

Research Article

Multiple Positive Solutions of Semilinear Elliptic Problems in Exterior Domains

Tsing-San Hsu and Huei-Li Lin

*Department of Natural Sciences, Center for General Education, Chang Gung University,
Tao-Yuan 333, Taiwan*

Correspondence should be addressed to Huei-Li Lin, hlin@mail.cgu.edu.tw

Received 30 July 2010; Accepted 30 November 2010

Academic Editor: Wenming Z. Zou

Copyright © 2010 T.-S. Hsu and H.-L. Lin. This is an open access article distributed under the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

Assume that q is a positive continuous function in \mathbb{R}^N and satisfies the suitable conditions. We prove that the Dirichlet problem $-\Delta u + u = q(z)|u|^{p-2}u$ admits at least three positive solutions in an exterior domain.

1. Introduction

For $N \geq 3$ and $2 < p < 2^* = 2N/(N-2)$, we consider the semilinear elliptic equations

$$\begin{aligned} -\Delta u + u &= q(z)|u|^{p-2}u \quad \text{in } \Omega, \\ u &\in H_0^1(\Omega), \end{aligned} \tag{1.1}$$

$$\begin{aligned} -\Delta u + u &= q_\infty|u|^{p-2}u \quad \text{in } \Omega, \\ u &\in H_0^1(\Omega), \end{aligned} \tag{1.2}$$

where Ω is an unbounded domain \mathbb{R}^N . Let q be a positive continuous function in \mathbb{R}^N and satisfy

$$\lim_{|z| \rightarrow \infty} q(z) = q_\infty > 0, \quad q(z) \neq q_\infty. \tag{q1}$$

Associated with (1.1) and (1.2), we define the functional a , b , b^∞ , J , and J^∞ , for $u \in H_0^1(\Omega)$

$$\begin{aligned} a(u) &= \int_{\Omega} (|\nabla u|^2 + u^2) dz = \|u\|_{H^1}^2, \\ b(u) &= \int_{\Omega} q(z)u^p dz, \\ b^\infty(u) &= \int_{\Omega} q_\infty u^p dz, \\ J(u) &= \frac{1}{2}a(u) - \frac{1}{p}b(u_+), \\ J^\infty(u) &= \frac{1}{2}a(u) - \frac{1}{p}b^\infty(u_+), \end{aligned} \tag{1.3}$$

where $u_+ = \max\{u, 0\} \geq 0$. By Rabinowitz [1, Proposition B.10], the functionals a , b , b^∞ , J , and J^∞ are of C^2 .

It is well known that (1.1) admits infinitely many solutions in a bounded domain. Because of the lack of compactness, it is difficult to deal with this problem in an unbounded domain. Lions [2, 3] proved that if $q(z) \geq q_\infty > 0$, then (1.1) has a positive ground state solution in \mathbb{R}^N . Bahri and Li [4] proved that there is at least one positive solution of (1.1) in \mathbb{R}^N when $\lim_{|z| \rightarrow \infty} q(z) = q_\infty > 0$ and $q(z) \geq q_\infty - C \exp(-\delta|z|)$ for $\delta > 2$. Zhu [5] has studied the multiplicity of solutions of (1.1) in \mathbb{R}^N as follows. Assume $N \geq 5$, $\lim_{|z| \rightarrow \infty} q(z) = q_\infty$, $q(z) \geq q_\infty > 0$, and there exist positive constants C , γ , R_0 such that $q(z) \geq q_\infty + C/|z|^\gamma$ for $|z| \geq R_0$, then (1.1) has at least two nontrivial solutions (one is positive and the other changes sign). Esteban [6, 7] and Cao [8] have studied the multiplicity of solutions of $-\Delta u + u = q(z)|u|^{p-2}u$ with Neumann condition in an exterior domain $\mathbb{R}^N \setminus \overline{D}$, where D is a $C^{1,1}$ bounded domain in \mathbb{R}^N . Hirano [9] proved that if $\|q - q_\infty\|_\infty$ is sufficiently small and $q(z) \geq q_\infty[1 + C \exp(-\delta|z|)]$ for $0 < \delta < 1$, then (1.1) admits at least three nontrivial solutions (one is positive and the other changes sign) in \mathbb{R}^N . Recently, under the same conditions, Lin [10] showed that (1.1) admits at least two positive solutions and one nodal solution in an exterior domain. Let $q(z) = a(z) + \mu b(z)$. Wu [11] showed that for sufficiently small μ , if a and b satisfy some hypotheses, then (1.1) has at least three positive solutions in \mathbb{R}^N .

In this paper, we consider the multiplicity of positive solutions of (1.1) in an exterior domain. If q satisfies the suitable conditions ($\|q - q_\infty\|_\infty$ is sufficiently small and $q(z) \geq q_\infty + C \exp(-\delta|z|)$ for $0 < \delta < 2$), then we can show that (1.1) admits at least three positive solutions in an exterior domain. First, in Section 3, we use the concentration-compactness argument of Lions [2, 3] to obtain the “ground-state solution” (see Theorem 3.7). In Section 4, we study the idea of category in Adachi-Tanaka [12] and Bahri-Li minimax method to get that there are at least three positive solutions of (1.1) in $\mathbb{R}^N \setminus \overline{D}$ (see Theorems 4.10 and 4.15).

2. Existence of (PS)—Sequences

Let Ω be an unbounded domain in \mathbb{R}^N . We define the Palais-Smale (denoted by (PS)) sequences, (PS)-values, and (PS)-conditions in $H_0^1(\Omega)$ for J as follows.

Definition 2.1. (i) For $\beta \in \mathbb{R}$, a sequence $\{u_n\}$ is a $(PS)_\beta$ -sequence in $H_0^1(\Omega)$ for J if $J(u_n) = \beta + o_n(1)$ and $J'(u_n) = o_n(1)$ strongly in $H^{-1}(\Omega)$ as $n \rightarrow \infty$.

(ii) $\beta \in \mathbb{R}$ is a (PS) -value in $H_0^1(\Omega)$ for J if there is a $(PS)_\beta$ -sequence in $H_0^1(\Omega)$ for J .

(iii) J satisfies the $(PS)_\beta$ -condition in $H_0^1(\Omega)$ if every $(PS)_\beta$ -sequence in $H_0^1(\Omega)$ for J contains a convergent subsequence.

Lemma 2.2. Let $u \in H_0^1(\Omega)$ be a critical point of J , then u is a nonnegative solution of (1.1). Moreover, if $u \neq 0$, then u is positive in Ω .

Proof. Suppose that $u \in H_0^1(\Omega)$ satisfies $\langle J'(u), \varphi \rangle = 0$ for any $\varphi \in H_0^1(\Omega)$, that is,

$$\int_{\Omega} (\nabla u \nabla \varphi + u \varphi) = \int_{\Omega} q(z) u_+^{p-1} \varphi \quad \text{for any } \varphi \in H_0^1(\Omega). \quad (2.1)$$

Thus, u is a weak solution of $-\Delta u + u = q(z) u_+^{p-1}$ in Ω . Since $q > 0$ in \mathbb{R}^N , by the maximum principle, u is nonnegative. If $u \neq 0$, we have that u is positive in Ω . \square

Define

$$\alpha(\Omega) = \inf_{u \in \mathbf{M}(\Omega)} J(u), \quad (2.2)$$

where $\mathbf{M}(\Omega) = \{u \in H_0^1(\Omega) \setminus \{0\} \mid a(u) = b(u_+)\}$ and

$$\alpha^\infty(\Omega) = \inf_{u \in \mathbf{M}^\infty(\Omega)} J^\infty(u), \quad (2.3)$$

where $\mathbf{M}^\infty(\Omega) = \{u \in H_0^1(\Omega) \setminus \{0\} \mid a(u) = b^\infty(u_+)\}$.

Lemma 2.3. Let $\beta \in \mathbb{R}$ and let $\{u_n\}$ be a $(PS)_\beta$ -sequence in $H_0^1(\Omega)$ for J . Then,

(i) $\{u_n\}$ is a bounded sequence in $H_0^1(\Omega)$,

(ii) $a(u_n) = b(u_n^+) + o_n(1) = (2p/(p-2))\beta + o_n(1)$ as $n \rightarrow \infty$ and $\beta \geq 0$.

By Chen et al. [13] and Chen and Wang [14], we have the following lemmas.

Lemma 2.4. (i) For each $u \in H_0^1(\Omega) \setminus \{0\}$ with $u_+ \neq 0$, there exists the unique number $s_u > 0$ such that $s_u u \in \mathbf{M}(\Omega)$ and $\sup_{s \geq 0} J(su) = J(s_u u)$.

