial solutions.

In the case of N = 4, we have  $p^* = +\infty$ . So it is necessary to introduce the definition of the subcritical (exponential) growth in this case. By the improved Adams inequality (see [12]) for the fourth-order derivative, namely,

$$\sup_{u\in E, \|u\|\leq 1}\int_{\Omega}e^{32\pi^2u^2}\,dx\leq C|\Omega|.$$

So, we now define the subcritical (exponential) growth in this case as follows:

(SCE): *f* has subcritical (exponential) growth on  $\Omega$ , *i.e.*,  $\lim_{t\to\infty} \frac{|f(x,t)|}{\exp(\alpha t^2)} = 0$  uniformly on  $x \in \Omega$  for all  $\alpha > 0$ .

When N = 4 and f has the subcritical (exponential) growth (SCE), our work is still to study problem (1) without the (AR) condition. Our results are as follows.

**Theorem 1.3** Let N = 4 and assume that f has the subcritical exponential growth on  $\Omega$  (condition (SCE)) and satisfies (H<sub>1</sub>)-(H<sub>4</sub>). If  $f_0 < \mu_1$ , then problem (1) has at least two non-trivial solutions.

**Theorem 1.4** Let N = 4 and assume that f has the subcritical exponential growth on  $\Omega$  (condition (SCE)), is odd in t and satisfies (H<sub>3</sub>) and (H<sub>4</sub>). If f(x, 0) = 0, then problem (1) has infinitely many nontrivial solutions.

## 2 Preliminaries and auxiliary lemmas

**Definition 2.1** Let  $(E, \|\cdot\|_E)$  be a real Banach space with its dual space  $(E^*, \|\cdot\|_{E^*})$  and  $I \in C^1(E, \mathbb{R})$ . For  $c^* \in \mathbb{R}$ , we say that I satisfies the  $(PS)_{c^*}$  condition if for any sequence  $\{x_n\} \subset E$  with

 $I(x_n) \to c^*$ ,  $DI(x_n) \to 0$  in  $E^*$ ,

there is a subsequence  $\{x_{n_k}\}$  such that  $\{x_{n_k}\}$  converges strongly in *E*. Also, we say that *I* satisfies the  $(C)_{c^*}$  condition if for any sequence  $\{x_n\} \subset E$  with

$$I(x_n) \to c^*$$
,  $\|DI(x_n)\|_{E^*}(1 + \|x_n\|_E) \to 0$ ,

there is a subsequence  $\{x_{n_k}\}$  such that  $\{x_{n_k}\}$  converges strongly in *E*.

We have the following version of the mountain pass theorem (see [13]).

**Proposition 2.1** Let *E* be a real Banach space and suppose that  $I \in C^1(E, R)$  satisfies the condition

$$\max\{I(0), I(u_1)\} \le \alpha < \beta \le \inf_{\|u\|=\rho} I(u)$$

for some  $\alpha < \beta$ ,  $\rho > 0$  and  $u_1 \in E$  with  $||u_1|| > \rho$ . Let  $c^* \ge \beta$  be characterized by

$$c^* = \inf_{\gamma \in \Gamma} \max_{0 \le t \le 1} I(\gamma(t)),$$

where  $\Gamma = \{\gamma \in C([0,1], E), \gamma(0) = 0, \gamma(1) = u_1\}$  is the set of continuous paths joining 0 and  $u_1$ . Then there exists a sequence  $\{u_n\} \subset E$  such that

$$I(u_n) \to c^* \ge \beta$$
 and  $(1 + ||u_n||) ||I'(u_n)||_{E^*} \to 0$  as  $n \to \infty$ .

