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On semilinear biharmonic equations with concave-convex nonlinearities involving weight functions

Lu Yang^{1,2*} and Xuan Wang³

*Correspondence:
yanglu@lzu.edu.cn

¹School of Mathematics and
Statistics, Lanzhou University,
Lanzhou, Gansu 730000, P.R. China

²Key Laboratory of Applied
Mathematics and Complex Systems,
Lanzhou University, Lanzhou, Gansu
730000, P.R. China

Full list of author information is
available at the end of the article

Abstract

In this paper, we consider semilinear biharmonic equations with concave-convex nonlinearities involving weight functions, where the concave nonlinear term is $\lambda f(x)|u|^{q-1}u$ and the convex nonlinear term is $h(x)|u|^{p-1}u$ with $\lambda \in \mathbb{R}^+$. By use of the Nehari manifold and the direct variational methods, the existence of multiple positive solutions is established as $\lambda \in (0, \lambda_*)$, here the explicit expression of $\lambda_* = \lambda_*(f, h, p, q, S)$ is provided.

MSC: 35J35; 35J40; 35J65

Keywords: biharmonic equations; concave-convex nonlinearities; weight functions

1 Introduction

In recent years, there has been extensive attention on semilinear second-order elliptic equations,

$$\begin{cases} -\Delta u = g_\lambda(x, u), & \text{in } \Omega, \\ u = 0, & \text{on } \partial\Omega, \end{cases} \quad (1.1)$$

here Ω is a bounded smooth domain in \mathbb{R}^N ($N \geq 3$), $g_\lambda : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$ and λ is a positive parameter; see [1–8] and the references therein. As g_λ is sublinear, say, $g_\lambda = \lambda u^q$, $0 < q < 1$, the monotone iteration scheme or the method of sub-solutions and super-solutions are effective; see [9]. As g_λ is superlinear, for example, $g_\lambda = \lambda u + |u|^{p-1}u$, $1 < p < \frac{N+2}{N-2}$, variational methods are applicable; see [10]. In contrast with the pure sublinear case and the pure superlinear case, in [2] Ambrosetti *et al.* considered problem (1.1) when g_λ is, roughly, the sum of a sublinear and a superlinear term. To be precise, they considered the following problem:

$$\begin{cases} -\Delta u = \lambda u^q + u^p, & \text{in } \Omega, \\ 0 \leq u \in H_0^1(\Omega), \end{cases} \quad (1.2)$$

with $0 < q < 1 < p \leq \frac{N+2}{N-2}$. They proved that problem (1.2) admits at least two positive solutions for λ sufficiently small. In [6], Sun and Li considered a similar problem:

$$\begin{cases} -\Delta u = u^q + \lambda u^p, & \text{in } \Omega, \\ 0 \leq u \in H_0^1(\Omega), \end{cases}$$

with $0 < q < 1 < p = \frac{N+2}{N-2}$, the authors studied the value of Λ , the supremum of the set λ , related to the existence and multiplicity of positive solutions and established uniform lower bounds for Λ . In [8], Wu considered the subcritical case of problem (1.2) with λu^q replaced by $\lambda f(x)u^q$, here $f(x) \in C(\overline{\Omega})$ is a sign-changing function, and he showed that problem (1.2) has at least two positive solutions as λ is small enough.

Some interesting generalizations of (1.2) have been provided in the framework of quasi-linear elliptic equations or systems, semilinear second-order elliptic systems or fourth-order elliptic equations. More recently, the semilinear fourth-order elliptic equations have been studied by many authors, we refer the reader to [11–13] and the references therein. Motivated by some work in [6, 8, 13], we deal with the following semilinear biharmonic elliptic equation:

$$\begin{cases} \Delta^2 u = \lambda f(x)|u|^{q-1}u + h(x)|u|^{p-1}u, & \text{in } \Omega, \\ u = \Delta u = 0, & \text{on } \partial\Omega, \end{cases} \quad (1.3)$$

where Ω is a bounded smooth domain in \mathbb{R}^N ($N \geq 4$), $0 < q < 1 < p < 2^{**}$ ($2^{**} = \frac{N+4}{N-4}$ for $N > 4$ and $2^{**} = \infty$ for $N = 4$), $\lambda > 0$ is a parameter, $f \in C(\overline{\Omega})$ is a positive or sign-changing weight function and $h \in C(\overline{\Omega})$ is a positive weight function.

For convenience and simplicity, we introduce some notations. The norm of u in $L^r(\Omega)$ is denoted by $\|u\|_r = (\int_{\Omega} |u(x)|^r dx)^{1/r}$, the norm of u in $C(\overline{\Omega})$ is denoted by $\|u\|_{\infty} = \max_{x \in \overline{\Omega}} |u(x)|$; $H_0^1(\Omega) \cap H^2(\Omega)$ is denoted by $H(\Omega)$, endowed with the norm $\|u\| = \|\Delta u\|_2$; S denotes the best Sobolev constant for the embedding of $H(\Omega)$ in $L^{p+1}(\Omega)$ (see [14]); to be precise, $\|u\|_{p+1} \leq S\|u\|$ for all $u \in H(\Omega)$.

Now we define

$$J_{\lambda}(u) = \frac{1}{2}\|u\|^2 - \frac{\lambda}{q+1} \int_{\Omega} f(x)|u|^{q+1} dx - \frac{1}{p+1} \int_{\Omega} h(x)|u|^{p+1} dx, \quad u \in H(\Omega).$$

It is well known that the weak solutions of problem (1.3) are the critical points of the energy functional J_{λ} (see Rabinowitz [15]).