(ii) Let $\beta > 0$ and $\{u_n\}$ a sequence in $H_0^1(\Omega) \setminus \{0\}$ for J such that $u_n \neq 0$, $J(u_n) = \beta + o_n(1)$ and $a(u_n) = b(u_n^+) + o_n(1)$. Then, there is a sequence $\{s_n\}$ in \mathbb{R}^+ such that $s_n = 1 + o_n(1)$, $\{s_n u_n\}$ in $\mathbf{M}(\Omega)$ and $J(s_n u_n) = \beta + o_n(1)$ as $n \rightarrow \infty$.

Lemma 2.5. There exists a positive constant c such that $\|u\|_{H^1} \geq c > 0$ for each $u \in \mathbf{M}(\Omega)$. Moreover, $\alpha(\Omega) > 0$.

Lemma 2.6. Let $\Omega_1 \subsetneq \Omega_2$. If J satisfies the $(PS)_{\alpha(\Omega_1)}$ -condition or $\alpha(\Omega_1)$ is a critical value, then $\alpha(\Omega_2) < \alpha(\Omega_1)$.

Proof. See Chen et al. [13] or Lin et al. [15]. \square

Remark 2.7. The above definitions and lemmas hold not only for J^∞ and $\mathbf{M}^\infty(\Omega)$ but also for $\alpha^\infty(\Omega)$.

Lemma 2.8. *Every minimizing sequence $\{u_n\}$ in $\mathbf{M}^\infty(\Omega)$ of $\alpha^\infty(\Omega)$ is a $(PS)_{\alpha^\infty(\Omega)}$ -sequence in $H_0^1(\Omega)$ for J . Moreover, $\alpha^\infty(\Omega)$ is a (PS) -value.*

3. Existence of Ground State Solution

From now on, let $\Omega = \mathbb{R}^N \setminus \overline{D}$ be an exterior domain, where D is a $C^{1,1}$ bounded domain in \mathbb{R}^N . By Lions [2, 3], Struwe [16], and Lien et al. [17], we have the following decomposition lemmas.

Lemma 3.1 (Palais-Smale Decomposition Lemma for J). *Assume that q is a positive continuous function in \mathbb{R}^N and $\lim_{|z| \rightarrow \infty} q(z) = q_\infty > 0$. Let $\{u_n\}$ be a $(PS)_\beta$ -sequence in $H_0^1(\Omega)$ for J . Then, there are a subsequence $\{u_n\}$, a nonnegative integer l , sequences $\{z_n^i\}_{n=1}^\infty$ in \mathbb{R}^N , functions u in $H_0^1(\Omega)$, and $w^i \neq 0$ in $H^1(\mathbb{R}^N)$ for $1 \leq i \leq l$ such that*

$$\begin{aligned} & \left| z_n^i - z_n^j \right| \rightarrow \infty \quad \text{for } 1 \leq i, j \leq l, i \neq j, \\ & -\Delta u + u = q(z)|u|^{p-2}u \quad \text{in } \Omega, \\ & -\Delta w^i + w^i = q_\infty |w^i|^{p-2} w^i \quad \text{in } \mathbb{R}^N, \\ & u_n = u + \sum_{i=1}^l w^i(\cdot - z_n^i) + o_n(1) \quad \text{strongly in } H^1(\mathbb{R}^N), \\ & J(u_n) = J(u) + \sum_{i=1}^l J^\infty(w^i) + o_n(1). \end{aligned} \tag{3.1}$$

Lemma 3.2 (Palais-Smale Decomposition Lemma for J^∞). *Let $\{u_n\}$ be a $(PS)_\beta$ -sequence in $H_0^1(\Omega)$ for J^∞ . Then, there are a subsequence $\{u_n\}$, a nonnegative integer l , sequences $\{z_n^i\}_{n=1}^\infty$ in \mathbb{R}^N , functions u in $H_0^1(\Omega)$, and $w^i \neq 0$ in $H^1(\mathbb{R}^N)$ for $1 \leq i \leq l$ such that*

$$\begin{aligned} & \left| z_n^i - z_n^j \right| \rightarrow \infty \quad \text{for } 1 \leq i, j \leq l, i \neq j, \\ & -\Delta u + u = q_\infty |u|^{p-2}u_+ \quad \text{in } \Omega, \\ & -\Delta w^i + w^i = q_\infty |w^i|^{p-2} w_+^i \quad \text{in } \mathbb{R}^N, \\ & u_n = u + \sum_{i=1}^l w^i(\cdot - z_n^i) + o_n(1) \quad \text{strongly in } H^1(\mathbb{R}^N), \\ & J^\infty(u_n) = J^\infty(u) + \sum_{i=1}^l J^\infty(w^i) + o_n(1). \end{aligned} \tag{3.2}$$

Lemma 3.3. (i) $\alpha^\infty(\Omega) = \alpha^\infty(\mathbb{R}^N)$ (denoted by α^∞).

(ii) Let $\{u_n\} \subset \mathbf{M}(\Omega)$ be a $(PS)_\beta$ -sequence in $H_0^1(\Omega)$ for J with $0 < \beta < \alpha^\infty$.

Then, there exist a subsequence $\{u_n\}$ and a nonzero $u_0 \in H_0^1(\Omega)$ such that $u_n \rightarrow u_0$ strongly in $H_0^1(\Omega)$, that is, J satisfies the $(PS)_\beta$ -condition in $H_0^1(\Omega)$. Moreover, u_0 is a positive solution of (1.1) such that $J(u_0) = \beta$.

Proof. (i) Since Ω is an exterior domain, by Lien et al. [17], Ω is a ball-up domain (for any $r > 0$, there exists $z \in \Omega$ such that $B^N(z; r) \subset \Omega$) and $\alpha^\infty(\Omega) = \alpha^\infty(\mathbb{R}^N)$.

(ii) Since $\{u_n\} \subset \mathbf{M}(\Omega)$ is a $(PS)_\beta$ -sequence in $H_0^1(\Omega)$ for J with $0 < \beta < \alpha^\infty$, by Lemma 2.3, $\{u_n\}$ is bounded. Thus, there exist a subsequence $\{u_n\}$ and $u_0 \in H_0^1(\Omega)$ such that $u_n \rightharpoonup u_0$ weakly in $H_0^1(\Omega)$. It is easy to check that u_0 is a solution of (1.1). Applying Palais-Smale Decomposition Lemma 3.1, we get

$$\alpha^\infty > \beta = J(u_n) \geq l\alpha^\infty. \quad (3.3)$$

Then, $l = 0$ and $u_0 \neq 0$. Hence, $u_n \rightarrow u_0$ strongly in $H_0^1(\Omega)$ and $J(u_0) = \beta$. Moreover, by Lemma 2.2, u_0 is positive in Ω . \square

It is well known that there is the unique (up to translation), positive, smooth, and radially symmetric solution w of (1.2) in \mathbb{R}^N such that $J^\infty(w) = \alpha^\infty$. (See Bahri and Lions [18], Gidas et al. [19, 20] and Kwong [21]). Recall the facts

(i) for any $\varepsilon > 0$, there exist constants $C_0, C'_0 > 0$ such that for all $z \in \mathbb{R}^N$

$$w(z) \leq C_0 \exp(-|z|), \quad |\nabla w(z)| \leq C'_0 \exp(-(1 - \varepsilon)|z|), \quad (3.4)$$

(ii) for any $\varepsilon > 0$, there exists a constant $C_\varepsilon > 0$ such that

$$w(z) \geq C_\varepsilon \exp(-(1 + \varepsilon)|z|) \quad \forall z \in \mathbb{R}^N. \quad (3.5)$$

Suppose $D \subset B^N(0; R) = \{z \in \mathbb{R}^N \mid |z| < R\}$ for some $R > 0$. Let $\psi_R : \mathbb{R}^N \rightarrow [0, 1]$ be a C^∞ -function on \mathbb{R}^N such that $0 \leq \psi_R \leq 1$, $|\nabla \psi_R| \leq c$ and

$$\psi_R(z) = \begin{cases} 1 & \text{for } |z| \geq R + 1, \\ 0 & \text{for } |z| \leq R. \end{cases} \quad (3.6)$$

We define

$$w_{\bar{z}}(z) = \psi_R(z)w(z - \bar{z}) \quad \text{for } \bar{z} \in \mathbb{R}^N. \quad (3.7)$$

Clearly, $w_{\bar{z}}(z) \in H_0^1(\Omega)$.