Consider the following problem:

$$\begin{cases} \triangle^2 u + c \triangle u = f_+(x, u), \quad x \in \Omega, \\ u|_{\partial\Omega} = \triangle u|_{\partial\Omega} = 0, \end{cases}$$

where

$$f_{+}(x,t) = \begin{cases} f(x,t), & t > 0, \\ 0, & t \le 0. \end{cases}$$

Define a functional  $I_+: E \to \mathbb{R}$  by

$$I_+(u)=\frac{1}{2}\int_{\Omega}\left(|\bigtriangleup u|^2-c|\nabla u|^2\right)dx-\int_{\Omega}F_+(x,u)\,dx,$$

where  $F_+(x,t) = \int_0^t f_+(x,s) \, ds$ , then  $I_+ \in C^1(E,\mathbb{R})$ .

**Lemma 2.1** Let N > 4 and  $\varphi_1 > 0$  be a  $\mu_1$ -eigenfunction with  $||\varphi_1|| = 1$  and assume that (H<sub>2</sub>), (H<sub>3</sub>) and (SCPI) hold. If  $f_0 < \mu_1$ , then:

- (i) There exist  $\rho, \alpha > 0$  such that  $I_+(u) \ge \alpha$  for all  $u \in E$  with  $||u|| = \rho$ .
- (ii)  $I_+(t\varphi_1) \to -\infty \text{ as } t \to +\infty.$

*Proof* By (SCPI), (H<sub>2</sub>) and (H<sub>3</sub>), for any  $\varepsilon > 0$ , there exist  $A_1 = A_1(\varepsilon)$ ,  $B_1 = B_1(\varepsilon)$  and  $l > 2\mu_1$  such that for all  $(x, s) \in \Omega \times \mathbb{R}$ ,

$$F_{+}(x,s) \leq \frac{1}{2}(f_{0} + \varepsilon)s^{2} + A_{1}s^{p^{*}},$$
(4)

$$F_{+}(x,s) \ge \frac{1}{2}ls^{2} - B_{1}.$$
(5)

Choose  $\varepsilon > 0$  such that  $(f_0 + \varepsilon) < \mu_1$ . By (4), the Poincaré inequality and the Sobolev inequality  $|u|_{p^*}^{p^*} \le K ||u||^{p^*}$ , we get

$$I_{+}(u) \geq \frac{1}{2} \|u\|^{2} - \frac{f_{0} + \varepsilon}{2} |u|_{2}^{2} - A_{1} |u|_{p^{*}}^{p^{*}} \geq \frac{1}{2} \left(1 - \frac{f_{0} + \varepsilon}{\mu_{1}}\right) \|u\|^{2} - A_{1} K \|u\|^{p^{*}}.$$

So, part (i) is proved if we choose  $||u|| = \rho > 0$  small enough.

On the other hand, from (5) we have

$$I_+(t\varphi_1) \leq \frac{1}{2}\left(1-\frac{l}{\mu_1}\right)t^2 + B_1|\Omega| \to -\infty \quad \text{as } t \to -\infty.$$

Thus part (ii) is proved.

**Lemma 2.2** (see [12]) Let  $\Omega \subset \mathbb{R}^4$  be a bounded domain. Then there exists a constant C > 0 such that

$$\sup_{u\in E, \|u\|\leq 1}\int_{\Omega}e^{32\pi^2u^2}\,dx\leq C|\Omega|,$$

and this inequality is sharp.

**Lemma 2.3** Let N = 4 and  $\varphi_1 > 0$  be a  $\mu_1$ -eigenfunction with  $\|\varphi_1\| = 1$  and assume that (H<sub>2</sub>), (H<sub>3</sub>) and (SCE) hold. If  $f_0 < \mu_1$ , then:

- (i) There exist  $\rho, \alpha > 0$  such that  $I_+(u) \ge \alpha$  for all  $u \in E$  with  $||u|| = \rho$ .
- (ii)  $I_+(t\varphi_1) \to -\infty \text{ as } t \to +\infty.$