Next, we consider the Nehari minimization problem: for $\lambda > 0$,

$$\alpha_{\lambda}(\Omega) = \inf\{J_{\lambda}(u) \mid u \in M_{\lambda}(\Omega)\},$$

where $M_{\lambda}(\Omega) = \{u \in H(\Omega) \setminus \{0\} \mid \langle J'_{\lambda}(u), u \rangle = 0\}$. Define

$$\psi_{\lambda}(u) = \langle J'_{\lambda}(u), u \rangle = \|u\|^2 - \lambda \int_{\Omega} f(x)|u|^{q+1} dx - \int_{\Omega} h(x)|u|^{p+1} dx.$$

Then for $u \in M_{\lambda}(\Omega)$,

$$\langle \psi'_{\lambda}(u), u \rangle = 2\|u\|^2 - \lambda(q+1) \int_{\Omega} f(x)|u|^{q+1} dx - (p+1) \int_{\Omega} h(x)|u|^{p+1} dx.$$

Similarly to the method used in Tarantello [16], we split $M_{\lambda}(\Omega)$ into three parts:

$$M_{\lambda}^{+}(\Omega) = \{u \in M_{\lambda}(\Omega) \mid \langle \psi'_{\lambda}(u), u \rangle > 0\},$$

$$M_{\lambda}^0(\Omega) = \{u \in M_{\lambda}(\Omega) \mid \langle \psi'_{\lambda}(u), u \rangle = 0\},$$

$$M_{\lambda}^{-}(\Omega) = \{u \in M_{\lambda}(\Omega) \mid \langle \psi'_{\lambda}(u), u \rangle < 0\}.$$

Note that all solutions of (1.3) are clearly in the Nehari manifold, $M_\lambda(\Omega)$. Hence, our approach to solve problem (1.3) is to analyze the structure of $M_\lambda(\Omega)$, and then to deal with the minimization problems for J_λ on $M_\lambda^+(\Omega)$ and $M_\lambda^-(\Omega)$ applying the direct variational method.

The following is our main result.

Theorem 1.1 *Let $\lambda_* = \frac{p-1}{p-q} \cdot \left[\frac{1-q}{(p-q)|h|_\infty} \right]^{\frac{1-q}{p-1}} S^{-\frac{2(p-q)}{1-p}} |f|_{p^*}^{-1}$ with $p^* = \frac{p+1}{p-q}$, then problem (1.3) has at least two positive solutions for any $\lambda \in (0, \lambda_*)$.*

The paper is organized as follows: in Section 2, we give some lemmas; in Section 3, we prove Theorem 1.1.

2 Preliminaries

In this section, we prove several lemmas.

Lemma 2.1 *For $\lambda \in (0, \lambda_*)$ (where λ_* is given in Theorem 1.1), we have $M_\lambda^0(\Omega) = \emptyset$.*

Proof Suppose that $M_\lambda^0(\Omega) \neq \emptyset$ for all $\lambda > 0$. If $u \in M_\lambda^0(\Omega)$, then we have

$$\|u\|^2 = \lambda \int_\Omega f(x)|u|^{q+1} dx + \int_\Omega h(x)|u|^{p+1} dx \tag{2.1}$$

and

$$2\|u\|^2 = \lambda(q+1) \int_\Omega f(x)|u|^{q+1} dx + (p+1) \int_\Omega h(x)|u|^{p+1} dx. \tag{2.2}$$

By (2.1)-(2.2), the Sobolev inequality, and the Hölder inequality, we get

$$\|u\|^2 = \frac{p-q}{1-q} \int_\Omega h(x)|u|^{p+1} dx \leq \frac{p-q}{1-q} |h|_\infty S^{p+1} \|u\|^{p+1} \tag{2.3}$$

and

$$\|u\|^2 = \lambda \cdot \frac{p-q}{p-1} \int_\Omega f(x)|u|^{q+1} dx \leq \lambda \cdot \frac{p-q}{p-1} |f|_{p^*} S^{q+1} \|u\|^{q+1}, \tag{2.4}$$

where $p^* = \frac{p+1}{p-q}$. Thus, using (2.3) and (2.4), we have

$$\begin{aligned} \lambda &\geq \frac{p-1}{p-q} \cdot |f|_{p^*}^{-1} S^{-(q+1)} \left[\frac{1-q}{p-q} |h|_\infty^{-1} S^{-(p+1)} \right]^{\frac{1-q}{p-1}} \\ &= \frac{p-1}{p-q} \cdot \left[\frac{1-q}{(p-q)|h|_\infty} \right]^{\frac{1-q}{p-1}} S^{\frac{2(p-q)}{1-p}} |f|_{p^*}^{-1} = \lambda_*. \end{aligned} \tag{2.5}$$

Hence, by (2.5) the desired conclusion yields. □

Lemma 2.2 *If $u \in M_\lambda^-(\Omega)$, then*

$$\|u\| > S^{\frac{1+p}{1-p}} \left[\frac{1-q}{(p-q)|h|_\infty} \right]^{\frac{1}{p-1}} \quad \text{and} \quad \int_\Omega h(x)|u|^{p+1} dx > |h|_\infty^{\frac{2}{1-p}} \left[\frac{(p-q)S^2}{1-q} \right]^{\frac{1+p}{1-p}}.$$

Proof From $u \in M_\lambda^-(\Omega)$, it is easy to see that

$$\|u\|^2 < \frac{p-q}{1-q} \int_\Omega h(x)|u|^{p+1} dx.$$

By the Sobolev inequality, we get

$$\|u\| > S^{\frac{1+p}{1-p}} \left[\frac{1-q}{(p-q)|h|_\infty} \right]^{\frac{1}{p-1}}.$$

In addition,

$$\int_\Omega h(x)|u|^{p+1} dx > |h|_\infty^{\frac{2}{1-p}} \left[\frac{(p-q)S^2}{1-q} \right]^{\frac{1+p}{1-p}}.$$

The proof is completed. □

By Lemma 2.1, for $\lambda \in (0, \lambda_*)$ we write $M_\lambda(\Omega) = M_\lambda^+(\Omega) \cup M_\lambda^-(\Omega)$ and define

$$\alpha_\lambda^+(\Omega) = \inf_{u \in M_\lambda^+(\Omega)} J_\lambda(u), \quad \alpha_\lambda^-(\Omega) = \inf_{u \in M_\lambda^-(\Omega)} J_\lambda(u).$$

The following lemma shows that the minimizers on $M_\lambda(\Omega)$ are ‘usually’ critical points for J_λ .

Lemma 2.3 *For $\lambda \in (0, \lambda_*)$, if u_0 is a local minimizer for J_λ on $M_\lambda(\Omega)$, then $J'_\lambda(u_0) = 0$ in $[H(\Omega)]^*$.*

Proof If u_0 is a local minimizer for J_λ on $M_\lambda(\Omega)$, then u_0 is a solution of the optimization problem

$$\text{minimize } J_\lambda(u) \quad \text{subject to } \psi_\lambda(u) = 0.$$

Hence, by the theory of Lagrange multipliers, there exists $\theta \in \mathbb{R}$ such that

$$J'_\lambda(u_0) = \theta \psi'_\lambda(u_0) \quad \text{in } [H(\Omega)]^*. \tag{2.6}$$

Thus,

$$\langle J'_\lambda(u_0), u_0 \rangle = \theta \langle \psi'_\lambda(u_0), u_0 \rangle. \tag{2.7}$$

From $u_0 \in M_\lambda(\Omega)$ and Lemma 2.1, we have $\langle J'_\lambda(u_0), u_0 \rangle = 0$ and $\langle \psi'_\lambda(u_0), u_0 \rangle \neq 0$. So, by (2.6)-(2.7) we get $J'_\lambda(u_0) = 0$ in $[H(\Omega)]^*$. □

For each $u \in H(\Omega) \setminus \{0\}$, we write

$$t_{\max} = \left(\frac{(1-q)\|u\|^2}{(p-q) \int_\Omega h(x)|u|^{p+1} dx} \right)^{\frac{1}{p-1}} > 0.$$

Then we have the following lemma.