We need the following lemmas to prove that $\sup_{t \geq 0} J(tw_{\bar{z}}) < \alpha^\infty$ for sufficiently large $|\bar{z}|$.

Lemma 3.4. Let E be a domain in \mathbb{R}^N . If $f : E \rightarrow \mathbb{R}$ satisfies

$$\int_E |f(z)e^{\sigma|z|}| dz < \infty \quad \text{for some } \sigma > 0, \quad (3.8)$$

then

$$\left(\int_E f(z)e^{-\sigma|z-\bar{z}|} dz \right) e^{\sigma|\bar{z}|} = \int_E f(z)e^{\sigma(\langle z, \bar{z} \rangle / |\bar{z}|)} dz + o(1) \quad \text{as } |\bar{z}| \rightarrow \infty. \quad (3.9)$$

Proof. Since $\sigma|\bar{z}| \leq \sigma|z| + \sigma|z - \bar{z}|$, we have

$$|f(z)e^{-\sigma|z-\bar{z}|} e^{\sigma|\bar{z}|}| \leq |f(z)e^{\sigma|z|}|. \quad (3.10)$$

Since $-\sigma|z - \bar{z}| + \sigma|\bar{z}| = \sigma(\langle z, \bar{z} \rangle / |\bar{z}|) + o(1)$ as $|\bar{z}| \rightarrow \infty$, then the lemma follows from the Lebesgue-dominated convergence theorem. \square

Next, assume that q is a positive continuous function in \mathbb{R}^N and satisfies (q1) and

$$q(z) \geq q_\infty + C \exp(-\delta|z|) \quad \text{for some } C > 0 \text{ and } 0 < \delta < 2. \quad (\text{q2})$$

Then, we have the following lemmas.

Lemma 3.5. (i) There exists a number $t_0 > 0$ such that for $0 \leq t < t_0$ and each $w_{\bar{z}} \in H_0^1(\Omega)$, we have

$$J(tw_{\bar{z}}) < \alpha^\infty. \quad (3.11)$$

There exists a number $t_1 > 0$ such that for any $t > t_1$ and $|\bar{z}| \geq R + 2$, we have

$$J(tw_{\bar{z}}) < 0. \quad (3.12)$$

Proof. (i) Since $\alpha^\infty > 0 = J(0)$, J is continuous in $H_0^1(\Omega)$ and $\{w_{\bar{z}}\}$ is bounded in $H_0^1(\Omega)$, then there exists $t_0 > 0$ such that for $0 \leq t < t_0$ and each $w_{\bar{z}} \in H_0^1(\Omega)$

$$J(tw_{\bar{z}}) < \alpha^\infty. \quad (3.13)$$

For $|\bar{z}| \geq R + 2$: since $0 \leq \psi_R \leq 1$, $|\nabla \psi_R| \leq c$ and $q(z) \geq q_\infty$, we have that

$$\begin{aligned}
 J(tw_{\bar{z}}) &= \frac{t^2}{2} \int_{\Omega} \left[|\nabla(\psi_R(z)w(z - \bar{z}))|^2 + (\psi_R(z)w(z - \bar{z}))^2 \right] dz \\
 &\quad - \frac{t^2}{p} \int_{\Omega} q(z)(\psi_R(z)w(z - \bar{z}))^p dz \\
 &\leq \frac{t^2}{2} \int_{\mathbb{R}^N} \left[|(\nabla \psi_R)w(z - \bar{z}) + \psi_R \nabla w(z - \bar{z})|^2 + w(z - \bar{z})^2 \right] dz \\
 &\quad - \frac{t^p}{p} \int_{B(\bar{z}; 1)} q_\infty w(z - \bar{z})^p dz \quad (\because \psi_R(z) = 1 \text{ for } z \in B(\bar{z}; 1)) \\
 &\leq \frac{t^2}{2} \int_{\mathbb{R}^N} \left\{ [c\psi_R(z) + |\nabla \psi_R(z)|]^2 + w(z)^2 \right\} dz - \frac{t^p}{p} \int_{B(0; 1)} q_\infty w(z)^p dz.
 \end{aligned} \tag{3.14}$$

Hence, there exists $t_1 > 0$ such that

$$J(tw_{\bar{z}}) < 0 \quad \text{for any } t > t_1, \quad |\bar{z}| \geq R + 2. \tag{3.15}$$

□

Lemma 3.6. *There exists a number $R_1 > R + 2 > 0$ such that for any $|\bar{z}| \geq R_1$, we obtain*

$$\sup_{t \geq 0} J(tw_{\bar{z}}) < \alpha^\infty. \tag{3.16}$$

Proof. Applying the above lemma, we only need to show that there exists a number $R_1 > R + 2 > 0$ such that for any $|\bar{z}| \geq R_1$,

$$\sup_{t_0 \leq t \leq t_1} J(tw_{\bar{z}}) < \alpha^\infty. \tag{3.17}$$

For $t_0 \leq t \leq t_1$, since

$$|\nabla(\psi_R w(z - \bar{z}))|^2 = |\nabla \psi_R|^2 w(z - \bar{z})^2 + \psi_R^2 |\nabla w(z - \bar{z})|^2 + 2\psi_R w(z - \bar{z}) \nabla \psi_R \nabla w(z - \bar{z}), \tag{3.18}$$

then we have

$$\begin{aligned}
J(tw_{\bar{z}}) &= \frac{t^2}{2} \int_{\mathbb{R}^N} \left\{ |\nabla(\psi_R(z)w(z-\bar{z}))|^2 + [(\psi_R(z)w(z-\bar{z}))]^2 \right\} dz \\
&\quad - \frac{t^p}{p} \int_{\mathbb{R}^N} q(z) [\psi_R(z)w(z-\bar{z})]^p dz \quad (\because \text{the definition of } \psi_R) \\
&\leq \frac{t^2}{2} \int_{\mathbb{R}^N} [|\nabla w(z-\bar{z})|^2 + w(z-\bar{z})^2] dz - \frac{t^p}{p} \int_{\mathbb{R}^N} q_\infty w(z-\bar{z})^p dz \\
&\quad + \frac{t^2}{2} \int_{\mathbb{R}^N} [|\nabla \psi_R|^2 w(z-\bar{z})^2 + 2\psi_R w(z-\bar{z}) \nabla \psi_R \nabla w(z-\bar{z})] dz \\
&\quad - \frac{t^p}{p} \int_{\mathbb{R}^N} [q(z)\psi_R^p w(z-\bar{z})^p - q_\infty w(z-\bar{z})^p] dz \quad (\because (3.18) \text{ and } 0 \leq \psi_R \leq 1) \\
&\leq \alpha^\infty + \frac{t_1^2}{2} \int_{\mathbb{R}^N} [|\nabla \psi_R|^2 w(z-\bar{z})^2 + 2|w(z-\bar{z})| |\nabla \psi_R| |\nabla w(z-\bar{z})|] dz \\
&\quad - \frac{t_0^p}{p} \int_{\{|z| \geq R+1\}} (q(z) - q_\infty) w(z-\bar{z})^p dz \\
&\quad + \frac{t_1^p}{p} \int_{\{|z| \leq R+1\}} q_\infty w(z-\bar{z})^p dz \quad \left(\because \sup_{t \geq 0} J^\infty(tw) = \alpha^\infty \text{ and the definition of } \psi_R \right).
\end{aligned} \tag{3.19}$$

Since the support of $\nabla \psi_R$ is bounded, then

$$\begin{aligned}
\int_{\text{supp}(\nabla \psi_R)} |\nabla \psi_R|^2 w(z-\bar{z})^2 dz &\leq C_1 \exp(-2|\bar{z}|), \\
\int_{\text{supp}(\nabla \psi_R)} |w(z-\bar{z})| |\nabla \psi_R| |\nabla w(z-\bar{z})| dz &\leq C_2 \exp(-(2-\varepsilon)|\bar{z}|).
\end{aligned} \tag{3.20}$$

Similarly, we have

$$\int_{\{|z| \leq R+1\}} q_\infty w(z-\bar{z})^p dz \leq C_3 \exp(-p|\bar{z}|). \tag{3.21}$$

Since $q(z) \geq q_\infty + C \exp(-\delta|z|)$ for some $0 < \delta < 2$, by Lemma 3.4, there exists $R'_1 > R + 2 > 0$ such that for any $|\bar{z}| > R'_1$

$$\begin{aligned} \int_{\{|z| \leq R+1\}} (q(z) - q_\infty) w(z - \bar{z})^p dz &\geq C'_\varepsilon \exp(-\min\{\delta, p(1 + \varepsilon)\}|\bar{z}|) \\ &\geq C'_\varepsilon \exp(-\delta|\bar{z}|). \end{aligned} \quad (3.22)$$

Choosing $0 < \varepsilon < 2 - \delta$ and using (3.20)–(3.22), there exists $R_1 > R'_1$ such that for $|\bar{z}| \geq R_1$, we have

$$\sup_{t_0 \leq t \leq t_1} J(tw_{\bar{z}}) < \alpha^\infty, \quad (3.23)$$

that is, $\sup_{t \geq 0} J(tw_{\bar{z}}) < \alpha^\infty$. \square

Using the Ekeland variational principle (or see Stuart [22]), there is a $(PS)_{\alpha(\Omega)}$ -sequence $\{u_n\} \subset \mathbf{M}(\Omega)$ for J . Then, we apply Lemma 3.3(ii) to obtain the existence of positive ground state solution of (1.1) in Ω .