*Proof* By (SCE), (H<sub>2</sub>) and (H<sub>3</sub>), for any  $\varepsilon > 0$ , there exist  $A_1 = A_1(\varepsilon)$ ,  $B_1 = B_1(\varepsilon)$ ,  $\kappa > 0$ , q > 2 and  $l > 2\mu_1$  such that for all  $(x, s) \in \Omega \times \mathbb{R}$ ,

$$F_{+}(x,s) \leq \frac{1}{2}(f_{0}+\varepsilon)s^{2} + A_{1}\exp(\kappa|s|^{2})s^{q},$$
(6)

$$F_{+}(x,s) \ge \frac{1}{2}ls^{2} - B_{1}.$$
(7)

Choose  $\varepsilon > 0$  such that  $(f_0 + \varepsilon) < \mu_1$ . By (6), the Holder inequality and Lemma 2.2, we get

$$\begin{split} I_{+}(u) &\geq \frac{1}{2} \|u\|^{2} - \frac{f_{0} + \varepsilon}{2} \|u\|_{2}^{2} - A_{1} \int_{\Omega} \exp(\kappa |u|^{2}) |u|^{q} dx \\ &\geq \frac{1}{2} \left( 1 - \frac{f_{0} + \varepsilon}{\mu_{1}} \right) \|u\|^{2} - A_{1} \left( \int_{\Omega} \exp\left(\kappa r \|u\|^{2} \left( \frac{|u|}{\|u\|} \right)^{2} \right) dx \right)^{\frac{1}{r}} \left( \int_{\Omega} |u|^{r'q} dx \right)^{\frac{1}{r'}} \\ &\geq \frac{1}{2} \left( 1 - \frac{f_{0} + \varepsilon}{\mu_{1}} \right) \|u\|^{2} - C \|u\|^{q}, \end{split}$$

where r > 1 is sufficiently close to 1,  $||u|| \le \sigma$  and  $\kappa r \sigma^2 < 32\pi^2$ . So, part (i) is proved if we choose  $||u|| = \rho > 0$  small enough.

On the other hand, from (7) we have

$$I_+(t\varphi_1) \leq \frac{1}{2}\left(1-\frac{l}{\mu_1}\right)|t|^2 + B_1|\Omega| \to -\infty \quad \text{as } t \to -\infty.$$

Thus part (ii) is proved.

**Lemma 2.4** For the functional I defined by (3), if condition  $(H_4)$  holds, and for any  $\{u_n\} \in E$  with

$$\langle I'(u_n), u_n \rangle \to 0 \quad as \ n \to \infty,$$

then there is a subsequence, still denoted by  $\{u_n\}$ , such that

$$I(tu_n) \leq \frac{1+t^2}{2n} + I(u_n)$$
 for all  $t \in \mathbb{R}$  and  $n \in N$ .

*Proof* This lemma is essentially due to [14]. We omit it here.

# 3 Proofs of the main results

*Proof of Theorem* 1.1 By Lemma 2.1 and Proposition 2.1, there exists a sequence  $\{u_n\} \subset E$  such that

$$I_{+}(u_{n}) = \frac{1}{2} \|u_{n}\|^{2} - \int_{\Omega} F_{+}(x, u_{n}) dx = c^{*} + o(1),$$
(8)

$$\left(1+\|u_n\|\right)\left\|I'_+(u_n)\right\|_E \to 0 \quad \text{as } n \to \infty.$$
(9)

Clearly, (9) implies that

$$\langle I'_{+}(u_{n}), u_{n} \rangle = ||u_{n}||^{2} - \int_{\Omega} f_{+}(x, u_{n}(x)) u_{n} dx = o(1).$$
<sup>(10)</sup>

To complete our proof, we first need to verify that  $\{u_n\}$  is bounded in *E*. Assume  $||u_n|| \rightarrow +\infty$  as  $n \rightarrow \infty$ . Let

$$s_n = \frac{2\sqrt{c^*}}{\|u_n\|}, \qquad w_n = s_n u_n = \frac{2\sqrt{c^*}u_n}{\|u_n\|}.$$
(11)