Lemma 2.4 For each $u \in H(\Omega) \setminus \{0\}$ and $\lambda \in (0, \lambda_*)$, we have

- (i) there is a unique $t^- = t^-(u) > t_{\max} > 0$ such that $t^-(u)u \in M_\lambda^-(\Omega)$ and $J_\lambda(t^-(u)u) = \max_{t \geq 0} J_\lambda(tu)$;
- (ii) $t^-(u)$ is a continuous function for nonzero u ;
- (iii) $M_\lambda^-(\Omega) = \{u \in H(\Omega) \setminus \{0\} \mid \frac{1}{\|u\|} t^-(\frac{u}{\|u\|}) = 1\}$;
- (iv) if $\int_\Omega f(x)|u|^{q+1} dx > 0$, then there is a unique $0 < t^+ = t^+(u) < t_{\max}$ such that $t^+(u)u \in M_\lambda^+(\Omega)$ and $J_\lambda(t^+(u)u) = \min_{0 \leq t \leq t^-} J_\lambda(tu)$.

Proof (i) Fix $u \in H(\Omega) \setminus \{0\}$. Let

$$s(t) = t^{1-q} \|u\|^2 - t^{p-q} \int_\Omega h(x)|u|^{p+1} dx, \quad t \geq 0.$$

Then we have $s(0) = 0$, $s(t) \rightarrow -\infty$ as $t \rightarrow \infty$, $s(t)$ is concave and reaches its maximum at t_{\max} . Moreover,

$$\begin{aligned} s(t_{\max}) &= t_{\max}^{1-q} \|u\|^2 - t_{\max}^{p-q} \int_\Omega h(x)|u|^{p+1} dx \\ &= \|u\|^{q+1} \left[\left(\frac{1-q}{p-q} \right)^{\frac{1-q}{p-1}} - \left(\frac{1-q}{p-q} \right)^{\frac{p-q}{p-1}} \right] \left(\frac{\|u\|^{p+1}}{\int_\Omega h(x)|u|^{p+1} dx} \right)^{\frac{1-q}{p-1}} \\ &\geq \|u\|^{q+1} \left(\frac{p-1}{p-q} \right) \left(\frac{1-q}{p-q} \right)^{\frac{1-q}{p-1}} \left(\frac{1}{|h|_\infty S^{p+1}} \right)^{\frac{1-q}{p-1}}. \end{aligned} \tag{2.8}$$

Case I. $\int_\Omega f(x)|u|^{q+1} dx \leq 0$.

There is a unique $t^- > t_{\max}$ such that $s(t^-) = \lambda \int_\Omega f(x)|u|^{q+1} dx$ and $s'(t^-) < 0$. Now,

$$\begin{aligned} \langle J'_\lambda(t^-u), t^-u \rangle &= \|t^-u\|^2 - \lambda \int_\Omega f(x)|t^-u|^{q+1} dx - \int_\Omega h(x)|t^-u|^{p+1} dx \\ &= (t^-)^{q+1} \left[s(t^-) - \lambda \int_\Omega f(x)|u|^{q+1} dx \right] \\ &= 0 \end{aligned}$$

and

$$\begin{aligned} \langle \psi'_\lambda(t^-u), t^-u \rangle &= (1-q) \|t^-u\|^2 - (p-q) \int_\Omega h(x)|t^-u|^{p+1} dx \\ &= (t^-)^{2+q} \left[(1-q)(t^-)^{-q} \|u\|^2 - (p-q)(t^-)^{p-q-1} \int_\Omega h(x)|u|^{p+1} dx \right] \\ &= (t^-)^{2+q} s'(t^-) < 0. \end{aligned}$$

Thus, $t^-u \in M_\lambda^-(\Omega)$. In addition,

$$\begin{aligned} \frac{dJ_\lambda(tu)}{dt} &= t \|u\|^2 - \lambda t^q \int_\Omega f(x)|u|^{q+1} dx - t^p \int_\Omega h(x)|u|^{p+1} dx \\ &= t^{-1} \langle J'_\lambda(tu), tu \rangle = 0 \quad \text{if and only if} \quad t = t^- \end{aligned}$$

and

$$\begin{aligned} \left. \frac{d^2 J_\lambda(tu)}{dt^2} \right|_{t=t^-} &= \|u\|^2 - \lambda q (t^-)^{q-1} \int_\Omega f(x)|u|^{q+1} dx - p (t^-)^{p-1} \int_\Omega h(x)|u|^{p+1} dx \\ &= (t^-)^{-2} \langle \psi'_\lambda(t^-u), t^-u \rangle < 0. \end{aligned}$$

Hence, $J_\lambda(t^-u) = \max_{t \geq 0} J_\lambda(tu)$.

Case II. $\int_\Omega f(x)|u|^{q+1} dx > 0$.

From (2.8) and

$$\begin{aligned} s(0) = 0 &< \lambda \int_\Omega f(x)|u|^{q+1} dx \leq \lambda |f|_{p^*} S^{q+1} \|u\|^{q+1} \\ &< \|u\|^{q+1} \left(\frac{p-1}{p-q} \right) \left(\frac{1-q}{p-q} \right)^{\frac{1-q}{p-1}} \left(\frac{1}{|h|_\infty S^{p+1}} \right)^{\frac{1-q}{p-1}} \\ &\leq s(t_{\max}) \quad \text{for } \lambda \in (0, \lambda_*), \end{aligned}$$

there exist unique t^+ and t^- such that $0 < t^+ < t_{\max} < t^-$,

$$s(t^+) = \lambda \int_\Omega f(x)|u|^{q+1} dx = s(t^-)$$

and

$$s'(t^+) > 0 > s'(t^-).$$

Similar to the argument in Case I above, we have $t^+u \in M_\lambda^+(\Omega)$, $t^-u \in M_\lambda^-(\Omega)$, and

$$J_\lambda(t^-u) = \max_{t \geq 0} J_\lambda(tu), \quad J_\lambda(t^+u) = \min_{0 \leq t \leq t^-} J_\lambda(tu).$$

(ii) By the uniqueness of $t^-(u)$ and the external property of $t^-(u)$, we find that $t^-(u)$ is continuous function of $u \neq 0$.

