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# The long-time behavior of solitary waves for the weakly damped KdV equation

Yansheng Zhong<sup>1\*</sup> and Riguang Wu<sup>1</sup>

\*Correspondence:  
[zys08@fjnu.edu.cn](mailto:zys08@fjnu.edu.cn)

<sup>1</sup>School of Mathematics and Statistics & FJKLMAA, & Center for Applied Mathematics of Fujian Province (FJNU), Fujian Normal University, Fuzhou, 350117, P.R. China

## Abstract

In this paper, we first introduce the long-time behavior stability of solitary waves for the weakly damped Korteweg–de Vries equation. More concretely, solutions of the dissipative system with the initial values near a  $c_0$ -speed solitary wave, are approximated by a long curve on the family of solitary waves with the time-varying speed  $|c(t) - c_0|$  being small, in the long-time period (i.e.,  $0 \leq t \leq O(\frac{1}{\epsilon})$ ). Meanwhile, the approximation difference in a suitably weighted space  $H^1_\theta(\mathbb{R})$  is of the order of the damping coefficient and of some kind of exponential weight form. As a comparison, we also study the long-time behavior stability, i.e., for  $0 \leq t < +\infty$ , the solutions are approximated by a long curve on the family of solitary waves with the exponential decay speed  $c(t) = c_0 e^{-\beta t}$  ( $0 < \beta \leq 1$ ), when the initial values are near a  $c_0$ -speed solitary wave. However, here, the approximation difference merely defined in  $H^1(\mathbb{R})$  depends on the damping coefficient  $\epsilon$  and the exponential decay coefficient  $\beta$ .

**MSC:** 35Q53; 35B35

**Keywords:** Perturbed KdV equation; Solitary waves; Stability

## 1 Introduction

This work mainly considers the long-time and long-time behavior stability for the weakly damped one-dimensional Korteweg–de Vries (KdV) equation

$$\begin{cases} u_t = -\partial_x[u_{xx} + \frac{1}{2}u^2] - \epsilon u, & t > 0, x \in \mathbb{R}, \\ u(x, t) = u(x, 0), & t = 0, x \in \mathbb{R}, \end{cases} \quad (1.1)$$

where  $0 < \epsilon \ll 1$  is a small damping parameter.

The authors in [1] first derived the KdV equation as a model for planar, unidirectional waves propagating in shallow water in 1895. Then, the authors in [2, 3] considered the KdV equation to feature wave motion for many other physical situations. Meanwhile, the initial value problems were studied in [4, 5] for the undamped KdV equation (i.e.,  $\epsilon = 0$ ) and in [6–8] for the damped case (i.e.,  $\epsilon \neq 0$ ). They showed that, in both cases, the solution  $u(x, t)$  of the initial problem satisfies, for  $\forall t > 0$ ,  $u \in C([0, t], H^2) \cap C^1([0, t], H^{-1})$  and  $e^{ax}u \in C([0, t], H^1) \cap C^1([0, t], H^{-3})$ .

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To give a more explicit picture, we describe the undamped and damped KdV equations separately.

*Undamped Case:* If  $\epsilon = 0$  in equation (1.1), one can define the Hamiltonian

$$\mathcal{H}(u) = \int_{\mathbb{R}} \frac{1}{2} |u_x|^2 - \frac{1}{6} u^3 dx, \quad (1.2)$$

and the impulse functional (see [9, 10])

$$\mathcal{I}(u) = \frac{1}{2} \int_{\mathbb{R}} u^2 dx. \quad (1.3)$$

Obviously, the profiles of traveling-wave solutions of the KdV equation are critical points of the Hamiltonian  $\mathcal{H}$  for fixed values of  $\mathcal{I}$ , namely, relative equilibria (see [4]). The family of all traveling-wave profiles is called the manifold of relative equilibrium (MRE), which is the two-dimensional manifold of the form  $u(x, t) = u_c(x - ct + \gamma)$  for all  $c > 0$ ,  $\gamma \in \mathbb{R}$ . In addition, the profile of the solitary wave conforms to  $u_c(y) \rightarrow 0$  as  $|y| \rightarrow \infty$ , i.e.,

$$u_c(y) = \alpha \operatorname{sech}^2 \varsigma y \quad \text{with } \alpha = 3c, \varsigma = \frac{1}{2} \sqrt{c}, \quad (1.4)$$

which uniquely (up to the space translations) satisfies the equation (see [11])

$$-\partial_y^2 u_c + cu_c - \frac{1}{2} u_c^2 = 0. \quad (1.5)$$

A solitary wave has a permanent phase shift or a different speed when a solitary wave acquires a small perturbation. Therefore, the orbital stability of solitary waves was introduced in [12–14]. Weinstein in [15, 16] and Bona, Souganidis, and Strauss in [17, 18] asserted that a solution that is initially close to a solitary wave  $u_c(x - ct)$  in the Sobolev space  $H^1(\mathbb{R})$ , will forever remain close to the set of translates  $u_c(x - ct + \gamma)$  of the wave. More precisely, for sufficiently small  $\delta > 0$ , one has

$$\inf_{\gamma} \|u(\cdot, t) - u_c(\cdot + \gamma)\|_{H^1} \leq \delta, \quad \forall t > 0, \quad (1.6)$$

if the same quantity is small at the initial time  $t = 0$ .

In particular, Pego and Weinstein showed the asymptotic stability of the traveling wave in [9] that if  $u(x, t)$  is initially a small perturbation in the weighted norms space  $H^2(\mathbb{R}) \cap H_a^1(\mathbb{R})$  of a given solitary wave  $u_c(x - ct + \gamma)$ , then

$$\|u(x, t) - u_{c_+}(x - c_+t + \gamma_+)\|_{H^2(\mathbb{R}) \cap H_a^1(\mathbb{R})} \rightarrow 0 \quad \text{as } t \rightarrow +\infty, \quad (1.7)$$

for some  $c_+$  near  $c$  and  $\gamma_+$  near  $\gamma$ . Here, the exponential weights are of the form  $e^{ay}$  ( $a > 0$ ) as follows:

$$L_a^2 = \{v | e^{ay} v \in L^2(\mathbb{R})\} \quad \text{with } \|v\|_{L_a^2} = \|e^{ay} v\|_{L^2}, \quad (1.8)$$

$$H_a^1 = \{v | e^{ay} v \in H^1(\mathbb{R})\} \quad \text{with } \|v\|_{H_a^1} = \|e^{ay} v\|_{H^1}. \quad (1.9)$$

**Damped Case:** If  $\epsilon \neq 0$  in equation (1.1), one can deduce

$$\begin{aligned} \frac{d}{dt} \mathcal{I}(u) &= \langle \mathcal{I}'(u), \partial_x \mathcal{H}(u) - \epsilon u \rangle = \langle \mathcal{I}'(u), \partial_x \mathcal{H}(u) \rangle - \langle \mathcal{I}'(u), \epsilon u \rangle \\ &= -\epsilon \int_{\mathbb{R}} u^2 dx = -2\epsilon \mathcal{I}(u), \end{aligned} \quad (1.10)$$

where  $\partial_x \mathcal{H}(u) = -\partial_x [u_{xx} + \frac{1}{2}u^2]$ . Clearly,  $\mathcal{I}(u(t)) = \mathcal{I}(u(0))e^{-2\epsilon t}$ . This implies that  $\lim_{t \rightarrow +\infty} \mathcal{I}(u(t)) = 0$  and  $\lim_{t \rightarrow +\infty} u(t, x) = 0$  almost everywhere in  $\mathbb{R}$ .

The authors in [19, 20] used the symmetry group to reduce the energy momentum and then obtained the stability of relative equilibria. In [21, 22], the authors analyzed the spectrum property of the self-adjoint operator generated by an energy functional, and then they found sharp conditions for the stability and instability of solitary waves or multisolitons. Specifically, Derks and Groesen in [23] considered the damped KdV equation in the bounded periodic domain  $x \in [0, 2\pi]$ . By applying the implicit theorem, they constructed an energy-decaying manifold  $\overline{M}_\epsilon \sim O(e^{-2\epsilon t})$ , which is related to the damping coefficient  $\epsilon$ , and then they obtained the long-time behavior stability of solutions near the constructing manifold  $\overline{M}_\epsilon$ , where the approximation difference is  $O(\epsilon e^{-2\epsilon t})$ .

Here, inspired by the ideas about the spectral analysis given in [9] and the construction of the energy-decaying manifold given in [23], we study the long-time and long-time behavior, respectively, for the weakly damped equation (1.1) in the whole space  $x \in \mathbb{R}$ . Our first result is about the long-time behavior:

**Theorem 1.1** *Let  $u_c(x - ct + \gamma)$ ,  $c > 0$ ,  $\gamma \in \mathbb{R}$ , be the solitary-wave solutions of the undamped KdV equation (1.1) (namely  $\epsilon = 0$ ). Then, considering the initial problem for the weakly damped ( $0 < \epsilon \ll 1$ ) KdV equation (1.1) with data*

$$u(x, 0) = u_{c_0}(x + \gamma_0) + v_0(x), \quad (1.11)$$

*if the perturbation  $v_0 \in H^2 \cap H_a^1$  with  $\|v_0\|_{H^1} + \|v_0\|_{H_a^1} < \epsilon$ , then for  $0 \leq t \leq T (= O(\frac{1}{\epsilon^\tau}))$ , we have*

$$\begin{aligned} \|u(\cdot, t) - e^{-\epsilon t} u_c(\cdot - ct + \gamma)\|_{H^1} &\leq C\epsilon^{1-2\tau}, \\ \|u(\cdot + ct - \gamma, t) - e^{-\epsilon t} u_c\|_{H_a^1} &\leq C\epsilon e^{-\epsilon t}, \\ \|u(\cdot + ct - \gamma, t) - e^{-\epsilon t} u_c\|_{H_a^1} &\leq C\epsilon^{1-\tau} e^{-(\epsilon^\tau + \epsilon) \cdot t}, \\ |c(t) - c_0| &\leq C\epsilon^{1-2\tau} \quad \text{and} \quad |\gamma(t) - \gamma_0| \leq C\epsilon^{1-2\tau}, \end{aligned} \quad (1.12)$$

where  $0 < a < \sqrt{\frac{\epsilon}{3}}$ ,  $\tau < \frac{1}{2}$ ,  $C$  are constants.

**Remark 1.1** 1. The restriction  $0 < a < \sqrt{\frac{\epsilon}{3}}$  is imposed in Theorem 1.1 since the expression  $a(c - a^2)$  is maximized at  $a = \sqrt{\frac{\epsilon}{3}}$  (see Proposition 2.5 in Ref. [9]).

2. It is natural to expect the solution to approximate the initial solitary wave as long as possible if the initial value has a slight perturbation. However, (1.10) implies that all solutions will vanish as  $t \rightarrow +\infty$ . Hence, it is valid to consider the stability near the initial solitary wave in the long-time period  $0 \leq t \leq T = O(\frac{1}{\epsilon^\tau})$  satisfying that  $0 < \epsilon \ll 1$ ,  $\tau < \frac{1}{2}$ ,

where  $T = O(\frac{1}{\epsilon^\tau})$  means the same order  $T \approx \frac{1}{\epsilon^\tau}$  and the restraint on the quantity  $\frac{1}{\epsilon^\tau}$  follows from (2.35).

3. To analyze the property of the damping condition and solitary wave, the solution to equation (1.1) will be formally expressed in the form

$$u(x, t) = e^{-\epsilon t} \cdot u_{c(t)}(x + \theta(t)) + v(x + \theta(t), t), \quad (1.13)$$

where  $\theta(t) = \gamma(t) - \int_0^t c(s) ds$  and the leading (dominant) term  $u_{c(t)}(x + \theta(t))$  is an exact solitary-wave solution of (1.1) with  $\epsilon = 0$ , when  $c(t)$ ,  $\gamma(t)$  are just near the initial  $c_0, \gamma_0$ .

4. Substitution of (1.13) into (1.1) yields an equation of the form

$$\partial_t v = \partial_y L_{c(t)} v - \epsilon v - (\dot{c} \partial_c + \dot{\gamma} \partial_\gamma) u_{c(t)} + \mathfrak{S}(u_{c(t)}, v), \quad (1.14)$$

where  $\mathfrak{S}(u_{c(t)}, v)$  will be given in (2.6) and

$$L_c = -\partial_y^2 + c - u_c. \quad (1.15)$$

Meanwhile, differentiating (1.5) with respect to  $y$  and  $c$ , we know that the operator  $\partial_y L_c$  in  $L^2$  is degenerate, i.e.,

$$\partial_y L_c \partial_y u_c = 0, \quad \partial_y L_c \partial_c u_c = -\partial_y u_c. \quad (1.16)$$

These give rise to solutions  $\partial_y u_c$  and  $\partial_c u_c - t \partial_y u_c$  to the linearized problem

$$\partial_t v = \partial_y L_c v. \quad (1.17)$$

5. As in References [16, 24], to obtain more exponential decay, it is appropriate to require that the right-hand side of (1.14) is orthogonal to the 2-dimensional generalized kernel of the adjoint of  $\partial_y L_c$ . These constraints yield two coupled first-order differential equations for  $c(t)$  and  $\gamma(t)$  (called modulation equations), which are coupled to the infinite-dimensional dispersive evolution equation for  $v(\cdot, t)$ .