Since  $\{w_n\}$  is bounded in *E*, it is possible to extract a subsequence (denoted also by  $\{w_n\}$ ) such that

$$w_n \rightarrow w_0 \quad \text{in } E,$$
  

$$w_n^+ \rightarrow w_0^+ \quad \text{in } L^2(\Omega),$$
  

$$w_n^+(x) \rightarrow w_0^+(x) \quad \text{a.e. } x \in \Omega,$$
  

$$|w_n^+(x)| \le h(x) \quad \text{a.e. } x \in \Omega,$$

where  $w_n^+ = \max\{w_n, 0\}, w_0 \in E \text{ and } h \in L^2(\Omega)$ .

We claim that if  $||u_n|| \to +\infty$  as  $n \to +\infty$ , then  $w^+(x) \equiv 0$ . In fact, we set  $\Omega_1 = \{x \in \Omega : w^+ = 0\}$ ,  $\Omega_2 = \{x \in \Omega : w^+ > 0\}$ . Obviously, by (11),  $u_n^+ \to +\infty$  a.e. in  $\Omega_2$ , noticing condition (H<sub>3</sub>), then for any given K > 0, we have

$$\lim_{n \to +\infty} \frac{f(x, u_n^+)}{u_n^+} (w_n^+(x))^2 \ge K w^+(x)^2 \quad \text{for a.e. } x \in \Omega_2.$$
(12)

From (10), (11) and (12), we obtain

$$4c^* = \lim_{n \to +\infty} \|w_n\|^2 = \lim_{n \to +\infty} \int_{\Omega} \frac{f(x, u_n^+)}{u_n^+} (w_n^+)^2 dx$$
  
$$\geq \int_{\Omega_2} \lim_{n \to +\infty} \frac{f(x, u_n^+)}{u_n^+} (w_n^+)^2 dx \geq K \int_{\Omega_2} (w^+)^2 dx.$$

Noticing that  $w^+ > 0$  in  $\Omega_2$  and K > 0 can be chosen large enough, so  $|\Omega_2| = 0$  and  $w^+ \equiv 0$  in  $\Omega$ . However, if  $w^+ \equiv 0$ , then  $\lim_{n \to +\infty} \int_{\Omega} F(x, w_n^+) dx = 0$  and consequently

$$I_{+}(w_{n}) = \frac{1}{2} \|w_{n}\|^{2} + o(1) = 2c^{*} + o(1).$$
(13)

By  $||u_n|| \to +\infty$  as  $n \to +\infty$  and in view of (11), we observe that  $s_n \to 0$ , then it follows from Lemma 2.4 and (8) that

$$I_{+}(w_{n}) = I_{+}(s_{n}u_{n}) \le \frac{1+s_{n}^{2}}{2n} + I_{+}(u_{n}) \to c^{*} > 0 \quad \text{as } n \to +\infty.$$
(14)

Clearly, (13) and (14) are contradictory. So  $\{u_n\}$  is bounded in *E*.

Next, we prove that  $\{u_n\}$  has a convergence subsequence. In fact, we can suppose that

$$u_n \rightarrow u$$
 in  $E$ ,  
 $u_n \rightarrow u$  in  $L^q(\Omega), \forall 1 \le q < p^*,$   
 $u_n(x) \rightarrow u(x)$  a.e.  $x \in \Omega$ .

Now, since *f* has the improved subcritical growth on  $\Omega$ , for every  $\varepsilon > 0$ , we can find a constant  $C(\varepsilon) > 0$  such that

$$f_+(x,s) \leq C(\varepsilon) + \varepsilon |s|^{p^*-1}, \quad \forall (x,s) \in \Omega \times \mathbb{R},$$