(iii) For $u \in M_\lambda^-(\Omega)$, let $v = \frac{u}{\|u\|}$. By item (i), there is a unique $t^-(v) > 0$ such that $t^-(v)v \in M_\lambda^-(\Omega)$, that is, $t^-(\frac{u}{\|u\|}) \frac{1}{\|u\|} u \in M_\lambda^-(\Omega)$. Since $u \in M_\lambda^-(\Omega)$, we have $t^-(\frac{u}{\|u\|}) \frac{1}{\|u\|} = 1$, which implies

$$M_\lambda^-(\Omega) \subset \left\{ u \in H(\Omega) \setminus \{0\} \mid \frac{1}{\|u\|} t^-\left(\frac{u}{\|u\|}\right) = 1 \right\}.$$

Conversely, let $u \in H(\Omega) \setminus \{0\}$ such that $\frac{1}{\|u\|} t^-(\frac{u}{\|u\|}) = 1$. Then $t^-(\frac{u}{\|u\|}) \frac{u}{\|u\|} \in M_\lambda^-(\Omega)$. Therefore,

$$M_\lambda^-(\Omega) = \left\{ u \in H(\Omega) \setminus \{0\} \mid \frac{1}{\|u\|} t^-\left(\frac{u}{\|u\|}\right) = 1 \right\}.$$

(iv) By Case II of item (i). □

By $f \in C(\overline{\Omega})$ and changes sign in Ω , we have $\Theta = \{x \in \Omega \mid f(x) > 0\}$ is an open set in R^N . Without loss of generality, we may assume that Θ is a domain in R^N . Consider the

following biharmonic equation:

$$\begin{cases} \Delta^2 u = h(x)|u|^{p-1}u, & \text{in } \Theta, \\ u = \Delta u = 0, & \text{on } \partial\Theta. \end{cases} \quad (2.9)$$

Associated with (2.9), we consider the energy functional

$$K(u) = \frac{1}{2} \|u\|^2 - \frac{1}{p+1} \int_{\Theta} h(x)|u|^{p+1} dx, \quad u \in H(\Theta)$$

and the minimization problem

$$\beta(\Theta) = \inf\{K(u) \mid u \in N(\Theta)\},$$

where $N(\Theta) = \{u \in H(\Theta) \setminus \{0\} \mid \langle K'(u), u \rangle = 0\}$. Now we prove that problem (2.9) has a positive solution w_0 such that $K(w_0) = \beta(\Theta) > 0$.

Lemma 2.5 *For any $u \in H(\Theta) \setminus \{0\}$, there exists a unique $t(u) > 0$ such that $t(u)u \in N(\Theta)$. The maximum of $K(tu)$ for $t \geq 0$ is reached at $t = t(u)$, the map*

$$t : H(\Theta) \setminus \{0\} \rightarrow (0, +\infty); \quad u \mapsto t(u)$$

is continuous and the induced continuous map $u \rightarrow t(u)u$ defines a homeomorphism of the unit sphere of $H(\Theta)$ with $N(\Theta)$.

Proof For any given $u \in H(\Theta) \setminus \{0\}$, consider the function $g(t) = K(tu)$, $t \geq 0$. Clearly,

$$g'(t) = 0 \Leftrightarrow tu \in N(\Theta) \Leftrightarrow \|u\|^2 = t^{p-1} \int_{\Omega} h(x)|u|^{p+1} dx. \quad (2.10)$$

It is easy to verify that $g(0) = 0$, $g(t) > 0$ for $t > 0$ small and $g(t) < 0$ for $t > 0$ large. Hence, $\max_{t \geq 0} g(t)$ is reached at a unique $t = t(u)$ such that $g'(t(u)) = 0$ and $t(u)u \in N(\Theta)$. To prove the continuity of $t(u)$, assume that $u_n \rightarrow u$ in $H(\Theta) \setminus \{0\}$. It is easy to verify that $\{t(u_n)\}$ is bounded. If a subsequence of $\{t(u_n)\}$ converges to t_0 , it follows from (2.10) that $t_0 = t(u)$ and then $t(u_n) \rightarrow t(u)$. Finally the continuous map from the unit sphere of $H(\Theta)$ to $N(\Theta)$, $u \rightarrow t(u)u$, is inverse to the retraction $u \rightarrow \frac{u}{\|u\|}$. \square

Define

$$c_* = \inf_{u \in H(\Theta) \setminus \{0\}} \max_{t \geq 0} K(tu), \quad c = \inf_{\gamma \in \Gamma} \max_{t \in [0,1]} K(\gamma(t)),$$

where $\Gamma = \{\gamma \in C([0,1], H(\Theta)) \mid \gamma(0) = 0, K(\gamma(1)) < 0\}$.

Lemma 2.6 $\beta(\Theta) = c_* = c > 0$ is a critical value of K .

Proof From Lemma 2.5, we know that $\beta(\Theta) = c_*$. Since $K(tu) < 0$ for $u \in H(\Theta) \setminus \{0\}$ and t large, we obtain $c \leq c_*$. The manifold $N(\Theta)$ separates $H(\Theta)$ into two components. The component containing the origin also contains a small ball around the origin. Moreover,

$K(u) \geq 0$ for all u in this component, because $\langle K'(tu), u \rangle \geq 0, \forall t \in [0, t(u)]$. Then each $\gamma \in \Gamma$ has to cross $N(\Theta)$ and $\beta(\Theta) \leq c$. Since the embedding $H(\Theta) \hookrightarrow L^{p+1}(\Theta)$ is compact (see [14]), it is easy to prove that $c > 0$ is a critical value of K and w_0 a positive solution corresponding to c . \square

With the help of Lemma 2.6, we have the following result.

Lemma 2.7 (i) For $\lambda \in (0, \lambda_*)$, there exists $t_\lambda > 0$ such that

$$\alpha_\lambda(\Omega) \leq \alpha_\lambda^+(\Omega) < -\frac{1-q}{q+1} t_\lambda^2 \beta_\lambda(\Theta) < 0;$$

(ii) J_λ is coercive and bounded below on $M_\lambda(\Omega)$ for all $\lambda > 0$.