Theorem 3.7. *Assume that q is a positive continuous function in \mathbb{R}^N and satisfies (q1) and (q2). Then, there exists at least one positive ground state solution u_0 of (1.1) in Ω .*

Proof. Since $w_{\bar{z}} \in H_0^1(\Omega)$, by Lemma 2.4(i), there exists $s_{\bar{z}} > 0$ such that $s_{\bar{z}}w_{\bar{z}} \in \mathbf{M}(\Omega)$. Thus, by Lemma 3.6, $\alpha(\Omega) \leq J(s_{\bar{z}}w_{\bar{z}}) \leq \sup_{t \geq 0} J(tw_{\bar{z}}) < \alpha^\infty$ for $|\bar{z}| \geq R_1$. Using the Ekeland variational principle, there is a $(PS)_{\alpha(\Omega)}$ -sequence $\{u_n\} \subset \mathbf{M}(\Omega)$ for J . Apply Lemma 3.3(ii), there exists at least one positive solution u_0 of (1.1) in Ω such that $J(u_0) = \alpha(\Omega)$. \square

4. Existence of Multiple Solutions

In this section, we use two methods to obtain the existence of multiple positive solutions of (1.1) in an exterior domain. Part I: we study the idea of category to prove Theorem 4.10. Part II: we study the Bahri-Li minimax method to prove Theorem 4.15.

Lemma 4.1. *Assume that q is a positive continuous function in \mathbb{R}^N . If q satisfies (q1), (q2) and $(m/2)q_\infty \not\geq q(z)$ where $m > 2$, then there exists $m_0 > 2$ such that for $m \leq m_0$, we obtain that $2\alpha(\Omega) > \alpha^\infty$.*

Proof. Since $q(z) \not\geq q_\infty$, by Lions [2, 3], let $w_0 \in H^1(\mathbb{R}^N)$ be a positive solution of $-\Delta w_0 + w_0 = q(z)|w_0|^{p-2}w_0$ in \mathbb{R}^N and $J(w_0) = \alpha(\mathbb{R}^N)$. By Lemma 2.4(i) and Remark 2.7, there exists $s_0 > 0$ such that $s_0w_0 \in \mathbf{M}^\infty(\mathbb{R}^N)$ and $J^\infty(s_0w_0) \geq \alpha^\infty$ and

$$\int_{\mathbb{R}^N} [|\nabla(s_0w_0)|^2 + (s_0w_0)^2] dz = \int_{\mathbb{R}^N} q_\infty(s_0w_0)^p dz \geq \frac{2p}{p-2}\alpha^\infty. \quad (4.1)$$

Moreover, we have

$$1 = \frac{\int_{\mathbb{R}^N} |\nabla w_0|^2 + w_0^2}{\int_{\mathbb{R}^N} q(z) w_0^p} < \frac{\int_{\mathbb{R}^N} |\nabla w_0|^2 + w_0^2}{\int_{\mathbb{R}^N} q_\infty w_0^p} = s_0^{p-2} < \frac{\int_{\mathbb{R}^N} (m/2) q_\infty w_0^p}{\int_{\mathbb{R}^N} q_\infty w_0^p} = \frac{m}{2}. \quad (4.2)$$

Hence, using the above inequalities, we get

$$\begin{aligned} \alpha(\mathbb{R}^N) &= J(w_0) = \sup_{s \geq 0} J(s w_0) > J(s_0 w_0) \\ &= J^\infty(s_0 w_0) - \frac{1}{p} \int_{\mathbb{R}^N} (q(z) - q_\infty) (s_0 w_0)^p dz \\ &\geq \alpha^\infty - \frac{1}{p} \left(\frac{m}{2} - 1 \right) \int_{\mathbb{R}^N} q_\infty (s_0 w_0)^p dz \\ &= \alpha^\infty - \frac{s_0^2}{p} \left(\frac{m}{2} - 1 \right) \int_{\mathbb{R}^N} (|\nabla w_0|^2 + w_0^2) dz \\ &> \alpha^\infty - \frac{1}{p} \left(\frac{m}{2} - 1 \right) \left(\frac{m}{2} \right)^{2/(p-2)} \frac{2p}{p-2} \alpha(\mathbb{R}^N), \end{aligned} \quad (4.3)$$

that is, $[1 + ((m-2)/(p-2))(m/2)^{2/(p-2)}] \alpha(\mathbb{R}^N) > \alpha^\infty$. Choose some $m_0 > 2$ such that for $2 < m \leq m_0$, then $2\alpha(\mathbb{R}^N) > \alpha^\infty$. By Lemma 2.6 and Theorem 3.7, $2\alpha(\Omega) > 2\alpha(\mathbb{R}^N) > \alpha^\infty$. \square

Lemma 4.2. *There exists a number $\delta_0 > 0$ such that if $u \in \mathbf{M}^\infty(\Omega)$ and $J^\infty(u) \leq \alpha^\infty + \delta_0$, then*

$$\int_{\mathbb{R}^N} \frac{z}{|z|} (|\nabla u|^2 + u^2) dz \neq \vec{0}. \quad (4.4)$$

Proof. On the contrary, there exists a sequence $\{u_n\}$ in $\mathbf{M}^\infty(\Omega)$ such that $J^\infty(u_n) = \alpha^\infty + o_n(1)$ as $n \rightarrow \infty$ and

$$\int_{\mathbb{R}^N} \frac{z}{|z|} (|\nabla u_n|^2 + u_n^2) dz = \vec{0} \quad \forall n. \quad (4.5)$$

By Lemma 2.8, $\{u_n\}$ is a $(PS)_{\alpha^\infty}$ -sequence in $H_0^1(\Omega)$ for J^∞ . Since $\alpha^\infty(\Omega) = \alpha^\infty(\mathbb{R}^N)$, Lien et al. [17] proved that (1.2) does not have any ground state solution in an exterior domain, that is, $\inf_{v \in \mathbf{M}^\infty(\Omega)} J^\infty(v) = \alpha^\infty(\Omega)$ is not achieved. Applying the Palais-Smale Decomposition Lemma 3.2, we have that there exists a sequence $\{z_n\}$ in \mathbb{R}^N such that $|z_n| \rightarrow \infty$ as $n \rightarrow \infty$ and

$$u_n(z) = w(z - z_n) + o_n(1) \quad \text{strongly in } H^1(\mathbb{R}^N), \quad (4.6)$$

where w is the positive solution of (1.2) in \mathbb{R}^N . Suppose the subsequence $z_n/|z_n| \rightarrow z_0$ as $n \rightarrow \infty$, where z_0 is a unit vector in \mathbb{R}^N . Then, by the Lebesgue dominated convergence theorem, we have

$$\begin{aligned} \vec{0} &= \int_{\mathbb{R}^N} \frac{z}{|z|} (|\nabla u_n|^2 + u_n^2) dz \\ &= \int_{\mathbb{R}^N} \frac{z + z_n}{|z + z_n|} (|\nabla w|^2 + w^2) dz + o_n(1) \\ &= \left(\frac{2p}{p-2} \right) \alpha^\infty z_0 + o_n(1), \end{aligned} \quad (4.7)$$

which is a contradiction. \square

Using the results of Lemma 2.4(i), let $K(u) = J(s_u u) = \sup_{s \geq 0} J(su)$ for each $u \in H_0^1(\Omega) \setminus \{0\}$ with $u_+ \neq 0$. For $c \in \mathbb{R}$, we denote

$$[K \leq c] = \{u \in \Sigma \mid K(u) \leq c\}, \quad (4.8)$$

where $\Sigma = \{u \in H_0^1(\Omega) \mid u_+ \neq 0 \text{ and } \|u\|_{H^1} = 1\}$. Then, we have the following lemma.