Next, we discuss the long-time behavior stability of solutions. In contrast to the restriction  $c(t)$  near  $c_0$  given in Theorem 1.1, we need that  $c(t)$  decays exponentially to zero as  $t \rightarrow +\infty$ . This is presented as follows:

**Theorem 1.2** *Let  $u_{c(t)}(y)$ ,  $y = x - \int_0^t c(s) ds + \gamma(t)$ , be the solitary-wave solutions with  $c(t) = c_0 e^{-\beta t}$  ( $0 < \beta \leq 1$ ), of the undamped KdV equation (1.1) (namely,  $\epsilon = 0$ ). Then, considering the initial problem for the weakly damped ( $0 < \epsilon \ll 1$ ) KdV equation (1.1) with data*

$$u(x, 0) = u_{c_0}(x + \gamma_0) + v_0(x), \quad (1.18)$$

*if the perturbation  $v_0 \in H^2 \cap H_a^1$  with  $\|v_0\|_{H^1} + \|v_0\|_{H_a^1} < \epsilon$ , then for  $0 \leq t < +\infty$ , we have*

$$\|u(\cdot, t) - e^{-\epsilon t} u_c(\cdot - ct + \gamma)\|_{H^1} \leq C(\epsilon + m(\epsilon, \beta, t)) e^{-\epsilon t}, \quad (1.19)$$

where  $C$  is a constant and  $m(\epsilon, \beta, t)$  depends on  $\epsilon$ ,  $\beta$ , and  $t$  such that

$$m(\epsilon, \beta, t) = \begin{cases} O(\epsilon\sqrt{t}), & 0 \leq t \leq 1, \\ O(\frac{\epsilon}{\beta\sqrt{t}}), & 1 \leq t < +\infty. \end{cases} \quad (1.20)$$

**Remark 1.2** Note that here it is impossible to consider the long-time stability of solutions in weight space  $H_a^1$  as in Theorem 1.1, since  $a \rightarrow 0$  as  $t \rightarrow +\infty$  follows from  $a < \sqrt{\frac{c(t)}{3}}$  and  $c(t) = c_0 e^{-\beta t}$  ( $0 < \beta \leq 1$ ).

**Remark 1.3** The approximation exponent given in (1.12) of Theorem 1.1 and (1.19) of Theorem 1.2 strictly depends on the damping coefficient  $\epsilon$ . This is in sharp contrast to the asymptotic stability (1.7) with the exponent weight  $e^{-a(c-a^2)t}$  of decay given in Reference [9]. In other words, the weakly damped term will dominate the exponential decay rate.

The rest of this paper is organized as follows: In Sect. 2, we justify the representation (1.13) of the solution for nonlinear equations, and derive the equation of motion of the new variables  $(c(t), \gamma(t), w(y, t))$ . Moreover, we study the long-time behavior to finish the proof of Theorem 1.1. In Sect. 3, we also justify the new representation (3.1) and prove Theorem 1.2 for the long-time behavior stability. In the Appendix, we review the spectral analysis and certain smoothing and exponential decay estimates of the linearized operator  $\partial_y L_c$  in (1.14).

## 2 The long-time behavior stability

### 2.1 Decomposition of the solution

Due to the weak damping term, we use time-dependent tubular coordinates in a neighborhood of solitary waves and skillfully represent solutions of the initial value problem (1.1) in the form (see also (1.13))

$$u(x, t) = e^{-\epsilon t} u_{c(t)}(y) + v(y, t), \quad (2.1)$$

where

$$y = y(x, t) = x - \int_0^t c(s) ds + \gamma(t) \quad (2.2)$$

and  $u_{c(t)}(y)$  belongs to the family of traveling waves.

In order to achieve exponential decay for the perturbation  $v(y, t)$  in the weighted space  $H_a^1$ , we wish to impose the constraint that

$$w(y, t) = e^{ay} v(y, t) \in \text{range}(Q) = \ker(P), \quad (2.3)$$

where the projections  $P, Q$  are given in Proposition A.2 (see the Appendix). This requirement corresponds to the two scalar constraints  $\langle w, \eta_k \rangle = 0$ ,  $k = 1, 2$ , cf. (A.14), which follows the modulation equations, namely, two coupled first-order differential equations for  $c(t), \gamma(t)$  as  $t > 0$ .

As this point, let us begin the proof of Theorem 1.1.

The solution  $u(x, t)$  of the initial problem (1.1) satisfies, for  $\forall t > 0$ ,

$$u \in C([0, t], H^2) \cap C^1([0, t], H^{-1}), \quad e^{ax} u \in C([0, t], H^1) \cap C^1([0, t], H^{-3}). \quad (2.4)$$

Moreover,  $u$  is a classical solution of (1.1) for  $t > 0$ . Given the initial data in (1.11), if the perturbation  $\|v_0\|_{H_d^1}$  is sufficiently small, it is easy to prove decomposition (2.1) exists in  $[0, t]$ , with  $(\gamma, c) \in C^1([0, t], \mathbb{R}^2)$ .

We now derive evolution equations for  $\gamma(t)$ ,  $c(t)$ , and  $v(y, t)$  that are valid pointwise for  $t > 0$ . Substituting (2.1) into (1.1), we have

$$\begin{aligned} 0 &= \partial_t u + \partial_x^3 u + \partial_x \left( \frac{1}{2} u^2 \right) + \epsilon u \\ &= [\partial_t + (\dot{\gamma} - c(t)) \partial_y + \partial_y^3] (e^{-\epsilon t} u_{c(t)}(y) + v) \\ &\quad + \partial_y \left[ \frac{1}{2} (e^{-\epsilon t} u_{c(t)}(y) + v(y, t))^2 \right] + \epsilon (e^{-\epsilon t} u_{c(t)}(y) + v(y, t)) \\ &= (\partial_t + (\dot{\gamma} - c(t)) \partial_y + \partial_y^3) v + (\partial_t + (\dot{\gamma} - c(t)) \partial_y + \partial_y^3) e^{-\epsilon t} u_{c(t)}(y) \\ &\quad + \partial_y \left[ \frac{1}{2} (e^{-\epsilon t} u_{c(t)}(y) + v(y, t))^2 \right] + \epsilon [e^{-\epsilon t} u_{c(t)}(y) + v(y, t)] \\ &= (\partial_t + (\dot{\gamma} - c(t)) \partial_y + \partial_y^3) v + (\partial_t + (\dot{\gamma} - c(t)) \partial_y + \partial_y^3) e^{-\epsilon t} u_{c(t)}(y) \\ &\quad + \partial_y \left[ \frac{1}{2} (e^{-\epsilon t} u_{c(t)}(y) + v(y, t))^2 \right] + \epsilon e^{-\epsilon t} u_{c(t)}(y) + \epsilon v(y, t) \\ &= (\partial_t + (\dot{\gamma} - c(t)) \partial_y + \partial_y^3) v + \left\{ \dot{\gamma} \partial_y e^{-\epsilon t} u_{c(t)}(y) - \epsilon e^{-\epsilon t} u_{c(t)}(y) + e^{-\epsilon t} \frac{\partial u}{\partial c} \dot{c} + e^{-\epsilon t} \partial_t u_{c(t)}(y) \right. \\ &\quad \left. + \partial_y^3 e^{-\epsilon t} u_{c(t)}(y) \right\} + \partial_y \left[ \frac{1}{2} (e^{-\epsilon t} u_{c(t)}(y) + v(y, t))^2 \right] + \epsilon e^{-\epsilon t} u_{c(t)}(y) + \epsilon v(y, t) \\ &= (\partial_t + (\dot{\gamma} - c(t)) \partial_y + \partial_y^3) v + \dot{\gamma} \partial_y e^{-\epsilon t} u_{c(t)}(y) + e^{-\epsilon t} \frac{\partial u}{\partial c} \dot{c} \\ &\quad + e^{-\epsilon t} (\partial_t u_{c(t)}(y) + \partial_y^3 u_{c(t)}(y)) + \partial_y \left[ \frac{1}{2} (e^{-\epsilon t} u_{c(t)}(y) + v(y, t))^2 \right] + \epsilon v(y, t) \\ &= (\partial_t + (\dot{\gamma} - c(t)) \partial_y + \partial_y^3) v + \dot{\gamma} \partial_y e^{-\epsilon t} u_{c(t)}(y) + e^{-\epsilon t} \frac{\partial u}{\partial c} \dot{c} \\ &\quad + e^{-\epsilon t} \partial_y (-c(t) u_{c(t)}(y) + \partial_y^2 u_{c(t)}(y)) + \partial_y \left[ \frac{1}{2} (e^{-\epsilon t} u_{c(t)}(y) + v(y, t))^2 \right] + \epsilon v(y, t) \\ &= (\partial_t + (\dot{\gamma} - c(t)) \partial_y + \partial_y^3) v + \epsilon v(y, t) + \dot{\gamma} \partial_y e^{-\epsilon t} u_{c(t)}(y) + e^{-\epsilon t} \frac{\partial u}{\partial c} \dot{c} \\ &\quad + e^{-\epsilon t} \left( -\frac{1}{2} \partial_y (u_{c(t)}(y))^2 \right) + \partial_y \left[ \frac{1}{2} (e^{-\epsilon t} u_{c(t)}(y) + v(y, t))^2 \right] \\ &= (\partial_t + (\dot{\gamma} - c(t)) \partial_y + \partial_y^3) v + \epsilon v(y, t) + \partial_y (u_{c_0} v) + \dot{\gamma} \partial_y e^{-\epsilon t} u_{c(t)}(y) + e^{-\epsilon t} \frac{\partial u}{\partial c} \dot{c} \\ &\quad + e^{-\epsilon t} \left( -\frac{1}{2} \partial_y (u_{c(t)}(y))^2 \right) + \partial_y \left[ \frac{1}{2} (e^{-\epsilon t} u_{c(t)}(y) + v(y, t))^2 \right] - \partial_y (u_{c_0} v) \\ &= (\partial_t + (\dot{\gamma} - c(t)) \partial_y + \partial_y^3) v + \epsilon v(y, t) + \partial_y (u_{c_0} v) + \dot{\gamma} \partial_y e^{-\epsilon t} u_{c(t)}(y) + e^{-\epsilon t} \frac{\partial u}{\partial c} \dot{c} \end{aligned}$$

$$+ \partial_y \left[ \frac{1}{2} e^{-2\epsilon t} u^2 + e^{-\epsilon t} uv + \frac{1}{2} v^2 - e^{-\epsilon t} \frac{1}{2} u^2 - u_{c_0} v \right]. \quad (2.5)$$

Hence,

$$\begin{aligned} \partial_t v = & \partial_y \left[ -\partial_y^2 + c_0 - u_{c_0} \right] v - \epsilon v - e^{-\epsilon t} \left[ \dot{\gamma} \partial_y u + \dot{c} \frac{\partial u}{\partial c} \right] \\ & - \partial_y \left[ (\dot{\gamma} - c(t) + c_0) v \right] - \partial_y \left[ \frac{1}{2} e^{-2\epsilon t} u^2 + e^{-\epsilon t} uv + \frac{1}{2} v^2 - e^{-\epsilon t} \frac{1}{2} u^2 - u_{c_0} v \right]. \end{aligned} \quad (2.6)$$

Now,  $w(y, t) = e^{ay} v(y, t)$  satisfies (and set  $A_a = e^{ay} \partial_y L_{c_0} e^{-ay}$  with  $L_{c_0} = -\partial_y^2 + c_0 - u_{c_0}$ )

$$\partial_t w = A_a w - \epsilon w + \mathfrak{F}, \quad (2.7)$$

where we write

$$\begin{aligned} \mathfrak{F} = & -e^{-\epsilon t} e^{ay} (\dot{c} \partial_c + \dot{\gamma} \partial_y) u_{c(t)} - \dot{\gamma} e^{ay} \partial_y e^{-ay} w + \mathcal{F}, \\ \mathcal{F} = & e^{ay} \partial_y (c(t) - c_0) e^{-ay} w - e^{ay} \partial_y \left[ \frac{1}{2} e^{-2\epsilon t} u^2 - e^{-\epsilon t} \frac{1}{2} u^2 \right] \\ & - e^{ay} \partial_y \left[ e^{-\epsilon t} uv + \frac{1}{2} v^2 - u_{c_0} v \right] \\ = & e^{ay} \partial_y (c(t) - c_0) e^{-ay} w - e^{ay} \partial_y \left[ \frac{1}{2} e^{-2\epsilon t} u^2 - e^{-\epsilon t} \frac{1}{2} u^2 \right] \\ & - e^{ay} \partial_y \left[ e^{-\epsilon t} uv + \frac{1}{2} v^2 - e^{-\epsilon t} u_{c_0} v + (e^{-\epsilon t} - 1) u_{c_0} v \right]. \end{aligned} \quad (2.8)$$