Proof (i) Let w_0 be a positive solution of problem (2.9) such that $K(w_0) = \beta(\Theta)$. Then

$$\int_\Omega f(x) w_0^{q+1} dx = \int_\Theta f(x) w_0^{q+1} dx > 0.$$

Set $t_\lambda = t^+(w_0)$ as defined by Lemma 2.4(iv). Hence, $t_\lambda w_0 \in M_\lambda^+(\Omega)$ and

$$\begin{aligned} J_\lambda(t_\lambda w_0) &= \frac{1}{2} \|t_\lambda w_0\|^2 - \frac{\lambda}{q+1} \int_\Omega f(x) |t_\lambda w_0|^{q+1} dx - \frac{1}{p+1} \int_\Omega h(x) |t_\lambda w_0|^{p+1} dx \\ &= \left(\frac{1}{2} - \frac{1}{q+1}\right) \|t_\lambda w_0\|^2 + \left(\frac{1}{q+1} - \frac{1}{p+1}\right) \int_\Omega h(x) |t_\lambda w_0|^{p+1} dx \\ &< -\frac{1-q}{q+1} t_\lambda^2 \beta(\Theta) < 0. \end{aligned}$$

This implies

$$\alpha_\lambda(\Omega) \leq \alpha_\lambda^+(\Omega) < -\frac{1-q}{q+1} t_\lambda^2 \beta(\Theta) < 0.$$

(ii) For $u \in M_\lambda(\Omega)$, we have $\|u\|^2 = \lambda \int_\Omega f(x) |u|^{q+1} dx + \int_\Omega h(x) |u|^{p+1} dx$. Then by the Hölder, Sobolev, and Young inequalities,

$$\begin{aligned} J_\lambda(u) &= \frac{p-1}{2(p+1)} \|u\|^2 - \frac{\lambda(p-q)}{(p+1)(q+1)} \int_\Omega f(x) |u|^{q+1} dx \\ &\geq \frac{p-1}{2(p+1)} \|u\|^2 - \frac{\lambda(p-q)}{(p+1)(q+1)} |f|_{p^*} S^{q+1} \|u\|^{q+1} \\ &\geq \frac{p-1}{4(p+1)} \|u\|^2 - \lambda^{\frac{2}{1-q}} C(p, q) (|f|_{p^*} S^{q+1})^{\frac{2}{1-q}}, \end{aligned}$$

here $C(p, q) = \left[\frac{p-q}{(p+1)(q+1)}\right]^{\frac{2}{1-q}} \cdot \left[\frac{4(p+1)}{p-1}\right]^{\frac{1+q}{1-q}}$.

Thus, J_λ is coercive on $M_\lambda(\Omega)$ and

$$J_\lambda(u) \geq -\lambda^{\frac{2}{1-q}} C(p, q) (|f|_{p^*} S^{q+1})^{\frac{2}{1-q}}$$

for all $\lambda > 0$. \square

Next, we will use the idea of Tarantello [16] to get the following results.

Lemma 2.8 For $\lambda \in (0, \lambda_*)$ and any given $u \in M_\lambda(\Omega)$, there exist $\epsilon > 0$ and a differentiable functional $\xi : B(0; \epsilon) \subset H(\Omega) \rightarrow \mathbb{R}^+$ such that $\xi(0) = 1$, the function $\xi(v)(u + v) \in M_\lambda(\Omega)$ and

$$\langle \xi'(0), v \rangle = \frac{2 \int_\Omega \Delta u \Delta v - \lambda(q+1) \int_\Omega f|u|^{q-1}uv - (p+1) \int_\Omega h|u|^{p-1}uv}{(1-q)\|u\|^2 - (p-q) \int_\Omega h(x)|u|^{p+1} dx} \tag{2.11}$$

for all $v \in H(\Omega)$.

Proof Define $F : \mathbb{R} \times H(\Omega) \rightarrow \mathbb{R}$ as follows:

$$F(\xi, w) = \xi^2 \|u + w\|^2 - \lambda \xi^{q+1} \int_\Omega f(x)|u + w|^{q+1} dx - \xi^{p+1} \int_\Omega h(x)|u + w|^{p+1} dx.$$

Since $F(1, 0) = J'_\lambda(u, u) = 0$ and by Lemma 2.1, we obtain

$$\begin{aligned} F'_\xi(1, 0) &= 2\|u\|^2 - \lambda(q+1) \int_\Omega f(x)|u|^{q+1} dx - (p+1) \int_\Omega h(x)|u|^{p+1} dx \\ &= \langle \psi'_\lambda(u), u \rangle \neq 0, \end{aligned}$$

we can get the desired results applying the implicit function theorem at the point $(1, 0)$. □

Lemma 2.9 For $\lambda \in (0, \lambda_*)$ and any given $u \in M_\lambda^-(\Omega)$, there exist $\epsilon > 0$ and a differentiable functional $\xi^- : B(0; \epsilon) \subset H(\Omega) \rightarrow \mathbb{R}^+$ such that $\xi^-(0) = 1$, the function $\xi^-(v)(u + v) \in M_\lambda^-(\Omega)$ and

$$\langle (\xi^-)'(0), v \rangle = \frac{2 \int_\Omega \Delta u \Delta v - \lambda(q+1) \int_\Omega f|u|^{q-1}uv - (p+1) \int_\Omega h|u|^{p-1}uv}{(1-q)\|u\|^2 - (p-q) \int_\Omega h(x)|u|^{p+1} dx} \tag{2.12}$$

for all $v \in H(\Omega)$.

Proof In view of Lemma 2.8, there exist $\epsilon > 0$ and a differentiable functional ξ^- such that $\xi^-(0) = 1$, $\xi^-(v)(u + v) \in M_\lambda(\Omega)$ for all $v \in B(0; \epsilon) \subset H(\Omega)$ and we have (2.12). By use of $u \in M_\lambda^-(\Omega)$, we have $\langle \psi'_\lambda(u), u \rangle < 0$. In combination with the continuity of the functions ψ'_λ and ξ^- , we get $\langle \psi'_\lambda(\xi^-(v)(u + v)), \xi^-(v)(u + v) \rangle < 0$ as ϵ sufficiently small, this implies that $\xi^-(v)(u + v) \in M_\lambda^-(\Omega)$. □

3 Proof of Theorem 1.1

Firstly, we provide the existence of minimizing sequences for J_λ on $M_\lambda(\Omega)$ and $M_\lambda^-(\Omega)$ as λ is sufficiently small.