Lemma 4.3. (i) $K \in C^1(\Sigma, \mathbb{R})$ and

$$\langle K'(u), \varphi \rangle = s_u \langle J'(s_u u), \varphi \rangle \quad (4.9)$$

for all $\varphi \in T_u \Sigma = \{\varphi \in H_0^1(\Omega) \mid \langle \varphi, u \rangle = 0\}$.

(ii) $u \in \Sigma$ is a critical point of $K(u)$ if and only if $s_u u \in H_0^1(\Omega)$ is a critical point of J .

Proof. (i) For $u \in \Sigma$, it is easy to check that

$$\begin{aligned} \frac{d}{ds} J(su)|_{s=s_u} &= 0, \\ \frac{d^2}{ds^2} J(su)|_{s=s_u} &= a(u) - (p-1)s_u^{p-2}b(u_+) = (2-p)a(u) < 0. \end{aligned} \quad (4.10)$$

Then, using the implicit function theorem to obtain that $s_u \in C^1(\Sigma, (0, \infty))$. Therefore, $K(u) = J(s_u u) \in C^1(\Sigma, \mathbb{R})$. Since $s_u u \in \mathbf{M}(\Omega)$, we can get $\langle J'(s_u u), u \rangle = 0$. Thus,

$$\begin{aligned} \langle K'(u), \varphi \rangle &= \langle J'(s_u u), s_u \varphi \rangle + \langle J'(s_u u), \langle s'_u, \varphi \rangle u \rangle \\ &= s_u \langle J'(s_u u), \varphi \rangle \quad \forall \varphi \in T_u \Sigma. \end{aligned} \quad (4.11)$$

(ii) By (i), $K'(u) = 0$ if and only if $\langle J'(s_u u), \varphi \rangle = 0$ for all $\varphi \in T_u \Sigma$. Since $H_0^1(\Omega)$ is a Hilbert space and $\langle J'(s_u u), u \rangle = 0$, so it is equivalent to $J'(s_u u) = 0$ in $H^{-1}(\Omega)$. \square

Lemma 4.4. Assume that q is a positive continuous function in \mathbb{R}^N and satisfies (q1) and for $m > 2$ and $0 < \delta < 2$

$$\frac{m}{2}q_\infty \not\equiv q(z) \geq q_\infty + C \exp(-\delta|z|) \quad \text{where } 0 < C \leq \frac{m-2}{2}q_\infty. \quad (4.12)$$

We have that there exists a number $m_0 \geq m_1 > 2$ (m_0 is defined in Lemma 4.1) such that if $m \leq m_1$, then

$$\int_{\mathbb{R}^N} \frac{z}{|z|} (|\nabla u|^2 + u^2) dz \neq \vec{0} \quad \text{for any } u \in [K < \alpha^\infty]. \quad (4.13)$$

Proof. By the assumptions of q , Lemmas 2.4(i) and 3.6, the set $[K < \alpha^\infty]$ is nonempty. For any $u \in [K < \alpha^\infty]$, $u \in \Sigma$, $s_u u \in \mathbf{M}(\Omega)$ and $J(s_u u) < \alpha^\infty$, we get $J(s_u u) \geq \alpha(\Omega)$ and

$$\frac{2p}{p-2}\alpha(\Omega) \leq s_u^2 = s_u^p \int_{\Omega} q(z)u_+^p dz < \frac{2p}{p-2}\alpha^\infty. \quad (4.14)$$

Since $2\alpha(\Omega) > \alpha^\infty$ (by Lemma 4.1), then we have

$$\begin{aligned} \frac{p}{p-2}\alpha^\infty &< \frac{2p}{p-2}\alpha(\Omega) \leq s_u^p \|q\|_\infty \int_{\Omega} u_+^p dz \\ &< \left(\frac{2p}{p-2}\alpha^\infty\right)^{p/2} \|q\|_\infty \int_{\Omega} u_+^p dz. \end{aligned} \quad (4.15)$$

By Lemma 4.2 (i) and Remark 2.7, there exists $t_\infty > 0$ such that $t_\infty u \in \mathbf{M}^\infty(\Omega)$, then by (4.15), we have

$$t_\infty^2 = t_\infty^p \int_{\Omega} q_\infty u_+^p dz > t_\infty^p q_\infty \left(\frac{p-2}{2p\alpha^\infty}\right)^{(p-2)/2} \frac{1}{mq_\infty}, \quad (4.16)$$

that is,

$$m^{1/(p-2)} \sqrt{\frac{2p\alpha^\infty}{p-2}} > t_\infty. \quad (4.17)$$

Since $u \in [K < \alpha^\infty]$ and by the definitions of J and J_∞ ,

$$\begin{aligned} \alpha^\infty &> J(s_u u) = \sup_{s \geq 0} J(su) \geq J(t_\infty u) \\ &= \frac{1}{2}a(t_\infty u) - \frac{1}{p} \int_{\Omega} q(z)t_\infty^p u_+^p dz \\ &= J^\infty(t_\infty u) - \frac{1}{p} \int_{\Omega} (q(z) - q_\infty)t_\infty^p u_+^p dz. \end{aligned} \quad (4.18)$$

From (4.17) and (4.18), we have

$$\begin{aligned}
 J^\infty(t_\infty u) &< \alpha^\infty + \frac{1}{p} \int_{\Omega} (q(z) - q_\infty) t_\infty u_+^p dz \\
 &\leq \alpha^\infty + \frac{1}{pq_\infty} \left(\frac{m-2}{2} \right) q_\infty t_\infty^2 \\
 &< \alpha^\infty + \frac{m-2}{p-2} m^{2/(p-2)} \alpha^\infty.
 \end{aligned} \tag{4.19}$$

Hence, there exists $m_0 \geq m_1 > 2$ such that if $2 < m < m_1$, then

$$J^\infty(t_\infty u) \leq \alpha^\infty + \delta_0, \quad \text{where } t_\infty u \in \mathbf{M}^\infty(\Omega). \tag{4.20}$$

By Lemma 4.2, we obtain

$$\int_{\mathbb{R}^N} \frac{z}{|z|} \left[|\nabla(t_\infty u)|^2 + (t_\infty u)^2 \right] dz \neq \vec{0}, \tag{4.21}$$

or

$$\int_{\mathbb{R}^N} \frac{z}{|z|} (|\nabla u|^2 + u^2) dz \neq \vec{0}. \tag{4.22}$$

□

We try to show that for a sufficiently small $\sigma > 0$

$$\text{cat}([K \leq \alpha^\infty - \sigma]) \geq 2. \tag{4.23}$$

To prove (4.23), we need some preliminaries. Recall the definition of Lusternik-Schnirelman category.

Definition 4.5. (i) For a topological space X , we say a nonempty, closed subset $A \subset X$ is contractible to a point in X if and only if there exists a continuous mapping

$$\eta : [0, 1] \times A \longrightarrow X \tag{4.24}$$

such that for some $x_0 \in X$ and

$$\begin{aligned}
 \eta(0, x) &= x \quad \forall x \in A, \\
 \eta(1, x) &= x_0 \quad \forall x \in A.
 \end{aligned} \tag{4.25}$$

(ii) We define

$$\text{cat}(X) = \min \left\{ k \in \mathbb{N} \mid \text{there exist closed subsets } A_1, \dots, A_k \subset X \text{ such that} \right. \\ \left. A_j \text{ is contractible to a point in } X \text{ for all } j \text{ and } \bigcup_{j=1}^k A_j = X \right\}. \quad (4.26)$$

When there do not exist finitely many closed subsets $A_1, \dots, A_k \subset X$ such that A_j is contractible to a point in X for all j and $\bigcup_{j=1}^k A_j = X$, we say $\text{cat}(X) = \infty$.

We need the following two lemmas.

Lemma 4.6. *Suppose that X is a Hilbert manifold and $\Psi \in C^1(X, \mathbb{R})$. Assume that there are $c_0 \in \mathbb{R}$ and $k \in \mathbb{N}$,*

(i) $\Psi(x)$ satisfies the $(PS)_c$ -condition for $c \leq c_0$,

(ii) $\text{cat}(\{x \in X \mid \Psi(x) \leq c_0\}) \geq k$.

Then, $\Psi(x)$ has at least k critical points in $\{x \in X; \Psi(x) \leq c_0\}$.