Meanwhile, (2.4) implies that this equation is initially justified in  $C([0, t], H^{-3})$ , but also holds in  $C([0, t], L^2)$  and moreover is pointwise. The constraint  $w \in \text{range}(Q)$  in (2.3) now yields the following system of evolution equations for  $(w, \gamma, c)$ :

$$\partial_t w = A_a w - \epsilon w + Q \mathfrak{F}, \quad P \mathfrak{F} = 0. \quad (2.9)$$

Written as an integral equation, the initial value problem for (2.9) becomes:

$$w(t) = e^{(A_a - \epsilon)t} w(0) + \int_0^t e^{(A_a - \epsilon)(t-s)} Q \mathfrak{F}(s) ds. \quad (2.10)$$

The equation  $P \mathfrak{F} = 0$  yields equations for  $\dot{\gamma}, \dot{c}$  as follows. Introduce the notation

$$\begin{aligned} e_1(y, t) &= e^{ay} (\partial_y u_{c(t)}(y) - \partial_y u_{c_0}(y)), \\ e_2(y, t) &= e^{ay} (\partial_c u_{c(t)}(y) - \partial_c u_{c_0}(y)), \end{aligned} \quad (2.11)$$

and note that  $\langle e^{ay} \partial_y e^{-ay} w, \eta_k \rangle = -\langle v, \partial_y \tilde{\eta}_k \rangle$  for  $k = 1, 2$ , by integration by parts. Then, by (A.14), the condition  $P \mathfrak{F} = 0$  is equivalent to

$$0 = \langle \dot{\gamma} [e^{-\epsilon t} (\xi_1 + e_1) + (\partial_y - a)w] + \dot{c} e^{-\epsilon t} (\xi_2 + e_2) - \mathcal{F}, \eta_k \rangle, \quad k = 1, 2. \quad (2.12)$$

Using the biorthogonality relation  $\langle \xi_j, \eta_k \rangle = \delta_{jk}$ , we obtain a system of equations for  $\gamma(t)$  and  $c(t)$ :

$$\mathfrak{A}(t) \begin{pmatrix} \dot{\gamma} \\ \dot{c} \end{pmatrix} = \begin{pmatrix} \langle \mathcal{F}, \eta_1 \rangle \\ \langle \mathcal{F}, \eta_2 \rangle \end{pmatrix} \quad (2.13)$$

and

$$\mathfrak{A}(t) = \begin{pmatrix} e^{-\epsilon t} + e^{-\epsilon t} \langle e_1, \eta_1 \rangle - e^{-\epsilon t} \langle v, \partial_y \tilde{\eta}_1 \rangle, e^{-\epsilon t} \langle e_2, \eta_1 \rangle \\ e^{-\epsilon t} \langle e_1, \eta_2 \rangle - e^{-\epsilon t} \langle v, \partial_y \tilde{\eta}_2 \rangle, e^{-\epsilon t} + e^{-\epsilon t} \langle e_2, \eta_2 \rangle \end{pmatrix}. \quad (2.14)$$

The matrix  $\mathfrak{A}(t)$  satisfies

$$\mathfrak{A}(t) = e^{-\epsilon t} I + O(|c(t) - c_0| + \|v\|_{L^2}) \quad \text{as } |c(t) - c_0| + \|v\|_{L^2} \rightarrow 0. \quad (2.15)$$

In order to obtain reversibility of the matrix  $\mathfrak{A}(t)$ , in some sense, we need the term  $e^{-\epsilon t} I \approx I$ . In other words, it is possible to consider stability in the long-time period  $0 \leq t \leq T = O(\frac{1}{\epsilon^\tau})$  (given in (2.35)) instead of the long time “ $t \rightarrow +\infty$ ”. Otherwise,  $e^{-\epsilon t} I \rightarrow 0$  as  $t \rightarrow +\infty$ .

## 2.2 The long-time behavior

In order to complete the proof of Theorem 1.1. It remains to establish the priori estimates from the evolution equations in (2.10)–(2.13). We have

**Proposition 2.1** *There exist  $\delta_* > 0$ ,  $\epsilon_0 > 0$ ,  $C > 0$  such that, if the decomposition (2.10), (2.11), and (2.12) exists for  $0 \leq t \leq T = O(\frac{1}{\epsilon^\tau})$  with  $0 < \epsilon \ll 1$ ,  $\tau < \frac{1}{2}$  and satisfies*

$$e^{\epsilon t} \|w(t)\|_{H^1} + |c(t) - c_0| + \|v(\cdot, t)\|_{H^1} \leq \delta_*, \quad 0 \leq t \leq T = O\left(\frac{1}{\epsilon^\tau}\right), \quad (2.16)$$

*and if the perturbation  $\|v_0\|_{H^1} + \|v_0\|_{H_d^1} < \epsilon < \epsilon_0$  in (1.11), then*

$$\begin{aligned} e^{\epsilon t} \|w(t)\|_{H^1} &\leq C\epsilon, \quad 0 \leq t \leq T = O\left(\frac{1}{\epsilon^\tau}\right), \\ e^{(\epsilon^\tau + \epsilon)t} \|w(t)\|_{H^1} &\leq C\epsilon^{1-\tau}, \quad 0 \leq t \leq T = O\left(\frac{1}{\epsilon^\tau}\right), \\ |c(t) - c_0| &\leq C\epsilon^{1-2\tau}, \quad 0 \leq t \leq T = O\left(\frac{1}{\epsilon^\tau}\right), \\ |\gamma(t) - \gamma_0| &\leq C\epsilon^{1-2\tau}, \quad 0 \leq t \leq T = O\left(\frac{1}{\epsilon^\tau}\right), \\ \|v(\cdot, t)\|_{H^1} &\leq C\epsilon^{1-2\tau}, \quad 0 \leq t \leq T = O\left(\frac{1}{\epsilon^\tau}\right). \end{aligned} \quad (2.17)$$

*Proof* The proof follows the two stages as given in Proposition 4.1 in Ref. [9] but with different detailed estimates.

(i) *Local energy-decay estimate:* Estimates of the weighted perturbation,  $w(y, t) = e^{ay} v(y, t)$ , in  $H^1$ , via the integral equation (2.10), the modulation equation (2.13), and the linear semigroup estimates of Lemma A.2 (see the Appendix).



If  $\delta_*$  is sufficiently small and  $0 \leq t \leq T = O(\frac{1}{\epsilon})$ , then  $\mathfrak{A}(t)$  defined in (2.13) has a bounded inverse, so we may estimate (2.13) to find

$$|\dot{\gamma}| + |\dot{c}| \leq C \|\mathcal{F}\|_{L^2}. \quad (2.18)$$

From (2.8), using that  $e^{ay}\partial_y e^{-ay} = \partial_y - a$  and the expression (1.4) (or the following estimate (3.19)), we obtain the estimates

$$\begin{aligned} \|\mathfrak{F}\| &\leq C(|\dot{\gamma}|(1 + \|w\|_{H^1}) + |\dot{c}| + \|\mathcal{F}\|_{L^2}) \leq C(1 + \|w\|_{H^1})\|\mathcal{F}\|_{L^2}, \\ \|\mathcal{F}\|_{L^2} &\leq C[|c(t) - c_0| + \|v\|_{H^1} + (1 - e^{-\epsilon t})\|w\|_{H^1} + (e^{-\epsilon t} - e^{-2\epsilon t})] \\ &\leq C(\delta_* + (1 - e^{-\epsilon t}))\|w\|_{H^1} + C(e^{-\epsilon t} - e^{-2\epsilon t}). \end{aligned} \quad (2.19)$$

Now, we may choose  $b, b'$  with  $b + \epsilon < b' + \epsilon < a(c - a^2) + \epsilon$ , such that  $b, b'$ , satisfies the condition of Lemma A.2. We may then estimate (2.10) as follows, for  $t > 0$ :

$$\begin{aligned} \|w(t)\|_{H^1} &\leq Ce^{-(b'+\epsilon)t}\|w(0)\|_{H^1} + C \int_0^t (t-s)^{-1/2} e^{-(b'+\epsilon)(t-s)} \|\mathcal{F}\|_{L^2} ds \\ &\leq Ce^{-(b'+\epsilon)t}\|w(0)\|_{H^1} + C \int_0^t (t-s)^{-1/2} e^{-(b'+\epsilon)(t-s)} (1 + \delta_*) \\ &\quad \times [(\delta_* + (1 - e^{-\epsilon s}))\|w(s)\|_{H^1} + (e^{-\epsilon s} - e^{-2\epsilon s})] ds. \end{aligned} \quad (2.20)$$

Now, define

$$M_{w,b}(t) = \sup_{0 \leq s \leq t} e^{(b+\epsilon)s} \|w(s)\|_{H^1}, \quad (2.21)$$

where the variable  $b$  is constrained in Remark A.1 (see the Appendix).

Then, multiplying (2.20) by  $e^{(b+\epsilon)t}$ , we find, for  $t > 0$ ,

$$\begin{aligned} e^{(b+\epsilon)t} \|w(t)\|_{H^1} &\leq Ce^{(b+\epsilon)t} e^{-(b'+\epsilon)t} \|w(0)\|_{H^1} \\ &\quad + C \int_0^t (t-s)^{-1/2} e^{-(b'+\epsilon)(t-s)} (1 + \delta_*) (\delta_* + (1 - e^{-\epsilon s})) e^{(b+\epsilon)t} \|w(s)\|_{H^1} ds \\ &\quad + C \int_0^t (t-s)^{-1/2} e^{-(b'+\epsilon)(t-s)} e^{(b+\epsilon)t} (e^{-\epsilon s} - e^{-2\epsilon s}) ds \\ &\leq Ce^{-(b'-b)t} \|w(0)\|_{H^1} \\ &\quad + C \int_0^t (t-s)^{-1/2} e^{-(b'+\epsilon)(t-s)} (1 + \delta_*) (\delta_* + (1 - e^{-\epsilon s})) e^{(b+\epsilon)(t-s)} e^{(b+\epsilon)s} \|w(s)\|_{H^1} ds \\ &\quad + C \int_0^t (t-s)^{-1/2} e^{-(b'+\epsilon)(t-s)} e^{(b+\epsilon)t} (e^{-\epsilon s} - e^{-2\epsilon s}) ds \\ &\leq C \|w(0)\|_{H^1} + C \delta_* M_{w,b}(t) \int_0^t (t-s)^{-1/2} e^{-(b'-b)(t-s)} ds \end{aligned}$$

$$\begin{aligned}
& + C \int_0^t (t-s)^{-1/2} e^{-(b'+\epsilon)(t-s)} (1 - e^{-\epsilon s}) e^{(b+\epsilon)(t-s)} e^{(b+\epsilon)s} \|w(s)\|_{H^1} ds \\
& + C \int_0^t (t-s)^{-1/2} e^{-(b'+\epsilon)(t-s)} e^{(b+\epsilon)t} (e^{-\epsilon s} - e^{-2\epsilon s}) ds \\
& \leq C \|w(0)\|_{H^1} + C \delta_* M_{w,b}(t) \int_0^t (t-s)^{-1/2} e^{-(b'-b)(t-s)} ds \\
& + C M_{w,b}(t) \int_0^t (t-s)^{-1/2} e^{-(b'-b)(t-s)} (1 - e^{-\epsilon s}) ds \\
& + C \int_0^t (t-s)^{-1/2} e^{-(b'+\epsilon)(t-s)} e^{(b+\epsilon)t} (e^{-\epsilon s} - e^{-2\epsilon s}) ds. \tag{2.22}
\end{aligned}$$

It is sufficient to estimate the terms  $\mathcal{A}(\epsilon) \triangleq \int_0^t (t-s)^{-1/2} e^{-(b'-b)(t-s)} (1 - e^{-\epsilon s}) ds$  and  $\mathcal{B}_b(\epsilon) \triangleq \int_0^t (t-s)^{-1/2} e^{-(b'+\epsilon)(t-s)} e^{(b+\epsilon)t} (e^{-\epsilon s} - e^{-2\epsilon s}) ds$  in (2.22) above. We first deal with the latter term  $\mathcal{B}_b(\epsilon)$ .

$$\begin{aligned}
\mathcal{B}_b(\epsilon) &= \int_0^t (t-s)^{-\frac{1}{2}} e^{-(b'+\epsilon)(t-s)} e^{(b+\epsilon)t} (e^{-\epsilon s} - e^{-2\epsilon s}) ds \\
&= \int_0^t (t-s)^{-\frac{1}{2}} e^{-(b'-b)(t-s)} e^{(b+\epsilon)s} (e^{-\epsilon s} - e^{-2\epsilon s}) ds \\
&= \int_0^t (t-s)^{-\frac{1}{2}} e^{-(b'-b)(t-s)} e^{bs} (1 - e^{-\epsilon s}) ds. \tag{2.23}
\end{aligned}$$