then

$$\begin{split} \left| \int_{\Omega} f_{+}(x, u_{n})(u_{n} - u) \, dx \right| \\ &\leq C(\varepsilon) \int_{\Omega} |u_{n} - u| \, dx + \varepsilon \int_{\Omega} |u_{n} - u| |u_{n}|^{p^{*}-1} \, dx \\ &\leq C(\varepsilon) \int_{\Omega} |u_{n} - u| \, dx + \varepsilon \Big( \int_{\Omega} \big( |u_{n}|^{p^{*}-1} \big)^{\frac{p^{*}}{p^{*}-1}} \, dx \Big)^{\frac{p^{*}-1}{p^{*}}} \Big( \int_{\Omega} |u_{n} - u|^{p^{*}} \Big)^{\frac{1}{p^{*}}} \\ &\leq C(\varepsilon) \int_{\Omega} |u_{n} - u| \, dx + \varepsilon C(\Omega). \end{split}$$

Similarly, since  $u_n \rightharpoonup u$  in E,  $\int_{\Omega} |u_n - u| dx \rightarrow 0$ . Since  $\varepsilon > 0$  is arbitrary, we can conclude that

$$\int_{\Omega} (f_+(x,u_n) - f_+(x,u))(u_n - u) \, dx \to 0 \quad \text{as } n \to \infty.$$
(15)

By (10), we have

$$\left\langle I'_{+}(u_{n}) - I'_{+}(u), (u_{n} - u) \right\rangle \to 0 \quad \text{as } n \to \infty.$$
(16)

From (15) and (16), we obtain

$$\int_{\Omega} \left[ \left| \triangle (u_n - u) \right|^2 - c \left| \nabla (u_n - u) \right|^2 \right] dx \to 0 \quad \text{as } n \to \infty.$$

So we have  $u_n \to u$  in E which means that  $I_+$  satisfies  $(C)_{c^*}$ . Thus, from the strong maximum principle, we obtain that the functional  $I_+$  has a positive critical point  $u_1$ , *i.e.*,  $u_1$ 

is a positive solution of problem (1). Similarly, we also obtain a negative solution  $u_2$  for problem (1).

*Proof of Theorem* 1.2 It follows from the assumptions that *I* is even. Obviously,  $I \in C^1(E, \mathbb{R})$  and I(0) = 0. By the proof of Theorem 1.1, we easily prove that I(u) satisfies condition  $(C)_{c^*}$   $(c^* > 0)$ . Now, we can prove the theorem by using the symmetric mountain pass theorem in [15–17].

Step 1. We claim that condition (i) holds in Theorem 9.12 (see [16]). Let  $V_1 = E_{\mu_1} \oplus E_{\mu_2} \oplus \cdots \oplus E_{\mu_k}$ ,  $V_2 = E \setminus V_1$ . For all  $u \in V_2$ , by (SCPI), we have

$$\begin{split} I(u) &= \frac{1}{2} \int_{\Omega} \left( |\Delta u|^2 - c |\nabla u|^2 \right) dx - \int_{\Omega} F(x, u) \, dx \\ &\geq \frac{1}{2} \int_{\Omega} \left( |\Delta u|^2 - c |\nabla u|^2 \right) dx - c_3 \int_{\Omega} |u|^{p^*} \, dx - c_4 \\ &\geq \|u\|^2 \left( \frac{1}{2} - c_5 \lambda_{k+1}^{-(1-a)p^*/2} \|u\|^{p^*-2} \right) - c_6, \end{split}$$

where  $a \in (0, 1)$  is defined by

$$\frac{1}{p^*} = a\left(\frac{1}{2} - \frac{1}{N}\right) + (1 - a)\frac{1}{2}.$$

Choose  $\rho = \rho(k) = ||u||$  so that the coefficient of  $\rho^2$  in the above formula is  $\frac{1}{4}$ . Therefore

$$I(u) \ge \frac{1}{4}\rho^2 - c_6 \tag{17}$$

for  $u \in \partial B_{\rho} \cap V_2$ . Since  $\lambda_k \to \infty$  as  $k \to \infty$ ,  $\rho(k) \to \infty$  as  $k \to \infty$ . Choose k so that  $\frac{1}{4}\rho^2 > 2c_6$ . Consequently

$$I(u) \ge \frac{1}{8}\rho^2 \equiv \alpha.$$
<sup>(18)</sup>

Hence, our claim holds.