Proof. See Ambrosetti [23, Theorem 2.3]. □

Lemma 4.7. *Let $N \geq 1$, $S^{N-1} = \{z \in \mathbb{R}^N \mid |z| = 1\}$, and let X be a topological space. Suppose that there are two continuous maps*

$$F : S^{N-1} \longrightarrow X, \quad G : X \longrightarrow S^{N-1} \quad (4.27)$$

such that $G \circ F$ is homotopic to the identity map of S^{N-1} , that is, there exists a continuous map $\zeta : [0, 1] \times S^{N-1} \rightarrow S^{N-1}$ such that

$$\zeta(0, z) = (G \circ F)(z) \quad \text{for each } z \in S^{N-1}, \\ \zeta(1, z) = z \quad \text{for each } z \in S^{N-1}. \quad (4.28)$$

Then,

$$\text{cat}(X) \geq 2. \quad (4.29)$$

Proof. See Adachi and Tanaka [12, Lemma 2.5]. □

From the result of Lemma 4.4, for $2 < m \leq m_1$, let q satisfy the condition

$$\frac{m}{2}q_\infty \not\equiv q(z) \geq q_\infty + C \exp(-\delta|z|) \quad \text{where } 0 < C \leq \frac{m-2}{2}q_\infty \text{ and } 0 < \delta < 2. \quad (q'_2)$$

In this section, assume that q is a positive continuous function in \mathbb{R}^N and satisfies (q_1) , and (q'_2) . Let $\tilde{z} \in S^{N-1}$ and $w_n(z) = \psi_R(z)\omega(z - n\tilde{z}) \in H_0^1(\Omega)$ for each $n \in \mathbb{N}$. By Lemma 2.4(i),

there exist unique numbers $(n, \tilde{z}) > 0$ such that $s(n, \tilde{z})w_n \in \mathbf{M}(\Omega)$. We define a map $F_n : S^{N-1} \rightarrow H_0^1(\Omega)$ by

$$F_n(\tilde{z})(z) = \frac{s(n, \tilde{z})w_n(z)}{\|s(n, \tilde{z})w_n(z)\|_{H^1}} \quad \text{for } \tilde{z} \in S^{N-1}. \quad (4.30)$$

Then, we have the following lemma.

Lemma 4.8. *There are $n_0 \in \mathbb{N}$ and a sequence $\{\sigma_n\}$ in \mathbb{R}^+ such that*

$$F_n(S^{N-1}) \subset [K \leq \alpha^\infty - \sigma_n] \quad \text{for each } n \geq n_0. \quad (4.31)$$

Proof. Since there exists a unique number $s(n, \tilde{z}) > 0$ such that $s(n, \tilde{z})w_n \in \mathbf{M}(\Omega)$, and by the definition of K , then we obtain that there exists $t_n > 0$ such that

$$K\left(\frac{s(n, \tilde{z})w_n(z)}{\|s(n, \tilde{z})w_n(z)\|_{H^1}}\right) = J\left(t_n \frac{s(n, \tilde{z})w_n(z)}{\|s(n, \tilde{z})w_n(z)\|_{H^1}}\right), \quad (4.32)$$

where $t_n = \|s(n, \tilde{z})w_n(z)\|_{H^1}$. By Lemma 3.6, there is $n_0 \in \mathbb{N}$ such that $J(s(n, \tilde{z})w_n) \leq \sup_{t \geq 0} J(tw_n) < \alpha^\infty$ for each $n \geq n_0$. Thus, the conclusion holds. \square

Applying Lemma 4.4, we obtain

$$\int_{\mathbb{R}^N} \frac{z}{|z|} (|\nabla u|^2 + u^2) dz \neq \vec{0} \quad \text{for any } u \in [K \in \alpha^\infty]. \quad (4.33)$$

Now, we define

$$G : [K < \alpha^\infty] \longrightarrow S^{N-1} \quad (4.34)$$

by

$$G(u) = \frac{\int_{\mathbb{R}^N} (z/|z|) (|\nabla u|^2 + |u|^2) dz}{\left| \int_{\mathbb{R}^N} (z/|z|) (|\nabla u|^2 + |u|^2) dz \right|}. \quad (4.35)$$

Lemma 4.9. *For each $n \geq n_0$, the map*

$$G \circ F_n : S^{N-1} \longrightarrow S^{N-1} \quad (4.36)$$

is homotopic to the identity.

Proof. Define

$$\zeta_n(\theta, \tilde{z}) : [0, 1] \times S^{N-1} \longrightarrow S^{N-1} \quad (4.37)$$

by

$$\zeta_n(\theta, \tilde{z}) = \begin{cases} G\left(\frac{(1-2\theta)s(n, \tilde{z})\psi_R w(z-n\tilde{z}) + 2\theta\psi_R w(z-n\tilde{z})}{\|(1-2\theta)s(n, \tilde{z})\psi_R w(z-n\tilde{z}) + 2\theta\psi_R w(z-n\tilde{z})\|_{H^1}}\right) & \text{for } \theta \in \left[0, \frac{1}{2}\right), \\ G\left(\frac{\psi_R w(z - (n/2(1-\theta))\tilde{z})}{\|\psi_R w(z - (n/2(1-\theta))\tilde{z})\|_{H^1}}\right) & \text{for } \theta \in \left[\frac{1}{2}, 1\right), \\ \tilde{z} & \text{for } \theta = 1. \end{cases} \quad (4.38)$$

We need to show that $\lim_{\theta \rightarrow 1^-} \zeta_n(\theta, \tilde{z}) = \tilde{z}$ and

$$\lim_{\theta \rightarrow 1/2^-} \zeta_n(\theta, \tilde{z}) = G\left(\frac{\psi_R w(z-n\tilde{z})}{\|\psi_R w(z-n\tilde{z})\|_{H^1}}\right). \quad (4.39)$$

(a) $\lim_{\theta \rightarrow 1^-} \zeta_n(\theta, \tilde{z}) = \tilde{z}$: for $1/2 < \theta < 1$, since

$$\begin{aligned} & \int_{\mathbb{R}^N} \frac{z}{|z|} \left(\left| \nabla \left[\psi_R w \left(z - \frac{n}{2(1-\theta)} \tilde{z} \right) \right] \right|^2 + \psi_R^2 w \left(z - \frac{n}{2(1-\theta)} \tilde{z} \right)^2 \right) dz \\ &= \int_{\mathbb{R}^N} \frac{z + (n/2(1-\theta))\tilde{z}}{|z + (n/2(1-\theta))\tilde{z}|} (|\nabla w(z)|^2 + w(z)^2) dz + o(1) \\ &= \left(\frac{2p}{p-2} \right) \alpha^\infty \tilde{z} + o(1) \quad \text{as } \theta \rightarrow 1^-, \end{aligned} \quad (4.40)$$

and $\|\psi_R w(z - (n/2(1-\theta))\tilde{z})\|_{H^1}^2 = (2p/(p-2))\alpha^\infty + o(1)$ as $\theta \rightarrow 1^-$, then $\lim_{\theta \rightarrow 1^-} \zeta_n(\theta, \tilde{z}) = \tilde{z}$.

(b) By the continuity of G , it is easy to check that

$$\lim_{\theta \rightarrow 1/2^-} \zeta_n(\theta, \tilde{z}) = G\left(\frac{\psi_R w(z-n\tilde{z})}{\|\psi_R w(z-n\tilde{z})\|_{H^1}}\right). \quad (4.41)$$

Thus, $\zeta_n(\theta, \tilde{z}) \in C([0, 1] \times S^{N-1}, S^{N-1})$ and

$$\begin{aligned} \zeta_n(0, \tilde{z}) &= G(F_n(\tilde{z})) \quad \forall \tilde{z} \in S^{N-1}, \\ \zeta_n(1, \tilde{z}) &= \tilde{z} \quad \forall \tilde{z} \in S^{N-1}, \end{aligned} \quad (4.42)$$

provided $n \geq n_0$. This completes the proof. \square

Theorem 4.10. Assume that q is a positive continuous function in \mathbb{R}^N and satisfies (q1) and (q₂). Then, $J(u)$ has at least two critical points in

$$[K < \alpha^\infty], \quad (4.43)$$

and there exists at least two positive solutions of (1.1) in Ω .