If we set  $b = 0$  in (2.23),  $\mathcal{B}_{b=0}(\epsilon) = \mathcal{A}(\epsilon)$ . The substitution  $t - s = \ell$  yields

$$\begin{aligned}
\mathcal{B}_{b=0}(\epsilon) &= \mathcal{A}(\epsilon) = \int_0^t (t-s)^{-\frac{1}{2}} e^{-(b'-b)(t-s)} (1 - e^{-\epsilon s}) ds \\
&= \int_0^t (t-s)^{-\frac{1}{2}} e^{-(b'-0)(t-s)} (1 - e^{-\epsilon s}) ds \\
&= \int_0^t (t-s)^{-\frac{1}{2}} e^{-b'(t-s)} (1 - e^{-\epsilon s}) ds \\
&= \int_0^t \ell^{-\frac{1}{2}} e^{-b'\ell} (1 - e^{-\epsilon t} e^{\epsilon \ell}) d\ell. \tag{2.24}
\end{aligned}$$

Considering the long-time point  $t \triangleq T_0 (= O(\frac{\tau}{\epsilon}))$  in the first instance, we have

$$\begin{aligned}
& \int_0^{T_0} \ell^{-\frac{1}{2}} e^{-b'\ell} (1 - e^{-\epsilon t} e^{\epsilon \ell}) d\ell \\
& \approx \int_0^{\frac{\tau}{\epsilon}} \ell^{-\frac{1}{2}} e^{-b'\ell} (1 - e^{-\epsilon \cdot \frac{\tau}{\epsilon}} e^{\epsilon \ell}) d\ell \\
& = \int_0^{\frac{\tau}{\epsilon}} \ell^{-\frac{1}{2}} e^{-b'\ell} (1 - e^{-\tau} e^{\epsilon \ell}) d\ell \quad \text{fix } s = \epsilon \ell \\
& = \int_0^{\tau} \left(\frac{s}{\epsilon}\right)^{-\frac{1}{2}} e^{-b' \frac{s}{\epsilon}} (1 - e^{-\tau} e^s) \frac{1}{\epsilon} ds \\
& = \int_0^{\tau} s^{-\frac{1}{2}} e^{-\frac{b'}{\epsilon}s} (1 - e^{-\tau} e^s) \epsilon^{-\frac{1}{2}} ds. \tag{2.25}
\end{aligned}$$

Due to  $\lim_{\epsilon \rightarrow 0^+} e^{-\frac{b'}{\epsilon}\tau} \epsilon^{-\frac{1}{2}} = 0$ , integrating (2.25) by parts, we have

$$\begin{aligned} 0 &\leq \lim_{\epsilon \rightarrow 0^+} \int_0^\tau s^{-\frac{1}{2}} e^{-\frac{b'}{\epsilon}s} (1 - e^{-\tau} e^s) \epsilon^{-\frac{1}{2}} ds \\ &\leq \lim_{\epsilon \rightarrow 0^+} 2\epsilon^{-\frac{1}{2}} \int_0^\tau s^{-\frac{1}{2}} e^{-\frac{b'}{\epsilon}s} ds \\ &= \lim_{\epsilon \rightarrow 0^+} \epsilon^{-\frac{1}{2}} \left\{ s^{\frac{1}{2}} e^{-\frac{b'}{\epsilon}s} \Big|_0^\tau + \frac{b'}{\epsilon} \int_0^\tau s^{\frac{1}{2}} e^{-\frac{b'}{\epsilon}s} ds \right\} \\ &= \lim_{\epsilon \rightarrow 0^+} b' \epsilon^{-\frac{3}{2}} \int_0^\tau s^{\frac{1}{2}} e^{-\frac{b'}{\epsilon}s} ds = 0, \end{aligned} \quad (2.26)$$

where the last inequality follows from the monotone theorem and the fact that  $\lim_{\epsilon \rightarrow 0^+} s^{\frac{1}{2}} e^{-\frac{b'}{\epsilon}s} \epsilon^{-\frac{3}{2}} = 0, \forall s \in [0, \tau]$ .

After derivation to  $\epsilon$  of  $\mathcal{B}_{b=0}(\epsilon)$  defined in (2.24), we have

$$\mathcal{B}'_{b=0}(\epsilon) = \int_0^\tau s^{-\frac{1}{2}} e^{-\frac{b'}{\epsilon}s} (1 - e^{-\tau} e^s) \epsilon^{-\frac{3}{2}} ds - \int_0^\tau s^{-\frac{1}{2}} e^{-\frac{b'}{\epsilon}s} (1 - e^{-\tau} e^s) \epsilon^{-\frac{5}{2}} \cdot b' s ds. \quad (2.27)$$

Similarly, as (2.25) and (2.26), it is easy to deduce

$$\lim_{\epsilon \rightarrow 0^+} \mathcal{B}'_{b=0}(\epsilon) = 0. \quad (2.28)$$

Therefore, one can deduce

$$|\mathcal{B}_{b=0}(\epsilon)| \leq C(m) \epsilon^m, \quad \forall m \in \mathbb{N}. \quad (2.29)$$

Hence, inserting (2.29) into (2.22), we have for  $b = 0$ ,

$$e^{(b+\epsilon)t} \|w(t)\|_{H^1} = e^{\epsilon t} \|w(t)\|_{H^1} \leq C \|w(0)\|_{H^1} + C(\delta_* + \epsilon^m) M_{w,b=0}(T_0) + C(m) \epsilon^m. \quad (2.30)$$

Taking the supremum over  $0 \leq t \leq T_0 (= O(\frac{\tau}{\epsilon}))$ , we find that if  $\delta_*$  is sufficient small, then

$$M_{w,b=0}(T_0) = \sup_{0 \leq t \leq T_0} e^{\epsilon t} \|w(t)\|_{H^1} \leq C \|w(0)\|_{H^1} + C(m) \epsilon^m. \quad (2.31)$$

Next, we estimate  $|c(t) - c_0|$ . Using (2.18) and (2.31), we find that

$$\begin{aligned} &|c(t) - c_0| \\ &\leq |c(0) - c_0| + \int_0^t |\dot{c}(s)| ds \\ &\leq |c(0) - c_0| + \int_0^t C \left[ (|c(t) - c_0| + \|v\|_{H^1} + (1 - e^{-\epsilon s})) \|w\|_{H^1} + (e^{-\epsilon s} - e^{-2\epsilon s}) \right] ds \\ &\leq |c(0) - c_0| + C \left( \delta_* + \int_0^t (e^{-\epsilon s} - e^{-2\epsilon s}) ds \right) M_{w,b=0}(t) + \int_0^t (e^{-\epsilon s} - e^{-2\epsilon s}) ds \\ &\leq |c(0) - c_0| + C \left( \delta_* + \frac{e^{-2\epsilon t} - 2e^{-\epsilon t} + 1}{2\epsilon} \right) M_{w,b=0}(t) + \frac{e^{-2\epsilon t} - 2e^{-\epsilon t} + 1}{2\epsilon}. \end{aligned} \quad (2.32)$$

For fixed  $t$ , we have

$$\lim_{\epsilon \rightarrow 0^+} \frac{e^{-2\epsilon t} - 2e^{-\epsilon t} + 1}{2\epsilon} = 0. \quad (2.33)$$

However, if we consider (2.33) on the long-time point  $T_0 (= O(\frac{1}{\epsilon}))$ , we know that

$$\lim_{\epsilon \rightarrow 0^+} \frac{e^{-2\epsilon T_0} - 2e^{-\epsilon T_0} + 1}{2\epsilon} = \lim_{\epsilon \rightarrow 0^+} \frac{e^{-2\tau} - 2e^{-\tau} + 1}{2\epsilon} = \infty. \quad (2.34)$$

To obtain a small estimate  $|c(t) - c_0|$ , we need to consider the more appropriate long-time point  $t \triangleq T (= O(\frac{1}{\epsilon}))$  (clearly,  $< T_0$ ). Meanwhile, the estimates (2.24)–(2.31) are still valid in the short long-time period  $0 \leq t \leq T (= O(\frac{1}{\epsilon}))$ .

By calculating, in the new long-time point  $t \triangleq T (= O(\frac{1}{\epsilon}))$ , one can deduce that

$$\begin{aligned} \lim_{\epsilon \rightarrow 0^+} \int_0^T (e^{-\epsilon s} - e^{-2\epsilon s}) ds &\approx \lim_{\epsilon \rightarrow 0^+} \int_0^{\frac{1}{\epsilon^\tau}} (e^{-\epsilon s} - e^{-2\epsilon s}) ds \\ &= \lim_{\epsilon \rightarrow 0^+} \frac{e^{-2\epsilon^{1-\tau}} - 2e^{-\epsilon^{1-\tau}} + 1}{2\epsilon} \\ &= \lim_{\epsilon \rightarrow 0^+} \frac{(1-\tau)e^{-\epsilon^{1-\tau}}(1-e^{-\epsilon^{1-\tau}})}{\epsilon^\tau} \\ &= \lim_{\epsilon \rightarrow 0^+} \frac{(1-\tau)(1-e^{-\epsilon^{1-\tau}})}{\epsilon^\tau} \\ &= \lim_{\epsilon \rightarrow 0^+} \frac{(1-\tau)^2(e^{-\epsilon^{1-\tau}})}{\epsilon^{2\tau-1}} \\ &= \lim_{\epsilon \rightarrow 0^+} (1-\tau)^2(e^{-\epsilon^{1-\tau}})\epsilon^{1-2\tau}. \end{aligned} \quad (2.35)$$

Obviously, it is sufficient to choose  $\tau < \frac{1}{2}$  such that  $\lim_{\epsilon \rightarrow 0^+} \int_0^{\frac{1}{\epsilon^\tau}} (e^{-2\epsilon s} - e^{-\epsilon s}) ds = 0$ . Similarly, the fourth estimate of (2.17) holds.

Conversely, in the new long-time period  $0 \leq t \leq T (= O(\frac{1}{\epsilon}))$ , we return to estimate the term  $\mathcal{B}_b(\epsilon)$  with choosing  $b = \epsilon^\tau$  in (2.23) instead of  $b = 0$  in (2.24). This supplies that the quantity  $e^{(\epsilon^\tau + \epsilon)t} \|w(t)\|_{H^1}$  (i.e., (2.22) with  $b = \epsilon^\tau$ ) has more exponential weight decay than  $e^{\epsilon t} \|w(t)\|_{H^1}$ , (i.e., (2.22) with  $b = 0$ ), that is

$$\begin{aligned} \mathcal{B}_{b=\epsilon^\tau}(\epsilon) &= \int_0^t (t-s)^{-\frac{1}{2}} e^{-(b'+\epsilon)(t-s)} e^{(b+\epsilon)t} (e^{-\epsilon s} - e^{-2\epsilon s}) ds \\ &= \int_0^t (t-s)^{-\frac{1}{2}} e^{-(b'-b)(t-s)} e^{(b+\epsilon)s} (e^{-\epsilon s} - e^{-2\epsilon s}) ds \\ &= \int_0^t (t-s)^{-\frac{1}{2}} e^{-(b'-b)(t-s)} e^{bs} (1 - e^{-\epsilon s}) ds \\ &= \int_0^t (t-s)^{-\frac{1}{2}} e^{-(b'-\epsilon^\tau)(t-s)} e^{\epsilon^\tau s} (1 - e^{-\epsilon s}) ds. \end{aligned} \quad (2.36)$$

Due to  $\epsilon^\tau \ll b'$  and  $0 \leq t \leq T (= O(\frac{1}{\epsilon^\tau}))$ , by the Hölder inequality and the mean value principle, we have

$$\begin{aligned}
 \mathcal{B}_{b=\epsilon^\tau}(\epsilon) &= \int_0^t (t-s)^{-\frac{1}{2}} e^{-(b'-\epsilon^\tau)(t-s)} e^{\epsilon^\tau s} (1 - e^{-\epsilon s}) ds \\
 &= \int_0^t (t-s)^{-\frac{1}{2}} e^{-(b'-\epsilon^\tau)(t-s)} e^{\epsilon^\tau s} (e^{-\epsilon \cdot 0} - e^{-\epsilon s}) ds \\
 &\leq C \int_0^t (t-s)^{-\frac{1}{2}} e^{-(b'-\epsilon^\tau)(t-s)} ds \cdot \sup_{s \in [0, t]} (e^{-\epsilon \cdot 0} - e^{-\epsilon s}) \\
 &\leq C \int_0^t (t-s)^{-\frac{1}{2}} e^{-(b'-\epsilon^\tau)(t-s)} ds \cdot \epsilon e^{-\epsilon \xi s} \\
 &\leq C_1 \int_0^t (t-s)^{-\frac{1}{2}} e^{-(b'-\epsilon^\tau)(t-s)} ds \cdot \epsilon s \\
 &= C_1 \int_0^t (t-s)^{-\frac{1}{2}} e^{-(b'-\epsilon^\tau)(t-s)} ds \cdot \epsilon^{1-\tau}.
 \end{aligned} \tag{2.37}$$

