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# Global existence and blow-up to the solutions of a singular porous medium equation with critical initial energy

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## Abstract

This paper is devoted to the study of a singular porous medium equation, which was studied extensively in recent years. We obtain the global existence and blow-up condition at the critical initial energy  $E(u_0) = d$ , while the previous papers only considered the case  $E(u_0) < d$ , where  $d$  is a positive constant which will be given in the main part of this paper.

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**Keywords:** singular porous medium equation; critical initial energy; global existence; blow-up

## 1 Introduction

Suppose a compressible fluid flows in a homogeneous isotropic rigid porous medium. Then the volumetric moisture content  $\theta(x)$ , the macroscopic velocity  $\vec{V}$  and the density of the fluid  $\rho$  are governed by the following equation [1, 2]:

$$\theta(x) \frac{\partial \rho}{\partial t} + \operatorname{div}(\rho \vec{V}) - f(\rho) = 0, \quad (1.1)$$

where  $f(u)$  is the source. From Darcy's law, one has the following relation:

$$\rho \vec{V} = -\lambda \nabla P, \quad (1.2)$$

where  $\rho \vec{V}$  and  $P$  denote the momentum velocity and pressure, respectively,  $\lambda > 0$  is some physical constant.

If the fluid considered is the polytropic gas, then the pressure and density satisfy the following equation of the state:

$$P = c \rho^\gamma, \quad (1.3)$$

where  $c > 0$ ,  $\gamma > 0$  are some constants. Thus, it follows from (1.1)-(1.3) that

$$\theta(x) \frac{\partial \rho}{\partial t} = c \lambda \Delta(\rho^\gamma) + f(\rho). \quad (1.4)$$

In this paper, we consider (1.4) with  $\theta(x) = |x|^{-\delta}$  and  $f(\rho) = \rho^\sigma$ . Furthermore, we incorporate zero boundary condition to this problem. Then we get the following initial-boundary problem after changing variables and notations:

$$\begin{cases} |x|^{-s} \frac{\partial u}{\partial t} - \Delta u^m = u^{p-1}, & (x, t) \in \Omega \times (0, T), \\ u(x, t) = 0, & (x, t) \in \partial\Omega \times (0, T), \\ u(x, 0) = u_0(x), & x \in \Omega, \end{cases} \tag{1.5}$$

where  $u_0 \in H_0^1(\Omega)$  is a nonnegative and nontrivial function,  $T \in (0, \infty]$ ,  $\Omega$  is a bounded domain in  $\mathbb{R}^N$  ( $N \geq 3$ ) with smooth boundary  $\partial\Omega$ ,  $m \geq 1$ ,  $0 \leq s \leq 1 + 1/m \leq 2$ ,  $m < p - 1 \leq \frac{(N+2)m}{N-2}$ .

Problem (1.5) and the related models were studied in [2–8], in order to introduce the main results of [5], we need the following functionals and sets, which were given in [5].

- A function  $u$  is called a solution of (1.5) if

$$u^m \in L^\infty(0, T; H_0^1(\Omega)), \quad \int_0^T \left\| |x|^{-\frac{s}{2}} \left( u^{\frac{m+1}{2}} \right)_t \right\|_2^2 dt < +\infty,$$

and  $u$  satisfies (1.5) in the distribution sense.

- The energy functional related to the stationary equation

$$E(u) = \frac{1}{2m} \int_\Omega |\nabla u^m|^2 dx - \frac{1}{m+p-1} \int_\Omega |u|^{m+p-1} dx, \quad u^m \in H_0^1(\Omega). \tag{1.6}$$

- The Nehari functional

$$H(u) = \int_\Omega |\nabla u^m|^2 dx - \int_\Omega |u|^{m+p-1} dx, \quad u^m \in H_0^1(\Omega). \tag{1.7}$$

- The Nehari manifold

$$K = \{u : u^m \in H_0^1(\Omega), H(u) = 0, u \neq 0\}. \tag{1.8}$$

- The potential depth

$$\begin{aligned} d &= \inf \left\{ \sup_{\lambda \geq 0} E(\lambda u) : u^m \in H_0^1(\Omega), u \neq 0 \right\} \\ &= \inf_{u \in K} E(u) = \frac{p-1-m}{2m(m+p-1)} C^{\frac{-2(m+p-1)}{p-1-m}}, \end{aligned} \tag{1.9}$$

where  $C$  is the optimal constant of the Sobolev embedding  $H_0^1(\Omega) \subset L^{\frac{m+p-1}{m}}(\Omega)$ .