Proof. Applying Lemmas 4.7 and 4.9, we have for $n \geq n_0$

$$\text{cat}([K \leq \alpha^\infty - \sigma_n]) \geq 2. \quad (4.44)$$

Next, we need to show that K satisfies the $(PS)_\beta$ -condition for $0 < \beta \leq \alpha^\infty - \sigma_n$. Let $\{u_n\} \subset \Sigma$ satisfy $K(u_n) = \beta + o_n(1)$ and

$$\begin{aligned} \|K'(u_n)\|_{T_{u_n}^{-1}\Sigma} &= \sup\{\langle K'(u_n), \varphi \rangle \mid \varphi \in T_{u_n}\Sigma \text{ and } \|\varphi\|_{H^1} = 1\} \\ &= o_n(1) \quad \text{as } n \rightarrow \infty. \end{aligned} \quad (4.45)$$

Since $K(u_n) = J(s_n u_n) = \beta + o_n(1)$ as $n \rightarrow \infty$ and $s_n u_n \in \mathbf{M}(\Omega)$, then

$$s_n^2 = \frac{2p}{p-2}\beta + o_n(1). \quad (4.46)$$

Using (4.9) and $\langle J'(s_n u_n), u_n \rangle = 0$ to obtain that

$$\|J'(s_n u_n)\|_{H^{-1}} = o_n(1) \quad \text{as } n \rightarrow \infty. \quad (4.47)$$

Hence, $\{s_n u_n\} \subset \mathbf{M}(\Omega)$ is a $(PS)_\beta$ -sequence for J . By Lemma 3.3(ii), K satisfies the $(PS)_\beta$ -condition for $0 < \beta \leq \alpha^\infty - \sigma_n$. Now, we apply Lemma 4.6 to get that K has at least two critical points in $[K < \alpha^\infty]$. Moreover, by Lemmas 4.3(ii) and 2.2, there are at least two positive solutions of (1.1) in Ω . \square

Recall that there exist a unique $s_u > 0$ and a unique $s_u^\infty > 0$ such that $s_u u \in \mathbf{M}(\Omega)$ and $s_u^\infty u \in \mathbf{M}^\infty(\Omega)$. Then, we have the following results.

Lemma 4.11. For each $u \in \Sigma$, we have that

$$\left(\frac{p-m}{p-2}\right) J^\infty(s_u^\infty u) \leq J(s_u u) \leq J^\infty(s_u^\infty u), \quad \text{where } m > 2. \quad (4.48)$$

Proof. Since $(m/2)q_\infty \gneq q(z) \gneq q_\infty$, where $m > 2$, we obtain that for each $u \in \Sigma$ and

$$\begin{aligned} J(s_u u) &\leq J^\infty(s_u u) \leq \sup_{s \geq 0} J^\infty(su) = J^\infty(s_u^\infty u), \\ J(s_u u) &= \sup_{s \geq 0} J(su) \geq J(s_u^\infty u) = \frac{1}{2} \|s_u^\infty u\|_{H^1}^2 - \frac{1}{p} \int_{\Omega} q(z)(s_u^\infty u_+)^p dz \\ &\geq \frac{1}{2} \int_{\Omega} q_\infty (s_u^\infty u_+)^p dz - \frac{1}{p} \int_{\Omega} \frac{m}{2} q_\infty (s_u^\infty u_+)^p dz \\ &= \left(\frac{1}{2} - \frac{m}{2p} \right) \int_{\Omega} q_\infty (s_u^\infty u_+)^p dz = \left(\frac{p-m}{p-2} \right) J^\infty(s_u^\infty u). \end{aligned} \quad (4.49)$$

□

Let

$$\begin{aligned} K(u) &= \max_{s \geq 0} J(su) = J(s_u u) > 0, \\ K^\infty(u) &= \max_{s \geq 0} J^\infty(su) = J(s_u^\infty u) > 0, \end{aligned} \quad (4.50)$$

where $s_u u \in \mathbf{M}(\Omega)$ and $s_u^\infty u \in \mathbf{M}^\infty(\Omega)$. Bahri-Li's minimax argument [4] also works for K . Let

$$\Gamma = \left\{ g \in C(\overline{B_r(0)}, \Sigma) \mid g|_{\partial B_r(0)} = \frac{\psi_R(z)w(z-y)}{\|\psi_R(z)w(z-y)\|_{H^1}} \right\} \quad \text{for large } r = |y|. \quad (4.51)$$

Then, we define

$$\begin{aligned} \gamma(\Omega) &= \inf_{g \in \Gamma} \sup_{y \in \overline{B_r(0)}} K(g(y)), \\ \gamma^\infty(\Omega) &= \inf_{g \in \Gamma} \sup_{y \in \overline{B_r(0)}} K^\infty(g(y)). \end{aligned} \quad (4.52)$$

Lemma 4.12. $\alpha^\infty < \gamma^\infty(\Omega) < 2\alpha^\infty$.

Proof. Bahri and Li [4] proved that (1.2) admits at least one positive solution u in Ω and $J^\infty(u) = \gamma^\infty(\Omega) < 2\alpha^\infty$. Lien et al. [17] proved that (1.2) does not have any positive ground state solution in Ω and $\alpha^\infty(\Omega) = \alpha^\infty(\mathbb{R}^N) = \alpha^\infty$. Hence, $\alpha^\infty < \gamma^\infty(\Omega) < 2\alpha^\infty$. □

The following minimax lemma is given in Shi [24] to unify the mountain pass lemma of Ambrosetti and Rabinowitz [25] and the saddle point theorem of Rabinowitz [26].

Lemma 4.13. Let V be a compact metric space, $V_0 \subset V$ a closed set, X a Banach space, $\chi \in C(V_0, X)$ and let us define the complete metric space M by

$$M = \{g \in C(V, X) \mid g(s) = \chi(s) \text{ if } s \in V_0\} \quad (4.53)$$

with the usual distance d . Let $\varphi \in C^1(X, \mathbb{R})$ and let us define

$$c = \inf_{g \in M} \max_{s \in V} \varphi(g(s)), \quad c_1 = \max_{X(V_0)} \varphi. \quad (4.54)$$

If $c > c_1$, then for each $\varepsilon > 0$ and each $g \in M$ such that

$$\max_{s \in V} \varphi(g(s)) \leq c + \varepsilon, \quad (4.55)$$

there exists $v \in X$ such that

$$\begin{aligned} c - \varepsilon &\leq \varphi(v) \leq \max_{s \in V} \varphi(g(s)), \\ \text{dist}(v, g(V)) &\leq \varepsilon^{1/2}, \\ \|\varphi'(v)\| &\leq \varepsilon^{1/2}. \end{aligned} \quad (4.56)$$

Lemma 4.14. Assume that q is a positive continuous function in \mathbb{R}^N . If q satisfies (q1) and (q2). Let $\{u_n\} \subset \mathbf{M}(\Omega)$ be a $(PS)_\beta$ -sequence in $H_0^1(\Omega)$ for J with $\alpha^\infty < \beta < \alpha^\infty + \alpha(\Omega)$. Then, there exist a subsequence $\{u_n\}$ and a nonzero $u_0 \in H_0^1(\Omega)$ such that $u_n \rightarrow u_0$ strongly in $H_0^1(\Omega)$, that is, J satisfies the $(PS)_\beta$ -condition in $H_0^1(\Omega)$. Moreover, u_0 is a positive solution of (1.1) such that $J(u_0) = \beta$.

Proof. The proof is similar to Lemma 3.3(ii). Applying Palais-Smale Decomposition Lemma 3.1, we get

$$\alpha^\infty + \alpha(\Omega) > \beta = J(u_n) \geq l\alpha^\infty + \alpha(\Omega) \quad (\text{or } \geq l\alpha^\infty). \quad (4.57)$$

Since w is the unique (up to translation), positive solution of (1.2) in \mathbb{R}^N and $J^\infty(w) = \alpha^\infty > \alpha(\Omega)$, then $l = 0$ and $u_0 \neq 0$. Hence, $u_n \rightarrow u_0$ strongly in $H_0^1(\Omega)$ and $J(u_0) = \beta$. Moreover, by Lemma 2.2, u_0 is positive in Ω . \square

Theorem 4.15. Assume that q is a positive continuous function in \mathbb{R}^N . If q satisfies (q1) and there exists a number $m' > 2$ such that for any $2 < m \leq m'$,

$$\frac{m}{2}q_\infty \not\equiv q(z) \geq q_\infty + C \exp(-\delta|z|), \quad \text{where } 0 < C \leq \frac{m-2}{2}q_\infty \text{ and } 0 < \delta < 2, \quad (q'_2)$$

then (1.1) admits at least three positive solutions in Ω .