Proposition 3.1 Let $\lambda \in (0, \lambda_*)$, then

- (i) there exists a minimizing sequence $\{u_n\} \subset M_\lambda(\Omega)$ such that

$$J_\lambda(u_n) = \alpha_\lambda(\Omega) + o(1) \quad \text{and} \quad J'_\lambda(u_n) = o(1) \quad \text{in} \quad [H(\Omega)]^*;$$

(ii) there exists a minimizing sequence $\{u_n\} \subset M_\lambda^-(\Omega)$ such that

$$J_\lambda(u_n) = \alpha_\lambda^-(\Omega) + o(1) \quad \text{and} \quad J'_\lambda(u_n) = o(1) \quad \text{in} \quad [H(\Omega)]^*.$$

Proof (i) By Lemma 2.7(ii) and the Ekeland variational principle [17], there exists a minimizing sequence $\{u_n\} \subset M_\lambda(\Omega)$ such that

$$J_\lambda(u_n) < \alpha_\lambda(\Omega) + \frac{1}{n} \tag{3.1}$$

and

$$J_\lambda(u_n) < J_\lambda(w) + \frac{1}{n} \|w - u_n\| \quad \text{for each } w \in M_\lambda(\Omega). \tag{3.2}$$

Taking n large, from Lemma 2.7(i) and (3.1), we have

$$\begin{aligned} J_\lambda(u_n) &= \left(\frac{1}{2} - \frac{1}{p+1}\right) \|u_n\|^2 - \left(\frac{1}{q+1} - \frac{1}{p+1}\right) \lambda \int_\Omega f(x) |u_n|^{q+1} dx \\ &< \alpha_\lambda(\Omega) + \frac{1}{n} < -\frac{1-q}{q+1} t_\lambda^2 \beta(\Theta). \end{aligned} \tag{3.3}$$

This implies

$$|f|_{p^*} S^{q+1} \|u_n\|^{q+1} \geq \int_\Omega f(x) |u_n|^{q+1} dx > \frac{(p+1)(1-q)}{\lambda(p-q)} t_\lambda^2 \beta(\Theta) > 0, \tag{3.4}$$

that is,

$$\|u_n\| > \left[\frac{(p+1)(1-q)}{\lambda(p-q)} t_\lambda^2 \beta(\Theta) S^{-(q+1)} |f|_{p^*}^{-1} \right]^{\frac{1}{q+1}}. \tag{3.5}$$

Now, we will show that

$$(J'_\lambda(u_n), \varphi) \rightarrow 0 \quad \text{as } n \rightarrow \infty, \forall \varphi \in H(\Omega).$$

Exactly as in Lemma 2.8 we may apply suitable functionals $\xi_n(v) > 0$ to u_n and obtain

$$\xi_n(v)(u_n + v) \in M_\lambda(\Omega), \quad \forall v \in H(\Omega), \|v\| < \epsilon_n. \tag{3.6}$$

Hence, if $\varphi \in H(\Omega)$ and $s > 0$ small, substituting in (3.6) $v = s\varphi$ and applying (3.2), we have

$$\begin{aligned} &\frac{1}{n} [|\xi_n(s\varphi) - 1| \cdot \|u_n\| + \xi_n(s\varphi) \|s\varphi\|] \\ &\geq J_\lambda(u_n) - J_\lambda(\xi_n(s\varphi)(u_n + s\varphi)) \\ &= \frac{1}{2} \|u_n\|^2 - \frac{\lambda}{q+1} \int_\Omega f(x) |u_n|^{q+1} dx - \frac{1}{p+1} \int_\Omega h(x) |u_n|^{p+1} dx \\ &\quad - \frac{1}{2} \xi_n^2(s\varphi) \|u_n + s\varphi\|^2 + \frac{\lambda}{q+1} \xi_n^{q+1}(s\varphi) \int_\Omega f(x) |u_n + s\varphi|^{q+1} dx \end{aligned}$$

$$\begin{aligned}
 & + \frac{1}{p+1} \xi_n^{p+1}(s\varphi) \int_{\Omega} h(x)|u_n + s\varphi|^{p+1} dx \\
 = & - \frac{\xi_n^2(s\varphi) - 1}{2} \|u_n + s\varphi\|^2 - \frac{1}{2} (\|u_n + s\varphi\|^2 - \|u_n\|^2) \\
 & + \lambda \frac{\xi_n^{q+1}(s\varphi) - 1}{q+1} \int_{\Omega} f(x)|u_n + s\varphi|^{q+1} dx \\
 & + \frac{\lambda}{q+1} \int_{\Omega} f(x)(|u_n + s\varphi|^{q+1} - |u_n|^{q+1}) dx \\
 & + \frac{\xi_n^{p+1}(s\varphi) - 1}{p+1} \int_{\Omega} h(x)|u_n + s\varphi|^{p+1} dx + \frac{1}{p+1} \int_{\Omega} h(x)(|u_n + s\varphi|^{p+1} - |u_n|^{p+1}) dx.
 \end{aligned}$$

Dividing by $s > 0$ and passing to the limit as $s \rightarrow 0$ we derive

$$\begin{aligned}
 & \frac{1}{n} \left[|\xi'_n(0)\varphi| \|u_n\| + \|\varphi\| \right] \\
 & \geq -[\xi'_n(0)\varphi] \left[\|u_n\|^2 - \lambda \int_{\Omega} f(x)|u_n|^{q+1} dx - \int_{\Omega} h(x)|u_n|^{p+1} dx \right] \\
 & \quad - \int_{\Omega} \Delta u_n \Delta \varphi dx + \lambda \int_{\Omega} f(x)|u_n|^{q-1} u_n \varphi dx + \int_{\Omega} h(x)|u_n|^{p-1} u_n \varphi dx \\
 = & - \int_{\Omega} \Delta u_n \Delta \varphi dx + \lambda \int_{\Omega} f(x)|u_n|^{q-1} u_n \varphi dx + \int_{\Omega} h(x)|u_n|^{p-1} u_n \varphi dx. \tag{3.7}
 \end{aligned}$$

Since

$$\xi'_n(0)\varphi = \frac{2 \int_{\Omega} \Delta u_n \Delta \varphi - \lambda(q+1) \int_{\Omega} f|u_n|^{q-1} u_n \varphi - (p+1) \int_{\Omega} h|u_n|^{p-1} u_n \varphi}{(1-q)\|u_n\|^2 - (p-q) \int_{\Omega} h(x)|u_n|^{p+1} dx},$$

by the boundedness of u_n we get

$$\|\xi'_n(0)\| \leq \frac{C_1}{|(1-q)\|u_n\|^2 - (p-q) \int_{\Omega} h(x)|u_n|^{p+1} dx|} \tag{3.8}$$

for a suitable positive constant C_1 .