Particularly we have

$$\|u^m\|_{\frac{m+p-1}{m}} \leq C \|\nabla u^m\|_2 \tag{1.10}$$

for  $u^m \in H_0^1(\Omega)$  since  $m < p - 1 \leq \frac{(N+2)m}{N-2}$ , where  $\|\cdot\|_r$  denotes the norm of  $L^r(\Omega)$ .

- The sets related to global existence and blow-up

$$\begin{aligned} \Sigma_1 &= \{u : u^m \in H_0^1(\Omega), E(u) < d, H(u) > 0\} \cup \{0\}, \\ \Sigma_2 &= \{u : u^m \in H_0^1(\Omega), E(u) < d, H(u) < 0\}. \end{aligned} \tag{1.11}$$

The solution  $u(x, t)$  of problem (1.5) is called blow-up at finite time  $T$  if  $\|u\|_{L^\infty(\Omega)} \rightarrow +\infty$  as  $t \rightarrow T_-$ . Otherwise, we say  $u(x, t)$  exists globally. The following are the main results of [5].

**Theorem 1.1** *If  $u_0 \in \Sigma_1$ , then the solution  $u$  to the problem (1.5) exists globally; if  $u_0 \in \Sigma_2$ , then  $u$  blows up at finite time.*

In view of the above results, we may ask if the solution of  $u$  of the problem (1.5) blows up or exists globally when  $E(u_0) \geq d$ . The main task of this paper is to answer the question for  $E(u_0) = d$ . In order to give the main results of the present paper, we introduce two sets as follows:

$$\begin{aligned} \mathcal{S} &= \left\{ u : u^m \in H_0^1(\Omega), \|\nabla u^m\|_2 < \left( \frac{2m(m+p-1)}{p-1-m} d \right)^{\frac{1}{2}} \right\}, \\ \mathcal{B} &= \left\{ u : u^m \in H_0^1(\Omega), \|\nabla u^m\|_2 > \left( \frac{2m(m+p-1)}{p-1-m} d \right)^{\frac{1}{2}} \right\}. \end{aligned} \tag{1.12}$$

Then

$$\partial\mathcal{S} = \partial\mathcal{B} = \left\{ u : u^m \in H_0^1(\Omega), \|\nabla u^m\|_2 = \left( \frac{2m(m+p-1)}{p-1-m} d \right)^{\frac{1}{2}} \right\}. \tag{1.13}$$

The main results of this paper are the following theorem.

**Theorem 1.2** *Assume  $E(u_0) = d$ , then we have*

1. *if  $u_0 \in \mathcal{S}$ , then the problem (1.5) admits a global solution  $u$  such that  $u^m(t) \in L^\infty(0, +\infty; H_0^1(\Omega))$  and  $u(t) \in \bar{\mathcal{S}} = \mathcal{S} \cup \partial\mathcal{S}$  for  $0 \leq t < +\infty$ ;*
2. *if  $u_0 \in \mathcal{B}$ , then the solution of problem (1.5) will blow up at finite time.*

## 2 Proof of Theorem 1.2

In this section, we will prove Theorem 1.2. First of all, we will introduce some useful lemmas.

**Lemma 2.1** *Assume the function  $u \not\equiv 0$  satisfying  $u^m \in H_0^1(\Omega)$ . Then there exists a unique positive value  $\mu_*$  defined as*

$$\mu_* = \sqrt[p-m-1]{\frac{\int_\Omega |\nabla u^m|^2 dx}{\int_\Omega |u|^{m+p-1} dx}} \tag{2.1}$$

*such that  $E(\mu u)$  is strictly increasing for  $0 < \mu < \mu_*$ , strictly decreasing for  $\mu_* < \mu < \infty$ .*