Also, the substitution  $t-s = \ell$  follows

$$\begin{aligned}
 &\int_0^t (t-s)^{-\frac{1}{2}} e^{-(b'-\epsilon^\tau)(t-s)} ds \\
 &= \int_0^t \ell^{-\frac{1}{2}} e^{-(b'-\epsilon^\tau)\ell} d\ell \\
 &= \int_0^1 \ell^{-\frac{1}{2}} e^{-(b'-\epsilon^\tau)\ell} d\ell + \int_1^t \ell^{-\frac{1}{2}} e^{-(b'-\epsilon^\tau)\ell} d\ell \\
 &\leq \int_0^1 \ell^{-\frac{1}{2}} e^{-(b'-\epsilon^\tau)\ell} d\ell + \int_1^\infty \ell^{-\frac{1}{2}} e^{-(b'-\epsilon^\tau)\ell} d\ell \\
 &\leq \int_0^1 \ell^{-\frac{1}{2}} d\ell + \int_1^\infty e^{-(b'-\epsilon^\tau)\ell} d\ell \\
 &= 2 + \frac{1}{b'-\epsilon^\tau} e^{-(b'-\epsilon^\tau)}.
 \end{aligned} \tag{2.38}$$

Hence, by (2.36), (2.37), and (2.38), we have

$$\mathcal{B}_{b=\epsilon^\tau}(\epsilon) \leq C\epsilon^{1-\tau}. \tag{2.39}$$

Hence, inserting (2.39) into (2.22), we have, for  $b = \epsilon^\tau$ ,

$$e^{(\epsilon^\tau + \epsilon)t} \|w(t)\|_{H^1} \leq C \|w(0)\|_{H^1} + C(\delta_* + \epsilon^m) M_{w, b=\epsilon^\tau}(T) + C\epsilon^{1-\tau}. \tag{2.40}$$

Taking the supremum over  $0 \leq t \leq T (= O(\frac{1}{\epsilon^\tau}))$ , we find that if  $\delta_*$  is sufficient small, then

$$M_{w, b=\epsilon^\tau}(T) = \sup_{0 \leq t \leq T} e^{(\epsilon^\tau + \epsilon)t} \|w(t)\|_{H^1} \leq C \|w(0)\|_{H^1} + C\epsilon^{1-\tau}. \tag{2.41}$$

**Remark 2.1** In some sense, there is a balance between the long-time point  $T = O(\frac{1}{\epsilon^\tau})$  and the exponent weight  $b = \epsilon^\tau$ . In other words, if the long-time point is smaller, then the

exponent weight of decay is larger. Here, we cannot obtain the exponent weight of decay  $e^{-a(c-a^2)t}$  as in Ref. [9] due to perturbation estimates (2.23) and (2.36) caused by the weakly damped term.

*Proof (ii)  $H^1$  estimate:* We make use of the damping quantity

$$\mathcal{E}(u) = \mathcal{H}(u) + c_0 \mathcal{I}(u) = \int_{-\infty}^{\infty} \frac{1}{2} (\partial_x u)^2 dx - \int_{-\infty}^{\infty} \frac{1}{6} u^3 dx + \int_{-\infty}^{\infty} \frac{1}{2} c_0 u^2 dx. \quad (2.42)$$

Since  $u_{c_0}$  is a critical point of the functional  $\mathcal{E}$ , we have for any  $z \in H^1$ ,

$$\mathcal{E}(u_{c_0} + z) - \mathcal{E}(u_{c_0}) = \int_{-\infty}^{\infty} \frac{1}{2} (\partial_x z)^2 + \frac{1}{2} (c_0 - u_{c_0}) z^2 - \frac{1}{6} z^3 dx. \quad (2.43)$$

Now, we take  $z = u(x, t) - u_{c_0}(y) = e^{-\epsilon t} u_{c(t)}(y) + v(y, t) - u_{c_0}(y)$  above, and observe that  $\delta \mathcal{E}_0 = \mathcal{E}(u) - \mathcal{E}(u_{c_0})$  is decaying in time. Indeed,

$$\begin{aligned} \frac{d\delta \mathcal{E}_0}{dt} &= \frac{d(\mathcal{E}(u) - \mathcal{E}(u_0))}{dt} \\ &= \frac{d\mathcal{E}}{dt} \\ &= \left\langle -\partial_{xx} u - \frac{1}{2} u^2 + c_0 u, -\partial_x \left( u_{xx} + \frac{1}{2} u^2 \right) - \epsilon u \right\rangle \\ &= \left\langle -\left( \partial_{xx} u + \frac{1}{2} u^2 \right), -\partial_x \left( u_{xx} + \frac{1}{2} u^2 \right) \right\rangle + \left\langle c_0 u, -\partial_x \left( u_{xx} + \frac{1}{2} u^2 \right) \right\rangle \\ &\quad + \left\langle -\left( \partial_{xx} u + \frac{1}{2} u^2 \right), -\epsilon u \right\rangle + \langle c_0 u, -\epsilon u \rangle \\ &= -\epsilon \int_{\mathbb{R}} |u_x|^2 dx + \frac{\epsilon}{2} \int_{\mathbb{R}} u^3 dx - c_0 \epsilon \int_{\mathbb{R}} u^2 dx \\ &= -3\epsilon \mathcal{E}(u) + \epsilon \left( \int_{\mathbb{R}} \frac{1}{2} u_x^2 dx + \frac{1}{2} c_0 \int_{\mathbb{R}} u^2 dx \right) \\ &= -3\epsilon \mathcal{E}(u) + \epsilon \left( \int_{\mathbb{R}} \frac{1}{2} u_x^2 dx + \frac{1}{2} c_0 \int_{\mathbb{R}} u^2 dx \right) \\ &= -3\epsilon (\mathcal{E}(u) - \mathcal{E}(u_0)) - 3\epsilon \mathcal{E}(u_0) + \epsilon \left( \int_{\mathbb{R}} \frac{1}{2} u_x^2 dx + \frac{1}{2} c_0 \int_{\mathbb{R}} u^2 dx \right) \\ &= -3\epsilon \delta \mathcal{E}_0 - 3\epsilon \mathcal{E}(u_0) + \epsilon \left( \int_{\mathbb{R}} \frac{1}{2} u_x^2 dx + \frac{1}{2} c_0 \int_{\mathbb{R}} u^2 dx \right) \\ &= -3\epsilon \delta \mathcal{E}_0 - 3\epsilon C + \epsilon \left( \int_{\mathbb{R}} \frac{1}{2} u_x^2 dx + \frac{1}{2} c_0 \int_{\mathbb{R}} u^2 dx \right). \end{aligned} \quad (2.44)$$

Moreover, multiplying equation (1.1) by  $u_{xx}$ , one has

$$\frac{1}{2} \frac{d}{dt} \|u_x\|_{L^2(\mathbb{R})}^2 = -\epsilon \|u_x\|_{L^2}^2. \quad (2.45)$$

Due to decaying estimates about  $\|u\|_{L^2}$  and  $\|u_x\|_{L^2}$  given in (1.10) and (2.45), one can deduce from (2.44) that for  $0 \leq t \leq T = O(\frac{1}{\epsilon^{\frac{1}{\tau}}})$

$$\delta \mathcal{E}_0 \leq e^{-3\epsilon t} \delta \mathcal{E}_0(0) + C(1 - e^{-3\epsilon t})$$

$$\leq e^{-3\epsilon t} \delta \mathcal{E}_0(0) + C(1 - e^{-3\epsilon^{1-\tau}}). \quad (2.46)$$

At the same time, we estimate (2.43) as follows. Note that, for  $\delta_*$  sufficiently small,

$$\begin{aligned} \|e^{-\epsilon t} u_{c(t)} - u_{c_0}\|_{H^1} &= \|e^{-\epsilon t} u_{c(t)} - e^{-\epsilon t} u_{c_0} + e^{-\epsilon t} u_{c_0} - u_{c_0}\|_{H^1} \\ &\leq C(|c(t) - c_0| + |e^{-\epsilon t} - 1|). \end{aligned} \quad (2.47)$$

Then, for some  $k_1 > 0$ ,

$$\int_{-\infty}^{\infty} \frac{1}{2} (\partial_y z)^2 + \frac{1}{2} c_0 z^2 dy \leq k_1 \|v\|_{H^1}^2 + C(|c(t) - c_0|^2 + |e^{-\epsilon t} - 1|^2). \quad (2.48)$$

Since  $e^{-ay} u_{c_0}(y)$  is bounded in  $y$ , we may estimate

$$\begin{aligned} \int_{-\infty}^{\infty} u_{c_0}(y) z^2 dy &\leq \sup_y |e^{-ay} u_{c_0}(y)| \|z\|_{L^2} \|e^{ay} z\|_{L^2} \\ &\leq C(|c(t) - c_0| + |e^{-\epsilon t} - 1| + \|v\|_{L^2}) (|c(t) - c_0| + |e^{-\epsilon t} - 1| + \|w\|_{L^2}) \\ &\leq \frac{1}{4} k_1 \|v\|_{L^2}^2 + C[|c(t) - c_0|^2 + \|w\|_{L^2}^2 + |e^{-\epsilon t} - 1|^2], \end{aligned} \quad (2.49)$$

where we have used the estimate  $ab \leq \delta a^2 + C(\delta)b^2$  for a suitably small  $\delta$ . Finally, since  $\|z\|_{H^1} \leq C(|c(t) - c_0| + \|v\|_{H^1} + |1 - e^{-\epsilon t}|) \leq C(\delta_* + |1 - e^{-\epsilon t}|)$ , we have

$$\begin{aligned} \int_{-\infty}^{\infty} \frac{1}{6} z^3 dy &\leq C\|z\|_{H^1}^3 \leq C(\delta_* + |1 - e^{-\epsilon t}|)(|c(t) - c_0|^2 + \|v\|_{H^1}^2 + |e^{-\epsilon t} - 1|^2) \\ &\leq \frac{1}{4} k_1 \|v\|_{L^2}^2 + C[|c(t) - c_0|^2 + |e^{-\epsilon t} - 1|^2]. \end{aligned} \quad (2.50)$$

Hence, if  $\delta_*$  is sufficiently small, (2.43) with (2.48), (2.49), and (2.50) yields

$$\frac{1}{2} k_1 \|v\|_{H^1}^2 \leq \delta \mathcal{E}_0 + C[|c(t) - c_0|^2 + |e^{-\epsilon t} - 1|^2]. \quad (2.51)$$

Due to (2.35) and (2.46), we know that

$$\begin{aligned} \|v\|_{H^1} &\leq C(\sqrt{\delta \mathcal{E}_0} + |c(t) - c_0| + |e^{-\epsilon t} - 1|) \\ &\leq C\|v_0\|_{H^1} + C\epsilon^{1-\tau} + |c(t) - c_0| \\ &\leq C_1\epsilon + C_2\epsilon^{1-\tau} + C_3\epsilon^{1-2\tau} \\ &\leq C\epsilon^{1-2\tau}. \end{aligned} \quad (2.52)$$

This completes the proof of Proposition 2.1, which implies the conclusions of Theorem 1.1.  $\square$

### 3 The long-time behavior stability

#### 3.1 A new decomposition of the solution

Note that, in the long-time stability case, the expression (2.15):  $\mathfrak{A}(t) = e^{-\epsilon t} I + O(|c(t) - c_0| + \|v\|_{L^2})$  may not be reversible as  $t \rightarrow +\infty$ , which is derived by setting the form of solution

(2.1). Hence, we subtly analyze the following new form of the solution

$$u(x, t) = e^{-\epsilon t} [u_{c(t)}(y) + v(y, t)], \quad (3.1)$$

where

$$y = y(x, t) = x - \int_0^t c(s) ds + \gamma(t) \quad (3.2)$$

and  $u_{c(t)}(y)$  belongs to the family of traveling waves with  $c(t) = c_0 e^{-\beta t}$  ( $0 < \beta \leq 1$ ).