Next, we show that $|(1-q)\|u_n\|^2 - (p-q) \int_{\Omega} h(x)|u_n|^{p+1} dx|$ is bounded away from zero. Arguing by contradiction, assume that

$$(1-q)\|u_n\|^2 - (p-q) \int_{\Omega} h(x)|u_n|^{p+1} dx = o(1), \quad n \rightarrow \infty. \tag{3.9}$$

Since $u_n \in M_{\lambda}(\Omega)$, we have

$$\|u_n\|^2 = \lambda \int_{\Omega} f(x)|u_n|^{q+1} dx + \int_{\Omega} h(x)|u_n|^{p+1} dx,$$

and consequently by (3.9),

$$\frac{p-1}{1-q} \int_{\Omega} h(x)|u_n|^{p+1} dx = \lambda \int_{\Omega} f(x)|u_n|^{q+1} dx + o(1), \quad n \rightarrow \infty. \tag{3.10}$$

Then by (3.4), the Hölder inequality, Sobolev inequality and (3.9)-(3.10), we obtain

$$\begin{aligned} 0 &< (\lambda_* - \lambda) \int_{\Omega} f(x)|u_n|^{q+1} dx \\ &\leq \frac{p-1}{1-q} \int_{\Omega} h(x)|u_n|^{p+1} dx \left[\frac{(p-q) \int_{\Omega} h(x)|u_n|^{p+1} dx}{(1-q)\|u_n\|^2} \right]^{\frac{q-p}{p-1}} - \lambda \int_{\Omega} f(x)|u_n|^{q+1} dx \\ &= o(1), \end{aligned}$$

moreover, $\|u_n\| = o(1)$, which contradicts (3.5).

Thus, we get from (3.8) that

$$\|\xi'_n(0)\| \leq C_2, \quad \text{independent of } n.$$

Hence, by (3.7) it follows that

$$\int_{\Omega} \Delta u_n \Delta \varphi dx - \lambda \int_{\Omega} f(x)|u_n|^{q-1} u_n \varphi dx - \int_{\Omega} h(x)|u_n|^{p-1} u_n \varphi dx \geq -\frac{C_3}{n},$$

which implies that $\langle J'_\lambda(u_n), \varphi \rangle \rightarrow 0$, as $n \rightarrow \infty$.

(ii) Similar to the arguments in (i), by Lemma 2.9 and Lemma 2.2, we can prove (ii). \square

Now, we establish the existence of a local minimum for J_λ on $M_\lambda^+(\Omega)$.

Theorem 3.1 *Let $\lambda \in (0, \lambda_*)$, then the functional J_λ has a minimizer u_0^+ in $M_\lambda^+(\Omega)$ and it satisfies*

- (i) $J_\lambda(u_0^+) = \alpha_\lambda(\Omega) = \alpha_\lambda^+(\Omega)$;
- (ii) u_0^+ is a positive solution of problem (1.3);
- (iii) $J_\lambda(u_0^+) \rightarrow 0$ as $\lambda \rightarrow 0$.

Proof By Proposition 3.1(i), there is a minimizing sequence $\{u_n\}$ for J_λ on $M_\lambda(\Omega)$ such that

$$J_\lambda(u_n) = \alpha_\lambda(\Omega) + o(1) \quad \text{and} \quad J'_\lambda(u_n) = o(1) \quad \text{in} \quad [H(\Omega)]^*. \tag{3.11}$$

Then by Lemma 2.7 and the compact imbedding theorem, there exist a subsequence $\{u_n\}$ and $u_0^+ \in H(\Omega)$ such that

$$u_n \rightharpoonup u_0^+ \quad \text{weakly in } H(\Omega), \tag{3.12}$$

$$u_n \rightarrow u_0^+ \quad \text{strongly in } L^{p+1}(\Omega) \tag{3.13}$$

and

$$u_n \rightarrow u_0^+ \quad \text{strongly in } L^{q+1}(\Omega). \tag{3.14}$$

First, we claim that

$$\int_{\Omega} f(x)|u_0^+|^{q+1} dx > 0.$$

If not, by (3.14) we conclude that

$$\int_{\Omega} f(x)|u_n|^{q+1} dx \rightarrow \int_{\Omega} f(x)|u_0^+|^{q+1} dx \leq 0 \quad \text{as } n \rightarrow \infty.$$

Therefore, as $n \rightarrow \infty$,

$$\begin{aligned} J_{\lambda}(u_n) &= \frac{1}{2}\|u_n\|^2 - \frac{\lambda}{q+1} \int_{\Omega} f(x)|u_n|^{q+1} dx - \frac{1}{p+1} \int_{\Omega} h(x)|u_n|^{p+1} dx \\ &= \left(\frac{1}{2} - \frac{1}{q+1}\right)\lambda \int_{\Omega} f(x)|u_n|^{q+1} dx + \left(\frac{1}{2} - \frac{1}{p+1}\right) \int_{\Omega} h(x)|u_n|^{p+1} dx \\ &= \left(\frac{1}{2} - \frac{1}{q+1}\right)\lambda \int_{\Omega} f(x)|u_0^+|^{q+1} dx + \left(\frac{1}{2} - \frac{1}{p+1}\right) \int_{\Omega} h(x)|u_0^+|^{p+1} dx + o(1), \end{aligned}$$

this contradicts $J_{\lambda}(u_n) \rightarrow \alpha_{\lambda}(\Omega) < 0$ as $n \rightarrow \infty$.

In combination with (3.11)-(3.14), it is easy to verify that $u_0^+ \in M_{\lambda}(\Omega)$ is a nontrivial weak solution of problem (1.3).