*Proof* From

$$E(\mu u) = \mu^{2m} \left[ \frac{1}{2m} \|\nabla u^m\|_2^2 - \frac{\mu^{p-m-1}}{m+p-1} \|u\|_{m+p-1}^{m+p-1} \right]$$

and  $p > m + 1$  we get  $\lim_{\mu \rightarrow 0} E(\mu u) = 0$ ,  $\lim_{\mu \rightarrow +\infty} E(\mu u) = -\infty$ . Furthermore, since  $\mu = \mu_*$  is the unique positive root of the equation  $\frac{dE(\mu u)}{d\mu} = 0$ , the conclusion follows.  $\square$

**Lemma 2.2** *Let  $\mathcal{S}$ ,  $\mathcal{B}$ ,  $\partial\mathcal{S}$ , and  $\partial\mathcal{B}$  be the sets defined as (1.12) and (1.13).*

- (i) *If  $u \in \mathcal{S}$  and  $\|\nabla u^m\|_2 \neq 0$ , then  $\|\nabla u^m\|_2^2 > \|u^m\|_{\frac{m}{m+p-1}}^2$ .*
- (ii) *If  $u \in \partial\mathcal{S}$ , then  $\|\nabla u^m\|_2^2 \geq \|u^m\|_{\frac{m}{m+p-1}}^2$ .*
- (iii) *If  $\|\nabla u^m\|_2^2 < \|u^m\|_{\frac{m}{m+p-1}}^2$ , then  $u \in \mathcal{B}$ .*
- (iv) *If  $\|\nabla u^m\|_2^2 \leq \|u^m\|_{\frac{m}{m+p-1}}^2$  and  $\|\nabla u^m\|_2 \neq 0$ , then  $u \in \mathcal{B} \cup \partial\mathcal{B}$ .*

*Proof* (i) Since  $u \in \mathcal{S}$ , we get from (1.9) and (1.10)

$$\|\nabla u^m\|_2 < \left( \frac{2m(m+p-1)}{p-1-m} d \right)^{\frac{1}{2}} = C \frac{-(m+p-1)}{p-1-m} \leq \left( \frac{\|u^m\|_{\frac{m}{m+p-1}}}{\|\nabla u^m\|_2} \right)^{\frac{-(m+p-1)}{p-1-m}},$$

which implies  $\|\nabla u^m\|_2 > \|u^m\|_{\frac{m}{m+p-1}}$ .

(ii) From  $u \in \partial\mathcal{S}$  we get

$$\|\nabla u^m\|_2 = \left( \frac{2m(m+p-1)}{p-1-m} d \right)^{\frac{1}{2}} \neq 0.$$

Then in the same way as the proof of (i),  $\|\nabla u^m\|_2^2 \geq \|u^m\|_{\frac{m}{m+p-1}}^2$  holds.

(iii) By (1.10) and  $\|\nabla u^m\|_2^2 < \|u^m\|_{\frac{m}{m+p-1}}^2$ , we have

$$\|\nabla u^m\|_2^2 < \|u^m\|_{\frac{m}{m+p-1}}^2 \leq C \frac{m+p-1}{m} \|\nabla u^m\|_2^{\frac{m+p-1}{m}},$$

which is equivalent to  $\|\nabla u^m\|_2 > C \frac{-(m+p-1)}{p-1-m}$ . So  $u \in \mathcal{B}$ .

(iv) In the same way as the proof of (iii), we have

$$\|\nabla u^m\|_2 \geq C \frac{-(m+p-1)}{p-1-m},$$

which implies  $u \in \mathcal{B} \cup \partial\mathcal{B}$ .  $\square$

**Lemma 2.3** *Let  $u$  be a solution of (1.5). Then the functional  $E(u(t))$  defined as (1.6) is non-increasing in  $t$ . Moreover,*

$$\frac{4}{(m+1)^2} \int_0^t \left\| |x|^{-\frac{s}{2}} \left( u^{\frac{m+1}{2}}(x, \tau) \right)_\tau \right\|_2^2 d\tau + E(u(t)) = E(u_0). \tag{2.2}$$

*Proof* Multiplying the first equation of (1.5) with  $\frac{1}{m}(u^m)_t$  and integrating over  $\Omega \times (0, t)$ , we get (2.2) and then that  $E(u(t))$  is non-increasing in  $t$  follows.  $\square$

**Lemma 2.4** *Let  $u$  be the solution of (1.5) with initial value  $u_0$  such that  $u_0^m \in H_0^1(\Omega)$  and  $E(u_0) \leq d$ . Then*