Substituting (3.1) into (1.1), we similarly derive evolution equations for  $\gamma(t)$ ,  $c(t)$ , and  $v(y, t)$  as follows:

$$\begin{aligned} 0 &= \partial_t u + \partial_x^3 u + \partial_x \left( \frac{1}{2} u^2 \right) + \epsilon u \\ &= e^{-\epsilon t} \left[ \partial_t + (\dot{\gamma} - c(t)) \partial_y + \partial_y^3 \right] (u_{c(t)}(y) + v) - \epsilon e^{-\epsilon t} (u_{c(t)}(y) + v(y, t)) \\ &\quad + \partial_y \left[ \frac{1}{2} e^{-2\epsilon t} (u_{c(t)}(y) + v(y, t))^2 \right] + \epsilon e^{-\epsilon t} (u_{c(t)}(y) + v(y, t)) \\ &= e^{-\epsilon t} (\partial_t + (\dot{\gamma} - c(t)) \partial_y + \partial_y^3) v + e^{-\epsilon t} (\partial_t + (\dot{\gamma} - c(t)) \partial_y + \partial_y^3) u_{c(t)}(y) \\ &\quad + \partial_y \left[ \frac{1}{2} e^{-2\epsilon t} (u_{c(t)}(y) + v(y, t))^2 \right] \\ &= e^{-\epsilon t} (\partial_t + (\dot{\gamma} - c(t)) \partial_y + \partial_y^3) v + e^{-\epsilon t} ((\dot{\gamma} - c(t)) \partial_y) u_{c(t)}(y) \\ &\quad + e^{-\epsilon t} (\partial_t u_{c(t)}(y) + \partial_y^3 u_{c(t)}(y)) + \partial_y \left[ \frac{1}{2} e^{-2\epsilon t} (u_{c(t)}(y) + v(y, t))^2 \right] \\ &= e^{-\epsilon t} (\partial_t + (\dot{\gamma} - c(t)) \partial_y + \partial_y^3) v + e^{-\epsilon t} ((\dot{\gamma} - c(t)) \partial_y) u_{c(t)}(y) \\ &\quad + e^{-\epsilon t} \partial_y (-c(t) u_{c(t)}(y) + \partial_y^2 u_{c(t)}(y)) + \partial_y \left[ \frac{1}{2} e^{-2\epsilon t} (u_{c(t)}(y) + v(y, t))^2 \right] \\ &= e^{-\epsilon t} (\partial_t + (\dot{\gamma} - c(t)) \partial_y + \partial_y^3) v + e^{-\epsilon t} ((\dot{\gamma} - c(t)) \partial_y) u_{c(t)}(y) \\ &\quad + e^{-\epsilon t} \left( -\frac{1}{2} \partial_y u_{c(t)}^2(y) \right) + \partial_y \left[ \frac{1}{2} e^{-2\epsilon t} (u_{c(t)}(y) + v(y, t))^2 \right] \\ &= e^{-\epsilon t} (\partial_t + (\dot{\gamma} - c(t)) \partial_y + \partial_y^3) v + e^{-\epsilon t} \left\{ \dot{\gamma} \partial_y u_{c(t)}(y) + \frac{\partial u}{\partial c} \dot{c} \right\} \\ &\quad + e^{-\epsilon t} \left( -\frac{1}{2} \partial_y u_{c(t)}^2(y) \right) + \partial_y \left[ \frac{1}{2} e^{-2\epsilon t} u_{c(t)}^2(y) + e^{-2\epsilon t} u_{c(t)}(y) v(y, t) + \frac{1}{2} e^{-2\epsilon t} v^2(y, t) \right] \\ &= e^{-\epsilon t} (\partial_t + (\dot{\gamma} - c(t)) \partial_y + \partial_y^3) v + e^{-\epsilon t} \left\{ \dot{\gamma} \partial_y u_{c(t)}(y) + \frac{\partial u}{\partial c} \dot{c} \right\} \\ &\quad + \partial_y \left[ \frac{1}{2} (e^{-2\epsilon t} - e^{-\epsilon t}) u_{c(t)}^2(y) + e^{-2\epsilon t} u_{c(t)}(y) v(y, t) + \frac{1}{2} e^{-2\epsilon t} v^2(y, t) \right] \\ &= e^{-\epsilon t} (\partial_t + (\dot{\gamma} - c(t)) \partial_y + \partial_y^3) v + e^{-\epsilon t} \partial_y (u_{c(t)} v) + e^{-\epsilon t} \left\{ \dot{\gamma} \partial_y u_{c(t)}(y) + \frac{\partial u}{\partial c} \dot{c} \right\} \\ &\quad + \partial_y \left[ \frac{1}{2} (e^{-2\epsilon t} - e^{-\epsilon t}) u_{c(t)}^2(y) + e^{-2\epsilon t} u_{c(t)}(y) v(y, t) + \frac{1}{2} e^{-2\epsilon t} v^2(y, t) \right] - e^{-\epsilon t} \partial_y (u_{c(t)} v) \end{aligned}$$



$$\begin{aligned}
&= e^{-\epsilon t} (\partial_t + (\dot{\gamma} - c(t)) \partial_y + \partial_y^3) v + e^{-\epsilon t} \partial_y (u_{c(t)} v) + e^{-\epsilon t} \left\{ \dot{\gamma} \partial_y u_{c(t)}(y) + \frac{\partial u}{\partial c} \dot{c} \right\} \\
&\quad + \partial_y \left[ \frac{1}{2} (e^{-2\epsilon t} - e^{-\epsilon t}) u_{c(t)}^2(y) + e^{-2\epsilon t} u_{c(t)}(y) v(y, t) \right. \\
&\quad \left. + \frac{1}{2} e^{-2\epsilon t} v^2(y, t) - e^{-\epsilon t} (u_{c(t)} v) \right]. \tag{3.3}
\end{aligned}$$

Hence,

$$\begin{aligned}
e^{-\epsilon t} \partial_t v &= e^{-\epsilon t} \partial_y [-\partial_y^2 + c(t) - u_{c(t)}] v - e^{-\epsilon t} \left[ \dot{\gamma} \partial_y u + \dot{c} \frac{\partial u}{\partial c} \right] - e^{-\epsilon t} \partial_y [\dot{\gamma} v] \\
&\quad - \partial_y \left[ \frac{1}{2} (e^{-2\epsilon t} - e^{-\epsilon t}) u_{c(t)}^2(y) + e^{-2\epsilon t} u_{c(t)}(y) v(y, t) \right. \\
&\quad \left. + \frac{1}{2} e^{-2\epsilon t} v^2(y, t) - e^{-\epsilon t} (u_{c(t)} v) \right]. \tag{3.4}
\end{aligned}$$

Therefore,

$$\begin{aligned}
\partial_t v &= \partial_y [-\partial_y^2 + c(t) - u_{c(t)}] v - \left[ \dot{\gamma} \partial_y u + \dot{c} \frac{\partial u}{\partial c} \right] - \partial_y [\dot{\gamma} v] \\
&\quad - e^{\epsilon t} \partial_y \left[ \frac{1}{2} (e^{-2\epsilon t} - e^{-\epsilon t}) u_{c(t)}^2(y) + e^{-2\epsilon t} u_{c(t)}(y) v(y, t) \right. \\
&\quad \left. + \frac{1}{2} e^{-2\epsilon t} v^2(y, t) - e^{-\epsilon t} (u_{c(t)} v) \right]. \tag{3.5}
\end{aligned}$$

Since here the speeds  $c(t)$  of the traveling wave will decay to zero, the exponential weight  $a(< \sqrt{\frac{c(t)}{3}})$  will also decay. On the other hand, due to the fifth item in Remark 1.1, we cannot initially set the exponential weight  $a = 0$  in  $H_a^1$ . Otherwise, it may follow more than a 2-dimensional generalized kernel. Hence, in contrast to the long-time stability case by setting (2.3) to prove Theorem 1.1, we need to set  $w(y, t) = e^{a(t)y} v(y, t)$ ,  $A_a(t) = e^{a(t)y} \partial_y L_{c(t)} e^{-a(t)y}$  and  $L_{c(t)} = -\partial_y^2 + c(t) - u_{c(t)}$ . Then, we deduce that

$$\partial_t w = \left[ A_a(t) + \frac{da}{dt} \right] w + \mathfrak{F}, \tag{3.6}$$

where, for simplicity, writing  $a = a(t)$  if there is no risk of confusion,

$$\begin{aligned}
\mathfrak{F} &= -e^{ay} (\dot{c} \partial_c + \dot{\gamma} \partial_y) u_{c(t)} - \dot{\gamma} e^{ay} \partial_y e^{-ay} w + \mathcal{F}, \\
\mathcal{F} &= -e^{\epsilon t} e^{ay} \partial_y \left[ \frac{1}{2} (e^{-2\epsilon t} - e^{-\epsilon t}) u_{c(t)}^2 \right] - e^{\epsilon t} e^{ay} \partial_y \left[ (e^{-2\epsilon t} - e^{-\epsilon t}) u_{c(t)} v + \frac{1}{2} e^{-2\epsilon t} v^2 \right]. \tag{3.7}
\end{aligned}$$

Meanwhile, (2.4) implies that this equation is initially justified in  $C([0, t], H^{-3})$ , but also holds in  $C([0, t], L^2)$  and moreover is pointwise.

As in the long-time behavior case above, we wish to impose the similar projections  $P$ ,  $Q$  given in Proposition A.2

$$w(y, t) = e^{ay} v(y, t) \in \text{range}(Q) = \ker(P). \tag{3.8}$$

However, here, we should denote them by  $P(t), Q(t)$ . Indeed, the current assumption of Proposition A.2:  $0 < a(t) < \sqrt{\frac{c(t)}{3}}$  depends on  $t$ , from which it follows that the  $\xi_j = \xi_j(t)$  and  $\eta_k = \eta_k(t)$  depend on  $t$  for  $j, k = 1, 2$ . This requirement corresponds to the two scalar constraints  $\langle w, \eta_k(t) \rangle = 0$ ,  $k = 1, 2$ , cf. (A.14), which also generates the modulation equations, namely, two coupled first-order differential equations for  $c(t)$ ,  $\gamma(t)$  as  $t > 0$ . Hence, the constraint  $w \in \text{range}(Q)$  in (3.8) now yields the following system of evolution equations for  $(w, \gamma, c)$ :

$$\partial_t w = \left[ A_a + \frac{da}{dt} \right] w + Q\mathfrak{F}, \quad P\mathfrak{F} = 0. \quad (3.9)$$

Written as an integral equation, the initial value problem for (3.9) becomes:

$$w(t) = e^{\int_0^t [A_a(s) + \frac{da}{ds}(s)] ds} w(0) + \int_0^t e^{\int_s^t [A_a(s) + \frac{da}{ds}(s)] ds} Q\mathfrak{F}(s) ds. \quad (3.10)$$

Then, similarly by (A.14), the condition  $P\mathfrak{F} = 0$  is equivalent to

$$0 = \langle \dot{\gamma} [e^{ay} \partial_y u_{c(t)} + (\partial_y - a)w] + \dot{c} e^{ay} \partial_c u_{c(t)} - \mathcal{F}, \eta_k \rangle, \quad k = 1, 2. \quad (3.11)$$

Using the biorthogonality relation  $\langle \xi_j, \eta_k \rangle = \delta_{jk}$ , we obtain a system of equations for  $\gamma(t)$  and  $c(t)$ :

$$\mathfrak{A}(t) \begin{pmatrix} \dot{\gamma} \\ \dot{c} \end{pmatrix} = \begin{pmatrix} \langle \mathcal{F}, \eta_1 \rangle \\ \langle \mathcal{F}, \eta_2 \rangle \end{pmatrix} \quad (3.12)$$

and

$$\begin{aligned} \mathfrak{A}(t) &= \begin{pmatrix} \langle e^{ay} \partial_y u_{c(t)}, \eta_1 \rangle + \langle (\partial_y - a)w, \tilde{\eta}_1 \rangle, \langle e^{ay} \partial_c u_{c(t)}, \eta_1 \rangle \\ \langle e^{ay} \partial_y u_{c(t)}, \eta_2 \rangle + \langle (\partial_y - a)w, \tilde{\eta}_2 \rangle, \langle e^{ay} \partial_c u_{c(t)}, \eta_2 \rangle \end{pmatrix} \\ &= \begin{pmatrix} \langle e^{ay} \partial_y u_{c(t)}, \eta_1 \rangle + \langle e^{ay} \partial_y e^{-ay} w, \tilde{\eta}_1 \rangle, \langle e^{ay} \partial_c u_{c(t)}, \eta_1 \rangle \\ \langle e^{ay} \partial_y u_{c(t)}, \eta_2 \rangle + \langle e^{ay} \partial_y e^{-ay} w, \tilde{\eta}_2 \rangle, \langle e^{ay} \partial_c u_{c(t)}, \eta_2 \rangle \end{pmatrix} \\ &= \begin{pmatrix} \langle e^{ay} \partial_y u_{c(t)}, \eta_1 \rangle, \langle e^{ay} \partial_c u_{c(t)}, \eta_1 \rangle \\ \langle e^{ay} \partial_y u_{c(t)}, \eta_2 \rangle, \langle e^{ay} \partial_c u_{c(t)}, \eta_2 \rangle \end{pmatrix} + \begin{pmatrix} \langle e^{ay} \partial_y e^{-ay} w, \tilde{\eta}_1 \rangle, \langle e^{ay} \partial_c u_{c(t)}, \eta_1 \rangle \\ \langle e^{ay} \partial_y e^{-ay} w, \tilde{\eta}_2 \rangle, \langle e^{ay} \partial_c u_{c(t)}, \eta_2 \rangle \end{pmatrix}. \end{aligned} \quad (3.13)$$

The matrix  $\mathfrak{A}(t)$  satisfies

$$\mathfrak{A}(t) = I + O(\|v\|_{L^2}) \quad \text{as } \|v\|_{L^2} \rightarrow 0. \quad (3.14)$$

### 3.2 The long-time behavior

Now, we will estimate the weighted perturbation,  $w(y, t) = e^{ay} v(y, t)$ , in  $H^1$ , via the integral equation (3.10), the modulation equation (3.12), and the linear semigroup estimates of Lemma A.2.