Proof. Applying Lemma 4.11(iii) to obtain

$$\begin{aligned} \left(\frac{p-m}{p-2}\right)\alpha^\infty &\leq \alpha(\Omega) \leq \alpha^\infty, \\ \left(\frac{p-m}{p-2}\right)\gamma^\infty(\Omega) &\leq \gamma(\Omega) \leq \gamma^\infty(\Omega). \end{aligned} \quad (4.58)$$

Since $\alpha^\infty < \gamma^\infty(\Omega) < 2\alpha^\infty$, given $0 < \varepsilon < (2\alpha^\infty - \gamma^\infty(\Omega))/2$, there is a number $\min\{m_1, p\} \geq m_2 > 2$ such that for any $2 < m \leq m_2$, we have

$$\gamma^\infty(\Omega) < \alpha^\infty + \alpha(\Omega) \leq 2\alpha^\infty. \quad (4.59)$$

Choosing some $\min\{m_2, p\} \geq m' > 2$ such that for any $2 < m \leq m'$, we get

$$\alpha^\infty < \gamma(\Omega) \leq \gamma^\infty(\Omega) < \alpha^\infty + \alpha(\Omega) \leq 2\alpha^\infty. \quad (4.60)$$

By Lemma 3.6, for any $t \geq 0$, we have

$$J(t\psi_R(z)\omega(z-y)) \leq \alpha^\infty + o(1) \quad \text{as } |y| \rightarrow \infty. \quad (4.61)$$

Then,

$$\begin{aligned} K\left(\frac{\psi_R(z)\omega(z-y)}{\|\psi_R(z)\omega(z-y)\|_{H^1}}\right) &= J\left(\frac{t_y\psi_R(z)\omega(z-y)}{\|\psi_R(z)\omega(z-y)\|_{H^1}}\right) \\ &\leq \alpha^\infty + o(1) \quad \text{as } |y| \rightarrow \infty, \end{aligned} \quad (4.62)$$

that is, $\gamma(\Omega) > K(\psi_R(z)\omega(z-y)/\|\psi_R(z)\omega(z-y)\|_{H^1})$ for large $r = |y|$. Applying Lemma 4.3 and the minimax Lemma 4.13 to obtain that $\gamma(\Omega)$ is a (PS)-value in $H_0^1(\Omega)$ for J . Hence, by Lemmas 2.2 and 4.14, we have that there exists a positive solution u of (1.1) in Ω such that $J(u) = \gamma(\Omega)$. From the result of Theorem 4.10, (1.1) admits at least three positive solutions in Ω . \square

References

- [1] P. H. Rabinowitz, *Minimax Methods in Critical Point Theory with Applications to Differential Equations*, vol. 65 of *CBMS Regional Conference Series in Mathematics*, American Mathematical Society, 1986.
- [2] P. L. Lions, "The concentration-compactness principle in the calculus of variations. The locally compact case, part 1," *Annales de l'Institut Henri Poincaré (C) Analyse Non Linéaire*, vol. 1, pp. 109–145, 1984.
- [3] P. L. Lions, "The concentration-compactness principle in the calculus of variations. The locally compact case, part 2," *Annales de l'Institut Henri Poincaré (C) Analyse Non Linéaire*, vol. 1, pp. 223–283, 1984.
- [4] A. Bahri and Y. Y. Li, "On a min-max procedure for the existence of a positive solution for certain scalar field equations in \mathbb{R}^N ," *Revista Matemática Iberoamericana*, vol. 6, no. 1-2, pp. 1–15, 1990.
- [5] X. P. Zhu, "Multiple entire solutions of a semilinear elliptic equation," *Nonlinear Analysis: Theory, Methods & Applications*, vol. 12, no. 11, pp. 1297–1316, 1988.
- [6] M. J. Esteban, "Rupture de symétrie pour des problèmes de Neumann sur-linéaires dans des ouverts extérieurs," *Comptes Rendus des Séances de l'Académie des Sciences. Série I. Mathématique*, vol. 308, no. 10, pp. 281–286, 1989.
- [7] M. J. Esteban, "Nonsymmetric ground states of symmetric variational problems," *Communications on Pure and Applied Mathematics*, vol. 44, no. 2, pp. 259–274, 1991.
- [8] D. M. Cao, "Multiple solutions for a Neumann problem in an exterior domain," *Communications in Partial Differential Equations*, vol. 18, no. 3-4, pp. 687–700, 1993.
- [9] N. N. Hirano, "Multiple existence of sign changing solutions for semilinear elliptic problems on \mathbb{R}^N ," *Nonlinear Analysis: Theory, Methods & Applications*, vol. 46, pp. 997–1020, 2001.

- [10] H.-L. Lin, "Multiple solutions of semilinear elliptic equations in exterior domains," *Proceedings of the Royal Society of Edinburgh A*, vol. 138, no. 3, pp. 531–549, 2008.
- [11] T.-F. Wu, "The existence of multiple positive solutions for a semilinear elliptic equation in \mathbb{R}^N ," *Nonlinear Analysis: Theory, Methods & Applications*, vol. 72, no. 7-8, pp. 3412–3421, 2010.
- [12] S. Adachi and K. Tanaka, "Four positive solutions for the semilinear elliptic equation: $-\Delta u + u = a(x)u^p + f(x)$ in \mathbb{R}^N ," *Calculus of Variations and Partial Differential Equations*, vol. 11, no. 1, pp. 63–95, 2000.
- [13] K. J. Chen, C. S. Lee, and H. C. Wang, "Semilinear elliptic problems in interior and exterior flask domains," *Communications on Applied Nonlinear Analysis*, vol. 5, no. 4, pp. 81–105, 1998.
- [14] K.-J. Chen and H.-C. Wang, "A necessary and sufficient condition for Palais-Smale conditions," *SIAM Journal on Mathematical Analysis*, vol. 31, no. 1, pp. 154–165, 1999.
- [15] H.-L. Lin, H.-C. Wang, and T.-F. Wu, "A Palais-Smale approach to Sobolev subcritical operators," *Topological Methods in Nonlinear Analysis*, vol. 20, no. 2, pp. 393–407, 2002.
- [16] M. Struwe, *Variational Methods*, Springer, Berlin, Germany, 2nd edition, 1996.
- [17] W. C. Lien, S. Y. Tzeng, and H. C. Wang, "Existence of solutions of semilinear elliptic problems on unbounded domains," *Differential and Integral Equations*, vol. 6, no. 6, pp. 1281–1298, 1993.
- [18] A. Bahri and P.-L. Lions, "On the existence of a positive solution of semilinear elliptic equations in unbounded domains," *Annales de l'Institut Henri Poincaré. Analyse Non Linéaire*, vol. 14, no. 3, pp. 365–413, 1997.
- [19] B. Gidas, W. M. Ni, and L. Nirenberg, "Symmetry and related properties via the maximum principle," *Communications in Mathematical Physics*, vol. 68, no. 3, pp. 209–243, 1979.
- [20] B. Gidas, W. M. Ni, and L. Nirenberg, "Symmetry of positive solutions of non-linear elliptic equations in \mathbb{R}^N ," in *Mathematical Analysis and Applications*, Part A, *Advances in Mathematics. Supplement Studies 7A*, L. Nachbin, Ed., pp. 369–402, Academic Press, 1981.
- [21] M. K. Kwong, "Uniqueness of positive solutions of $\Delta u - u + u^p = 0$ in \mathbb{R}^N ," *Archive for Rational Mechanics and Analysis*, vol. 105, no. 3, pp. 243–266, 1989.
- [22] C. A. Stuart, "Bifurcation in $L^p(\mathbb{R}^N)$ for a semilinear elliptic equation," *Proceedings of the London Mathematical Society*, vol. 45, pp. 169–192, 1982.
- [23] A. Ambrosetti, "Critical points and nonlinear variational problems," *Mémoires de la Société Mathématique de France. Nouvelle Série*, no. 49, p. 139, 1992.
- [24] S. Z. Shi, "Ekeland's variational principle and the mountain pass lemma," *Acta Mathematica Sinica*, vol. 1, no. 4, pp. 348–355, 1985.
- [25] A. Ambrosetti and P. H. Rabinowitz, "Dual variational methods in critical point theory and applications," *Journal of Functional Analysis*, vol. 14, pp. 349–381, 1973.
- [26] P. H. Rabinowitz, "Some minimax theorems and applications to nonlinear partial differential equations," in *Nonlinear Analysis*, pp. 161–177, Academic Press, New York, NY, USA, 1978.