- (i)  $\|\nabla u^m\|_2^2 > \|u^m\|_{\frac{m+p-1}{m}}^{\frac{m+p-1}{m}}$  if and only if  $0 < \|\nabla u^m\|_2 < (\frac{2m(m+p-1)}{p-1-m}d)^{\frac{1}{2}}$ ;
- (ii)  $\|\nabla u^m\|_2^2 < \|u^m\|_{\frac{m+p-1}{m}}^{\frac{m+p-1}{m}}$  if and only if  $\|\nabla u^m\|_2 > (\frac{2m(m+p-1)}{p-1-m}d)^{\frac{1}{2}}$ .

*Proof* By (1.6), (2.2) and  $E(u_0) \leq d$  we have

$$\begin{aligned}
 E(u(t)) &= \frac{p-1-m}{2m(m+p-1)} \|\nabla u^m\|_2^2 + \frac{1}{m+p-1} (\|\nabla u^m\|_2^2 - \|u^m\|_{\frac{m+p-1}{m}}^{\frac{m+p-1}{m}}) \\
 &\leq E(u_0) \leq d.
 \end{aligned}
 \tag{2.3}$$

Then we can easily get (i) and (ii) from Lemma 2.2 and (2.3). □

**Lemma 2.5** *Let  $u$  be the solution of (1.5) with initial value  $u_0$  such that  $u_0^m \in H_0^1(\Omega)$  and  $E(u_0) \leq d$ . Then:*

- (i)  $u(t) \in \mathcal{S}$  for  $t \in [0, T]$  if  $u_0 \in \mathcal{S}$ ;
- (ii)  $u(t) \in \mathcal{B}$  for  $t \in [0, T]$  if  $u_0 \in \mathcal{B}$ ;

where  $\mathcal{S}$  and  $\mathcal{B}$  are the sets defined in (1.12).

*Proof* (i) If the conclusion (i) is false, there must exist a time  $t_0 \in (0, T)$  such that  $u(t_0) \in \partial \mathcal{S}$  and  $u(t) \in \mathcal{S}$  for  $0 \leq t < t_0$ . Hence

$$\|\nabla u^m(t_0)\|_2 = \left(\frac{2m(m+p-1)}{p-1-m}d\right)^{\frac{1}{2}}
 \tag{2.4}$$

and

$$\|\nabla u^m(t)\|_2 < \left(\frac{2m(m+p-1)}{p-1-m}d\right)^{\frac{1}{2}}, \quad t \in [0, t_0].
 \tag{2.5}$$

From (1.6), the second conclusion of Lemma 2.2 and (2.4), we obtain

$$\begin{aligned}
 E(u(t_0)) &= \frac{p-1-m}{2m(m+p-1)} \|\nabla u^m(t_0)\|_2^2 + \frac{1}{m+p-1} (\|\nabla u^m(t_0)\|_2^2 - \|u^m(t_0)\|_{\frac{m+p-1}{m}}^{\frac{m+p-1}{m}}) \\
 &\geq \frac{p-1-m}{2m(m+p-1)} \|\nabla u^m(t_0)\|_2^2 = d.
 \end{aligned}
 \tag{2.6}$$

By (2.4) and (2.5) we know that  $\int_0^{t_0} \| |x|^{-\frac{s}{2}} (u^{\frac{m+1}{2}})_t \|_2^2 dt > 0$ . Then it follows from (2.2) and (2.6) that  $E(u_0) > E(u(t_0)) \geq d$ , which contradicts  $E(u_0) \leq d$ .

- (ii) The conclusion can be proved in the same way as (i). □

Based on above preparations, we are ready to prove Theorem 1.2.