Step 2. We claim that condition (ii) holds in Theorem 9.12 (see [16]). By  $(H_3)$ , there exists large enough M such that

$$F(x,t) \ge Mt^2 - c_7, \quad x \in \Omega, t \in \mathbb{R}.$$

So, for any  $u \in E \setminus \{0\}$ , we have

$$I(tu) = \frac{1}{2}t^2 \int_{\Omega} (|\Delta u|^2 - c|\nabla u|^2) dx - \int_{\Omega} F(x, tu) dx$$
  
$$\leq \frac{1}{2}t^2 ||u||^2 - Mt^2 \int_{\Omega} u^2 dx + c_7 |\Omega| \to -\infty \quad \text{as } t \to +\infty$$

Hence, for every finite dimension subspace  $\tilde{E} \subset E$ , there exists  $R = R(\tilde{E})$  such that

$$I(u) \leq 0, \quad u \in \tilde{E} \setminus B_R(\tilde{E})$$

and our claim holds.

*Proof of Theorem* 1.3 By Lemma 2.3, the geometry conditions of the mountain pass theorem (see Proposition 2.1) for the functional  $I_+$  hold. So, we only need to verify condition  $(C)_{c^*}$ . Similar to the previous part of the proof of Theorem 1.1, we easily know that  $(C)_{c^*}$ sequence  $\{u_n\}$  is bounded in *E*. Next, we prove that  $\{u_n\}$  has a convergence subsequence. Without loss of generality, suppose that

$$\|u_n\| \le \beta,$$
  
 $u_n \to u \quad \text{in } E,$   
 $u_n \to u \quad \text{in } L^q(\Omega), \forall q \ge 1,$   
 $u_n(x) \to u(x) \quad \text{a.e. } x \in \Omega.$ 

Now, since  $f_+$  has the subcritical exponential growth (SCE) on  $\Omega$ , we can find a constant  $C_\beta > 0$  such that

$$\left|f_{+}(x,t)\right| \leq C_{\beta} \exp\left(\frac{32\pi^{2}}{2\beta^{2}}|t|^{2}\right), \quad \forall (x,t) \in \Omega \times \mathbb{R}.$$

Thus, by the Adams-type inequality (see Lemma 2.2),

$$\begin{split} \left| \int_{\Omega} f_{+}(x, u_{n})(u_{n} - u) \, dx \right| \\ &\leq C \bigg( \int_{\Omega} \exp \bigg( \frac{32\pi^{2}}{\beta^{2}} |u_{n}|^{2} \bigg) \, dx \bigg)^{\frac{1}{2}} |u_{n} - u|_{2} \\ &\leq C \bigg( \int_{\Omega} \exp \bigg( \frac{32\pi^{2}}{\beta^{2}} ||u_{n}||^{2} \bigg| \frac{u_{n}}{||u_{n}||} \bigg|^{2} \bigg) \, dx \bigg)^{\frac{1}{2}} |u_{n} - u|_{2} \\ &\leq C |u_{n} - u|_{2} \to 0. \end{split}$$

Similar to the last proof of Theorem 1.1, we have  $u_n \to u$  in E, which means that  $I_+$  satisfies  $(C)_{c^*}$ . Thus, from the strong maximum principle, we obtain that the functional  $I_+$  has a positive critical point  $u_1$ , *i.e.*,  $u_1$  is a positive solution of problem (1). Similarly, we also obtain a negative solution  $u_2$  for problem (1).

*Proof of Theorem* 1.4 Combining the proof of Theorem 1.2 and Theorem 1.3, we easily prove it.  $\Box$ 

### **Competing interests**

The authors declare that they have no competing interests.

### Authors' contributions

The authors read and approved the final manuscript.

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