Since  $\|v\|_{L^2}$  decays to zero with respect to  $t$ , in the expression (3.14),  $\mathfrak{A}(t)$  has a bounded inverse as  $0 \leq t < +\infty$ . We may estimate (3.12) to find

$$|\dot{\gamma}| + |\dot{c}| \leq C \|\mathcal{F}\|_{L^2}. \quad (3.15)$$

From (3.7), using that  $e^{ay}\partial_y e^{-ay} = \partial_y - a$ , we obtain the estimates

$$\begin{aligned} \|\mathfrak{F}\| &\leq C(|\dot{\gamma}|(1 + \|w\|_{H^1})) + |\dot{c}| + \|\mathcal{F}\|_{L^2} \leq C(1 + \|w\|_{H^1})\|\mathcal{F}\|_{L^2}, \\ \|\mathcal{F}\|_{L^2} &= \left\| -e^{\epsilon t} e^{ay} \partial_y \left[ \frac{1}{2} (e^{-2\epsilon t} - e^{-\epsilon t}) u_{c(t)}^2 \right] \right. \\ &\quad \left. - e^{\epsilon t} e^{ay} \partial_y \left[ (e^{-2\epsilon t} - e^{-\epsilon t}) u_{c(t)} v + \frac{1}{2} e^{-2\epsilon t} v^2 \right] \right\|_{L^2} \\ &= \left\| -e^{ay} \partial_y \left[ \frac{1}{2} (e^{-\epsilon t} - 1) u_{c(t)}^2 \right] - e^{ay} \partial_y \left[ (e^{-\epsilon t} - 1) u_{c(t)} v + \frac{1}{2} e^{-\epsilon t} v^2 \right] \right\|_{L^2} \\ &\leq \frac{1}{2} (1 - e^{-\epsilon t}) \|e^{ay} \partial_y u_{c(t)}^2\|_{L^2} + (1 - e^{-\epsilon t}) \|e^{ay} \partial_y (u_{c(t)} v)\|_{L^2} \\ &\quad + \frac{1}{2} e^{-\epsilon t} \|e^{ay} \partial_y v^2\|_{L^2}. \end{aligned} \quad (3.16)$$

Now, we may formally choose  $b'$  with  $b < b' < a(c - a^2)$ , such that  $b'$ , as well as  $b$ , satisfies the condition of Lemma A.2. Meanwhile, we should note that in (3.10) the term  $e^{\int_0^t \frac{da}{ds}(s)} ds \sim O(1)$  since  $a < \sqrt{\frac{c}{3}} = \frac{1}{\sqrt{3}} e^{-\frac{\beta}{2}s} (\beta > 0)$ . Hence, one can similarly estimate (3.10) as follows, for  $t > 0$ :

$$\begin{aligned} \|w(t)\|_{H^1} &\leq C e^{-b't} \|w(0)\|_{H^1} + C \int_0^t (t-s)^{-1/2} e^{-b'(t-s)} \|\mathcal{F}\|_{L^2} ds \\ &\leq C e^{-b't} \|w(0)\|_{H^1} + C \int_0^t \left\{ (t-s)^{-1/2} e^{-b'(t-s)} \right. \\ &\quad \times \left[ \frac{1}{2} (1 - e^{-\epsilon s}) \|e^{ay} \partial_y u_{c(t)}^2\|_{L^2} + (1 - e^{-\epsilon s}) \|e^{ay} \partial_y (u_{c(t)} v)\|_{L^2} \right. \\ &\quad \left. \left. + \frac{1}{2} e^{-\epsilon s} \|\partial_y v^2\|_{L^2} \right] \right\} ds. \end{aligned} \quad (3.17)$$

Now, formally define

$$M_{w,b}(t) = \sup_{0 \leq s \leq t} e^{b(t)s} \|w(s)\|_{H^1}, \quad (3.18)$$

where the variable  $b = b(t)$  is similarly given in Remark A.1.

Moreover, the following crucial estimates follow from (1.4).

**Lemma 3.1** *Assume that the solitary waves  $u_{c(t)}(y)$  have the traveling speed  $c(t) = c_0 e^{-\beta t}$  as  $0 \leq t < +\infty$ . Then,*

$$\begin{aligned} \|u_{c(t)}(y)\|_{L^2} &\sim e^{-\frac{4}{3}\beta t} \|u_{c_0}(x, 0)\|_{L^2}, \\ \|u_{c(t)}(y)\|_{L^\infty} &\sim e^{-\beta t} \|u_{c_0}(x, 0)\|_{L^\infty}, \\ \|\partial_y u_{c(t)}(y)\|_{L^\infty} &\sim e^{-\beta t} \|\partial_y u_{c_0}(x, 0)\|_{L^\infty}. \end{aligned} \quad (3.19)$$

For simplicity, also writing  $b = b(t)$  if there is no risk of confusion, and then multiplying (3.17) by  $e^{bt}$ , we find from (3.19) that, for  $t > 0$ ,

$$e^{bt} \|w(t)\|_{H^1}$$

$$\begin{aligned}
&\leq Ce^{bt}e^{-b't}\|w(0)\|_{H^1} \\
&\quad + C \int_0^t (t-s)^{-1/2} e^{-b'(t-s)} e^{bt} (1-e^{-\epsilon s}) \|e^{ay} \partial_y u_{c(t)}^2\|_{L^2} ds \\
&\quad + C \int_0^t (t-s)^{-1/2} e^{-b'(t-s)} e^{bt} (1-e^{-\epsilon s}) \|e^{ay} \partial_y (u_{c(t)} v)\|_{L^2} ds \\
&\quad + C \int_0^t (t-s)^{-1/2} e^{-b'(t-s)} e^{bt} e^{-\epsilon s} \|e^{ay} \partial_y v^2\|_{L^2} ds \\
&\leq Ce^{-(b'-b)t} \|w(0)\|_{H^1} \\
&\quad + C \int_0^t (t-s)^{-1/2} e^{-b'(t-s)} e^{bt} (1-e^{-\epsilon s}) \|e^{ay} \partial_y u_{c(t)}^2\|_{L^2} ds \\
&\quad + C \int_0^t (t-s)^{-1/2} e^{-b'(t-s)} e^{bt} (1-e^{-\epsilon s}) [\|\partial_y u_{c(t)}\|_{L^\infty} + \|u_{c(t)}\|_{L^\infty}] \|w(s)\|_{H^1} ds \\
&\quad + C \int_0^t (t-s)^{-1/2} e^{-b'(t-s)} e^{bt} e^{-\epsilon s} \|v\|_{L^\infty} \|w(s)\|_{H^1} ds \\
&\leq Ce^{-(b'-b)t} \|w(0)\|_{H^1} \\
&\quad + C \int_0^t (t-s)^{-1/2} e^{-b'(t-s)} e^{bt} (1-e^{-\epsilon s}) e^{-\frac{3}{2}\beta s} ds \\
&\quad + C \int_0^t (t-s)^{-1/2} e^{-b'(t-s)} (1-e^{-\epsilon s}) [\|\partial_y u_{c(t)}\|_{L^\infty} + \|u_{c(t)}\|_{L^\infty}] e^{bt} \|w(s)\|_{H^1} ds \\
&\quad + C \int_0^t (t-s)^{-1/2} e^{-b'(t-s)} e^{-\epsilon s} \|v\|_{L^\infty} e^{bt} \|w(s)\|_{H^1} ds \\
&\leq Ce^{-(b'-b)t} \|w(0)\|_{H^1} \\
&\quad + C \int_0^t (t-s)^{-1/2} e^{-b'(t-s)} e^{bt} (1-e^{-\epsilon s}) e^{-\frac{3}{2}\beta s} ds \\
&\quad + C \int_0^t (t-s)^{-1/2} e^{-b'(t-s)} (1-e^{-\epsilon s}) e^{-\beta s} e^{bt} \|w(s)\|_{H^1} ds \\
&\quad + C \int_0^t (t-s)^{-1/2} e^{-b'(t-s)} e^{-\epsilon s} \|v\|_{L^\infty} e^{bt} \|w(s)\|_{H^1} ds \\
&\leq Ce^{-(b'-b)t} \|w(0)\|_{H^1} + I(\epsilon, \beta, t) + II(\epsilon, \beta, t) + III(\epsilon, t). \tag{3.20}
\end{aligned}$$

We first deal with the term  $I$ . For  $0 \leq t \leq 1$  and  $0 < \beta \leq 1$ , one can easily deduce that

$$\begin{aligned}
I(\epsilon, \beta, t) &= \int_0^t (t-s)^{-1/2} e^{-b'(t-s)} e^{bt} (1-e^{-\epsilon s}) e^{-\frac{3}{2}\beta s} ds \\
&\leq C \epsilon \int_0^t (t-s)^{-1/2} ds \\
&= C \epsilon \sqrt{t}. \tag{3.21}
\end{aligned}$$

On the other hand, for  $1 \leq t < \infty$ , one has

$$I(\epsilon, \beta, t) = \int_0^t (t-s)^{-1/2} e^{-b'(t-s)} e^{bt} (1-e^{-\epsilon s}) e^{-\frac{3}{2}\beta s} ds$$

$$\begin{aligned}
&= \int_0^t (t-s)^{-\frac{1}{2}} e^{-(b'-b)(t-s)} e^{bs} (1-e^{-\epsilon s}) e^{-\frac{3}{2}\beta s} ds \\
&= \int_0^t (t-s)^{-\frac{1}{2}} e^{-(b'-b)(t-s)} e^{-(\frac{3}{2}\beta-b)s} (1-e^{-\epsilon s}) ds \\
&\leq \epsilon \int_0^t (t-s)^{-\frac{1}{2}} e^{-(\beta-b)s} ds \quad \text{with } t-s=\ell \\
&= \epsilon \int_0^t \ell^{-\frac{1}{2}} e^{-(\beta-b)(t-\ell)} d\ell \\
&= \epsilon \int_0^{t/2} \ell^{-\frac{1}{2}} e^{-(\beta-b)(t-\ell)} d\ell + \epsilon \int_{t/2}^t \ell^{-\frac{1}{2}} e^{-(\beta-b)(t-\ell)} d\ell \\
&\leq \epsilon \int_0^{t/2} \ell^{-\frac{1}{2}} d\ell \cdot e^{-(\beta-b)(t-\frac{t}{2})} + \epsilon \left(\frac{t}{2}\right)^{-\frac{1}{2}} \int_{t/2}^t e^{-(\beta-b)(t-\ell)} d\ell \\
&\leq \epsilon \int_0^{t/2} \ell^{-\frac{1}{2}} d\ell \cdot e^{-(\beta-b)(t-\frac{t}{2})} + \epsilon \left(\frac{t}{2}\right)^{-\frac{1}{2}} \int_{t/2}^t e^{-(\beta-b)(t-\ell)} d\ell \\
&\leq 2\epsilon \left(\frac{t}{2}\right)^{\frac{1}{2}} \cdot e^{-(\beta-b)(t-\frac{t}{2})} + \epsilon \left(\frac{t}{2}\right)^{-\frac{1}{2}} \frac{1}{\beta-b} [e^{-(\beta-b)(t-\frac{t}{2})} - 1]. \tag{3.22}
\end{aligned}$$

Since  $b(t) \rightarrow 0$  as  $t \rightarrow +\infty$ , we can choose any  $\beta > 0$  satisfying  $\beta - b > 0$ . Hence, one can deduce from (3.22) that  $I(\epsilon, \beta, t) \sim O(\frac{\epsilon}{\beta\sqrt{t}})$  as  $1 \leq t < +\infty$ .