*Proof of Theorem 1.2 (global existence part)* We see from  $E(u_0) = d$  and (1.6) that  $\|\nabla u_0^m\|_2 > 0$ , which combines with  $u_0 \in \mathcal{S}$  and the first conclusion of Lemma 2.2 implies

$$\|\nabla u_0^m\|_2^2 > \|u_0^m\|_{\frac{m+p-1}{m}}^{\frac{m+p-1}{m}}.
 \tag{2.7}$$

Let  $\lambda_n = 1 - \frac{1}{n}$  and  $u_{0n} = \lambda_n u_0$  for  $n = 2, 3, \dots$ . Then it follows from (2.7),  $\lambda_n < 1$ , and  $m - p + 1 < 0$  that

$$\begin{aligned} \|\nabla u_{0n}^m\|_2^2 &= \lambda_n^{2m} \|\nabla u_0^m\|_2^2 > \lambda_n^{2m} \|u_0^m\|_{\frac{m+p-1}{m}}^2 = \lambda_n^{m-p+1} \|u_{0n}^m\|_{\frac{m+p-1}{m}}^2 \\ &> \|u_{0n}^m\|_{\frac{m+p-1}{m}}^2, \quad n = 2, 3, \dots, \end{aligned} \tag{2.8}$$

$$\begin{aligned} E(u_{0n}) &= \frac{p-1-m}{2m(m+p-1)} \|\nabla u_{0n}^m\|_2^2 + \frac{1}{m+p-1} (\|\nabla u_{0n}^m\|_2^2 - \|u_{0n}^m\|_{\frac{m+p-1}{m}}^2) \\ &> 0, \quad n = 2, 3, \dots \end{aligned} \tag{2.9}$$

Furthermore, by Lemma 2.1, there exists an integer  $n_*$  such that  $E(\lambda_n u_0)$  is strictly increasing for  $n \leq n_*$ , which means

$$E(u_{0n}) = E(\lambda_n u_0) < \lim_{n \rightarrow +\infty} E(\lambda_n u_0) = E(u_0) = d, \quad n = n_*, n_* + 1, \dots \tag{2.10}$$

Equations (2.8)-(2.10) imply  $u_{0n} \in \Sigma_1$ , where  $\Sigma_1$  is defined as (1.11). Let  $u_n$  be the solution of (1.5) with initial value  $u_{0n}$ , then Theorem 1.1 implies  $u_n$  exists globally such that

$$u_n^m(t) \in L^\infty(0, +\infty; H_0^1(\Omega)), \quad n = n_*, n_* + 1, \dots \tag{2.11}$$

Similar to (2.3), for  $0 \leq t < +\infty$ ,  $n = n_*, n_* + 1, \dots$ , we get

$$\begin{aligned} d > E(u_{0n}) &= \frac{4}{(m+1)^2} \int_0^t \left\| |x|^{-\frac{s}{2}} (u_n^{\frac{m+1}{2}}(x, \tau))_\tau \right\|_2^2 d\tau + E(u_n(t)) \\ &= \frac{4}{(m+1)^2} \int_0^t \left\| |x|^{-\frac{s}{2}} (u_n^{\frac{m+1}{2}}(x, \tau))_\tau \right\|_2^2 d\tau \\ &\quad + \frac{p-1-m}{2m(m+p-1)} \|\nabla u_n^m\|_2^2 + \frac{1}{m+p-1} (\|\nabla u_n^m\|_2^2 - \|u_n^m\|_{\frac{m+p-1}{m}}^2). \end{aligned} \tag{2.12}$$

Next, we will prove  $\|\nabla u_n^m(t)\|_2^2 > \|u_n^m(t)\|_{\frac{m+p-1}{m}}^2$  for  $0 \leq t < +\infty$ . If not, it follows from (2.8) that there exists  $t_* > 0$  such that  $\|\nabla u_n^m(t_*)\|_2^2 = \|u_n^m(t_*)\|_{\frac{m+p-1}{m}}^2$ . Then it follows from (1.9) that  $E(u_n(t_*)) \geq d$ , which contradicts  $E(u_n(t_*)) < d$  by (2.12). Then from (2.12), we obtain

$$\begin{aligned} \int_0^t \left\| |x|^{-\frac{s}{2}} (u_n^{\frac{m+1}{2}}(x, \tau))_\tau \right\|_2^2 d\tau &< \frac{d(m+1)^2}{4}, \\ 0 \leq t < +\infty, n &= n_*, n_* + 1, \dots, \end{aligned} \tag{2.13}$$

$$\begin{aligned} \|u_n^m(t)\|_{\frac{m+p-1}{m}}^2 &\leq \|\nabla u_n^m(t)\|_2^2 \leq \frac{2m(m+p-1)}{p-1-m} d, \\ 0 \leq t < +\infty, n &= n_*, n_* + 1, \dots \end{aligned} \tag{2.14}$$