Now we prove that $u_n \rightarrow u_0^+$ strongly in $H(\Omega)$. Supposing the contrary, then $\|u_0^+\| < \liminf_{n \rightarrow \infty} \|u_n\|$ and so

$$\begin{aligned} \|u_0^+\|^2 - \lambda \int_{\Omega} f(x)|u_0^+|^{q+1} dx - \int_{\Omega} h(x)|u_0^+|^{p+1} dx \\ < \liminf_{n \rightarrow \infty} \left(\|u_n\|^2 - \lambda \int_{\Omega} f(x)|u_n|^{q+1} dx - \int_{\Omega} h(x)|u_n|^{p+1} dx \right) = 0, \end{aligned}$$

this contradicts $u_0^+ \in M_{\lambda}(\Omega)$. Hence, $u_n \rightarrow u_0^+$ strongly in $H(\Omega)$. This implies

$$J_{\lambda}(u_n) \rightarrow J_{\lambda}(u_0^+) = \alpha_{\lambda}(\Omega) \quad \text{as } n \rightarrow \infty.$$

Moreover, we have $u_0^+ \in M_{\lambda}^+(\Omega)$. In fact, if $u_0^+ \in M_{\lambda}^-(\Omega)$, by Lemma 2.4, there exist unique t_0^+ and t_0^- such that $t_0^+ u_0^+ \in M_{\lambda}^+(\Omega)$ and $t_0^- u_0^+ \in M_{\lambda}^-(\Omega)$, we get $t_0^+ < t_0^- = 1$. Since

$$\frac{dJ_{\lambda}(tu)}{dt} = 0 \quad \text{if and only if } t = t_0^+ \text{ and } t_0^-$$

and

$$\left. \frac{d^2 J_{\lambda}(tu)}{dt^2} \right|_{t=t_0^+} > 0, \quad \left. \frac{d^2 J_{\lambda}(tu)}{dt^2} \right|_{t=t_0^-} < 0,$$

there exists $\tilde{t} \in (t_0^+, t_0^-]$ such that $J_{\lambda}(t_0^+ u_0^+) < J_{\lambda}(\tilde{t} u_0^+)$. By Lemma 2.4,

$$J_{\lambda}(t_0^+ u_0^+) < J_{\lambda}(\tilde{t} u_0^+) \leq J_{\lambda}(t_0^- u_0^+) = J_{\lambda}(u_0^+),$$

which is a contradiction. Since $J_{\lambda}(u_0^+) = J_{\lambda}(|u_0^+|)$ and $|u_0^+| \in M_{\lambda}^+(\Omega)$, by Lemma 2.3 we may assume that u_0^+ is a nonnegative weak solution to problem (1.3). Applying the regularity theory and strong maximum principle of elliptic equations, we find that u_0^+ is one positive solution of problem (1.3). In addition, by Lemma 2.7,

$$0 > J_{\lambda}(u_0^+) \geq -\lambda^{\frac{2}{1-q}} C(p, q) (|f|_{p^*} S^{q+1})^{\frac{2}{1-q}},$$

which implies that $J_{\lambda}(u_0^+) \rightarrow 0$ as $\lambda \rightarrow 0$. □

Next, we establish the existence of a local minimum for J_λ on $M_\lambda^-(\Omega)$.

Theorem 3.2 *Let $\lambda \in (0, \lambda_*)$, then the functional J_λ has a minimizer u_0^- in $M_\lambda^-(\Omega)$ and it satisfies*

- (i) $J_\lambda(u_0^-) = \alpha_\lambda^-(\Omega)$;
- (ii) u_0^- is a positive solution of problem (1.3).

Proof By Proposition 3.1(ii), there is a minimizing sequence $\{u_n\}$ for J_λ on $M_\lambda^-(\Omega)$ such that

$$J_\lambda(u_n) = \alpha_\lambda^-(\Omega) + o(1) \quad \text{and} \quad J'_\lambda(u_n) = o(1) \quad \text{in} \quad [H(\Omega)]^*.$$

Then by Lemma 2.7 and the compact imbedding theorem, there exist a subsequence $\{u_n\}$ and $u_0^- \in H(\Omega)$ such that

$$\begin{aligned} u_n &\rightharpoonup u_0^- \quad \text{weakly in } H(\Omega), \\ u_n &\rightarrow u_0^- \quad \text{strongly in } L^{p+1}(\Omega) \end{aligned}$$

and

$$u_n \rightarrow u_0^- \quad \text{strongly in } L^{q+1}(\Omega).$$

Connecting with Lemma 2.2, it is easy to see that $u_0^- \in M_\lambda(\Omega)$ is a nontrivial weak solution of problem (1.3).

Next we prove that $u_n \rightarrow u_0^-$ strongly in $H(\Omega)$. Supposing the contrary, then $\|u_0^-\| < \liminf_{n \rightarrow \infty} \|u_n\|$ and so

$$\begin{aligned} &\|u_0^-\|^2 - \lambda \int_\Omega f(x)|u_0^-|^{q+1} dx - \int_\Omega h(x)|u_0^-|^{p+1} dx \\ &< \liminf_{n \rightarrow \infty} \left(\|u_n\|^2 - \lambda \int_\Omega f(x)|u_n|^{q+1} dx - \int_\Omega h(x)|u_n|^{p+1} dx \right) = 0, \end{aligned}$$

this contradicts $u_0^- \in M_\lambda(\Omega)$. Hence, $u_n \rightarrow u_0^-$ strongly in $H(\Omega)$. This implies

$$J_\lambda(u_n) \rightarrow J_\lambda(u_0^-) = \alpha_\lambda^-(\Omega) \quad \text{as } n \rightarrow \infty.$$

In addition, from Lemma 2.4(ii)-(iii), we have $u_0^- \in M_\lambda^-(\Omega)$. Since $J_\lambda(u_0^-) = J_\lambda(|u_0^-|)$ and $|u_0^-| \in M_\lambda^-(\Omega)$, by Lemma 2.3 we may assume that u_0^- is a nonnegative weak solution to problem (1.3). Applying the regularity theory and strong maximum principle of elliptic equations, we see that u_0^- is one positive solution of problem (1.3). \square

Proof of Theorem 1.1 It is an immediate consequence of Theorems 3.1 and 3.2. \square

Competing interests

The authors declare that they have no competing interests.

Authors' contributions

The authors read and approved the final manuscript.

Author details

¹School of Mathematics and Statistics, Lanzhou University, Lanzhou, Gansu 730000, P.R. China. ²Key Laboratory of Applied Mathematics and Complex Systems, Lanzhou University, Lanzhou, Gansu 730000, P.R. China. ³College of Mathematics and Statistics, Northwest Normal University, Lanzhou, 730070, P.R. China.

Acknowledgements

This work is partly supported by NNSF (11101404, 11201204, 11361053) of China and the Young Teachers Scientific Research Ability Promotion Plan of Northwest Normal University (NWNLU-LKQN-11-5).

Received: 1 March 2014 Accepted: 29 April 2014 Published: 14 May 2014

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10.1186/1687-2770-2014-117

Cite this article as: Yang and Wang: On semilinear biharmonic equations with concave-convex nonlinearities involving weight functions. *Boundary Value Problems* 2014, **2014**:117

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