For the term  $II(\epsilon, \beta, t) = \int_0^t (t-s)^{-1/2} e^{-b'(t-s)} (1-e^{-\epsilon s}) e^{-\beta s} e^{bt} \|w(s)\|_{H^1} ds$ , as in (3.21) and (3.22), we have

$$\begin{aligned}
II(\epsilon, \beta, t) &= \int_0^t (t-s)^{-1/2} e^{-b'(t-s)} (1-e^{-\epsilon s}) e^{-\beta s} e^{bt} \|w(s)\|_{H^1} ds \\
&\leq \int_0^t (t-s)^{-1/2} e^{-b'(t-s)} (1-e^{-\epsilon s}) e^{-\beta s} e^{b(t-s)} ds \cdot \sup_{s \in [0,t]} e^{bs} \|w(s)\|_{H^1} \\
&\leq \int_0^t (t-s)^{-1/2} e^{-(b-b')(t-s)} (1-e^{-\epsilon s}) e^{-\beta s} ds \cdot \sup_{s \in [0,t]} e^{bs} \|w(s)\|_{H^1} \\
&\leq \int_0^t (t-s)^{-1/2} (1-e^{-\epsilon s}) e^{-\beta s} ds \cdot \sup_{s \in [0,t]} e^{bs} \|w(s)\|_{H^1} \\
&\leq C\epsilon \cdot \sup_{s \in [0,t]} e^{bs} \|w(s)\|_{H^1}. \tag{3.23}
\end{aligned}$$

For the term  $III(\epsilon, t) = \int_0^t (t-s)^{-1/2} e^{-b'(t-s)} e^{-\epsilon s} \|v\|_{L^\infty} e^{bt} \|w(s)\|_{H^1} ds$ ,

$$\begin{aligned}
III(\epsilon, t) &= \int_0^t (t-s)^{-1/2} e^{-b'(t-s)} e^{-\epsilon s} \|v\|_{L^\infty} e^{bt} \|w(s)\|_{H^1} ds \\
&\leq \int_0^t (t-s)^{-1/2} e^{-b'(t-s)} e^{-\epsilon s} \|v\|_{L^\infty} e^{b(t-s)} e^{bs} \|w(s)\|_{H^1} ds \\
&\leq \int_0^t (t-s)^{-1/2} e^{-b'(t-s)} e^{-\epsilon s} \|v\|_{L^\infty} e^{b(t-s)} ds \sup_{s \in [0,t]} e^{bs} \|w(s)\|_{H^1} \\
&\leq \int_0^t (t-s)^{-1/2} e^{-(b'-b)(t-s)} e^{-\epsilon s} \|v\|_{L^\infty} ds \sup_{s \in [0,t]} e^{bs} \|w(s)\|_{H^1}
\end{aligned}$$

$$\begin{aligned}
&\leq \int_0^t (t-s)^{-1/2} e^{-(b'-b)(t-s)} e^{-\epsilon s} \|v\|_{L^\infty} ds \sup_{s \in [0,t]} e^{bs} \|w(s)\|_{H^1} \quad \text{with } t-s=\ell \\
&\leq \int_0^t \ell^{-1/2} e^{-(b'-b)\ell} e^{-\epsilon(t-\ell)} \|v\|_{L^\infty} d\ell \sup_{s \in [0,t]} e^{bs} \|w(s)\|_{H^1} \\
&\leq C \|v\|_{L^\infty} \sup_{s \in [0,t]} e^{bs} \|w(s)\|_{H^1} \\
&\leq C \epsilon \sup_{s \in [0,t]} e^{bs} \|w(s)\|_{H^1}.
\end{aligned} \tag{3.24}$$

In sum, (1.19) and (1.20) follows from the inequalities (3.20), (3.21), (3.22), (3.23), (3.24), and the fact that  $H_a^1 = H^1$  if  $a = 0$ . The proof of Theorem 1.2 is finished.

## Appendix

For the reader's convenience, we list out the spectral property and developing analysis of the operator  $A_0 = \partial_y L_c$  given in (1.17) in the space  $L^2$  and  $L_a^2$ . The interested reader is referred to References [9, 25, 26].

### A.1 Spectral theory in $L^2$ and $L_a^2$

The spectrum of the operator  $A_0 = \partial_y L_c$  on  $L^2$  consists of a discrete spectrum (isolated eigenvalues of finite multiplicity) and an essential spectrum (everything else in the spectrum).

**Lemma A.1** (Theorem 2.1 Ref. [9])  *$A_0$  has no isolated eigenvalues whose spectrum coincides with the imaginary axis.*

In fact, if  $\lambda$  is an eigenvalue of  $A_0$  with  $L^2$ -eigenfunction  $Y(y)$ , then

$$A_0 Y(y) = \partial_y L_c Y(y) = \partial_y [-\partial_y^2 + c - u_c(y)] Y(y) = \lambda Y(y). \tag{A.1}$$

Since the solitary wave  $u_c(y) \rightarrow 0$  at an exponential rate as  $|y| \rightarrow \infty$  (see (1.4)), it follows that the constant coefficient equation is

$$\partial_y (-\partial_y^2 + c) Y(y) = \lambda Y(y). \tag{A.2}$$

Hence, the essential spectrum of  $A_0 = \partial_y L_c$  is the imaginary axis and the corresponding eigenvalue function  $Y(y)$  exponentially decays to zero as  $y \rightarrow \infty$ .

The following functions are also described in [9], in relation to the isolated eigenvalue  $\lambda = 0$  of  $A_0$  in the space  $L_a^2$ .

$$\tilde{\xi}_1 = \partial_y u_c, \quad \tilde{\xi}_2 = \partial_c u_c, \tag{A.3}$$

$$\tilde{\eta}_1 = \theta_1 \int_{-\infty}^y \partial_c u_c dx + \theta_2 u_c, \quad \tilde{\eta}_2 = \theta_3 u_c. \tag{A.4}$$

Here,

$$\begin{aligned}\theta_1 &= \left( \frac{d}{dc} \mathcal{I}[u_c] \right)^{-1}, \\ \theta_2 &= \frac{1}{2} \left( \frac{d}{dc} \int_{-\infty}^{+\infty} u_c dx \right)^2 \left( \frac{d}{dc} \mathcal{I}[u_c] \right)^{-2} \quad \text{and} \quad \theta_3 = -\theta_1.\end{aligned}\tag{A.5}$$

The functions  $\tilde{\xi}_1$ ,  $\tilde{\xi}_2$ , and  $\tilde{\eta}_2$  decay exponentially as  $|y| \rightarrow \infty$ , at the rate  $e^{-\sqrt{c}|y|}$ . The function  $\tilde{\eta}_1$  decays like  $e^{\sqrt{c}y}$  as  $y \rightarrow -\infty$ , but is merely bounded as  $y \rightarrow +\infty$ . In addition, these functions have the following properties:

$$\begin{aligned}\partial_y L_c \tilde{\xi}_1 &= 0, & \partial_y L_c \tilde{\xi}_2 &= -\tilde{\xi}_1, \\ L_c \partial_y \tilde{\eta}_1 &= \tilde{\eta}_2, & L_c \partial_y \tilde{\eta}_2 &= 0,\end{aligned}\tag{A.6}$$

and

$$\langle \tilde{\eta}_j, \tilde{\xi}_k \rangle = \delta_{jk}, \quad j, k = 1, 2,\tag{A.7}$$

where  $\langle u, v \rangle = \int_{-\infty}^{+\infty} u \bar{v} dx$ .

Making a change of variables,

$$W(y) = e^{ay} Y(y),\tag{A.8}$$

the eigenvalue equation (A.1) is transformed into the equation

$$A_a W = e^{ay} \partial_y L_c e^{-ay} W = (\partial_y - a) [-(\partial_y - a)^2 + c - u_c] W = \lambda W.\tag{A.9}$$

Thus, the spectral theory of  $A_0 = \partial_y L_c$  in  $L_a^2$  is equivalent to the spectral theory of  $A_a$  in  $L^2$ . Since  $u_c(y)$  and  $\partial_y u_c(y)$  decay to zero at an exponential rate as  $|y| \rightarrow \infty$ , the essential spectrum of  $A_a$  also agrees with the spectrum of the constant coefficient operator

$$A_a^0 = (\partial_y - a) [-(\partial_y - a)^2 + c].\tag{A.10}$$

Hence,

**Proposition A.1** (Proposition 2.5 Ref. [9]) *For  $0 < a < \sqrt{c/3}$ , the essential spectrum of  $A_a$  is a curve parametrized by*

$$\begin{aligned}\tau \mapsto \varphi(i\tau - a) &= (i\tau - a) [-(i\tau - a)^2 + c] \\ &= i\tau^3 - 3a\tau^2 + (c - 3a^2)i\tau - a(c - a^2),\end{aligned}\tag{A.11}$$

where lies in the open left half-plane.

Define

$$\ker(A) = \{w \in \text{dom}(A) | Aw = 0 \text{ in } L^2\}, \quad \ker_g(A) = \bigcup_{k=1}^{\infty} \ker(A^k).\tag{A.12}$$

For the generalized eigenspaces of  $A_a$  and its adjoint  $A_a^* = -e^{-ay}L_c\partial_y e^{ay}$ , one has:

**Proposition A.2** (Proposition 2.8 Ref. [9]) Assume  $\frac{dT[u_c]}{dc} \neq 0$  and  $0 < a < \sqrt{c/3}$ . Then,  $\lambda = 0$  is the only eigenvalue for  $A_a$  with algebraic multiplicity two, and

$$\ker_g(A_a) = \ker(A_a^2) = \text{span}\{\xi_1, \xi_2\}, \quad \ker_g(A_a^*) = \ker(A_a^{*2}) = \text{span}\{\eta_1, \eta_2\}, \quad (\text{A.13})$$

where  $\xi_j = e^{ay}\tilde{\xi}_j$  and  $\eta_j = e^{-ay}\tilde{\eta}_j$  for  $j = 1, 2$ , i.e.,

$$\xi_1 = e^{ay}\partial_y u_c, \quad \xi_2 = e^{ay}\partial_c u_c, \quad (\text{A.14})$$

$$\eta_1 = e^{-ay}\left(\theta_1 \int_{-\infty}^y \partial_c u_c dx + \theta_2 u_c\right), \quad \eta_2 = e^{-ay}\theta_3 u_c, \quad (\text{A.15})$$

where  $\theta_1, \theta_2$ , and  $\theta_3$  are as in (A.5). In addition, the  $\xi_j$  and  $\eta_k$  are biorthogonal, with  $\langle \xi_j, \eta_k \rangle = \delta_{jk}$  for  $j, k = 1, 2$ . Thus, the spectral projection  $P$  for  $A_a$ , associated with the eigenvalue  $\lambda = 0$ , and the complementary spectral projection  $Q$ , are given by

$$Pw = \sum_{k=1}^2 \langle w, \eta_k \rangle \xi_k, \quad Qw = (I - P)w = w - \sum_{k=1}^2 \langle w, \eta_k \rangle \xi_k, \quad (\text{A.16})$$

for  $w \in L^2$ . These projections satisfy  $PA_a w = A_a Pw$ ,  $QA_a w = A_a Qw$ , for  $w \in \text{dom } A_a$ .

## A.2 Decay of smoothing estimates

After the substitution

$$w(y, t) = e^{ay}v(y, t), \quad a > 0, \quad (\text{A.17})$$

the linearized undamped evolution equation (1.1) becomes

$$\partial_t w = A_a w \quad \text{with } A_a = e^{ay}\partial_y L_c e^{-ay}. \quad (\text{A.18})$$

Denote  $A_a = A_a^0 + (\partial_y - a)u_c$  with

$$A_a^0 = (\partial_y - a)(-(\partial_y - a)^2 + c) = -\partial_y^3 + 3a\partial_y^2 + (c - 3a^2)\partial_y - a(c - a^2). \quad (\text{A.19})$$

Since  $u_c$  exponentially decays to zero as  $|y| \rightarrow \infty$ , the coefficients in (A.18) converge to those of the free evolution equation

$$\partial_t w = A_a^0 w. \quad (\text{A.20})$$

Using the Fourier transform, one obtains:

**Proposition A.3** (Proposition 4.1 Ref. [9]) For any integer  $n \geq 0$ , and  $0 < a < \sqrt{c/3}$ , there exists  $C = C(n, a)$  such that, for any  $w \in L^2$  and for all  $t > 0$ ,

$$\|\partial_y^n e^{A_a^0 t} w\|_{L^2} \leq Ct^{-n/2} e^{-a(c-a^2)t} \|w\|_{L^2}. \quad (\text{A.21})$$



For the semigroup  $e^{A_a t}$ , by restraint on the invariant subspace range  $Q$  (see (A.16)) complementary to the generalized kernel of  $A_a$ , a decay and smoothing estimate is also valid:

**Lemma A.2** (Theorem 4.2 Ref. [9]) *Let the assumptions of Proposition A.2 hold. Then,  $A_a$  is the generator of a  $C^0$  semigroup on  $H^s$  for any real  $s$ , and, for any  $b > 0$  such that the  $L^2$ -spectrum  $\sigma(A_a) \subset \{\lambda \mid \operatorname{Re} \lambda < -b\} \cup \{0\}$ , there exists  $C$  such that for all  $w \in L^2$  and  $t > 0$ ,*

$$\|e^{A_a t} Qw\|_{H^1} \leq Ct^{-1/2} e^{-bt} \|w\|_{L^2}. \quad (\text{A.22})$$

**Remark A.1** The smoothing-decay estimate (A.22) will be used in the proofs of Theorem 1.1 and Theorem 1.2. Also, Lemma A.2 implies that for  $0 < a < \sqrt{c/3}$ ,  $A_a$  has no eigenvalues in the open left half-plane. Therefore,  $-b$ , the exponential rate of local energy decay, can be taken to satisfy  $-a(c - a^2) < -b \leq 0$ .

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