From (2.13), (2.14), and the compactness method in [9], it follows that there exist  $u$  and a subsequence  $\{u_k\}$  of  $\{u_n\}$  such that for all  $T > 0$

1.  $u \in L^\infty(0, T; H_0^1(\Omega))$  and  $\int_0^T \left\| |x|^{-\frac{s}{2}} (u^{\frac{m+1}{2}}(x, t))_t \right\|_2^2 dt \leq \frac{d(m+1)^2}{4}$ ,
2.  $u_k \rightarrow u$  a.e. on  $\Omega \times (0, T)$ ,

3.  $u_k^m \rightarrow u^m$  weakly star in  $L^\infty(0, T; H_0^1(\Omega))$ ,
4.  $u_k \rightarrow u$  weakly star in  $L^\infty(0, T; L^{m+p-1}(\Omega))$ ,
5.  $|x|^{-\frac{s}{2}}(u_k^{\frac{1+m}{2}})_t \rightarrow |x|^{-\frac{s}{2}}(u^{\frac{1+m}{2}})_t$  weakly in  $L^2(0, T; L^2(\Omega))$ .

Then it follows from the construction of  $u_n$  that  $u$  is a global solution of (1.5) and  $u(t) \in \bar{\mathcal{S}}$  for  $0 \leq t < \infty$ . □

*Proof of Theorem 1.2 (blow-up part)* Let  $u(t)$  be the solution of problem (1.5) with initial value  $u_0$  satisfying  $E(u_0) = d$  and  $u_0 \in \mathcal{B}$ . We need to show that the maximal existence time  $T$  of  $u$  is finite. We assume  $T = +\infty$  and prove the conclusion by contradiction. Let

$$f(t) = \frac{1}{m+1} \int_0^t \int_\Omega |x|^{-s} |u(x, \tau)|^{m+1} dx d\tau.$$

Then

$$f''(t) = \int_\Omega |x|^{-s} u^m u_t dx = -\|\nabla u^m\|_2^2 + \|u^m\|_{\frac{m+p-1}{m}}^{\frac{m+p-1}{m}}. \tag{2.15}$$

From (2.2), (2.15), and

$$E(u(t)) = \frac{p-1-m}{2m(m+p-1)} \|\nabla u^m(t)\|_2^2 + \frac{1}{m+p-1} (\|\nabla u^m(t)\|_2^2 - \|u^m(t)\|_{\frac{m+p-1}{m}}^{\frac{m+p-1}{m}}) \tag{2.16}$$

we get

$$\begin{aligned} f''(t) &= \frac{p-1-m}{2m} \|\nabla u^m\|_2^2 - (m+p-1)E(u_0) \\ &\quad + \frac{4(m+p-1)}{(m+1)^2} \int_0^t \||x|^{-\frac{s}{2}}(u^{\frac{m+1}{2}}(x, \tau))_\tau\|_2^2 d\tau. \end{aligned} \tag{2.17}$$

By  $u_0 \in \mathcal{B}$  and Lemma (2.5), we obtain  $u(t) \in \mathcal{B}$  for  $0 \leq t < +\infty$ , i.e.,

$$\|\nabla u^m(t)\|_2 > \left(\frac{2m(m+p-1)}{p-1-m}d\right)^{\frac{1}{2}}, \quad 0 \leq t < +\infty. \tag{2.18}$$

From (2.17), (2.18) and  $E(u_0) = d$  we obtain  $f''(t) > \frac{4(m+p-1)}{(m+1)^2} \int_0^t \||x|^{-\frac{s}{2}}(u^{\frac{m+1}{2}}(x, \tau))_\tau\|_2^2 d\tau$ . The remaining part of the proof is the same as that in [5]. □

### 3 Conclusion

In this paper, we study a singular porous medium equation considered in [5], where the global existence and blow-up conditions were got for the case of subcritical initial energy  $E(u_0) < d$ . We complete the results by studying the global existence and blow-up conditions for the case of critical initial energy  $E(u_0) = d$ .

#### Competing interests

The authors declare that they have no competing interests.

#### Authors' contributions

All authors contributed equally to the writing of this paper. All authors read and approved the final manuscript.

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