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# Quasilinear boundary value problem with impulses: variational approach to resonance problem

Pavel Drábek<sup>1,2</sup> and Martina Langerová<sup>2\*</sup>

We dedicate this paper to Professor Ivan Kiguradze for his merits in the theory of differential equations.

\*Correspondence:  
mlanger@ntis.zcu.cz  
<sup>2</sup>NTIS, University of West Bohemia,  
Univerzitní 22, Plzeň, 306 14, Czech  
Republic  
Full list of author information is  
available at the end of the article

## Abstract

This paper deals with the resonance problem for the one-dimensional  $p$ -Laplacian with homogeneous Dirichlet boundary conditions and with nonlinear impulses in the derivative of the solution at prescribed points. The sufficient condition of Landesman-Lazer type is presented and the existence of at least one solution is proved. The proof is variational and relies on the linking theorem.

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## 1 Introduction

Let  $p > 1$  be a real number. We consider the homogeneous Dirichlet boundary value problem for one-dimensional  $p$ -Laplacian

$$\begin{aligned} -(|u'(x)|^{p-2}u'(x))' - \lambda|u(x)|^{p-2}u(x) &= f(x) \quad \text{for a.e. } x \in (0, 1), \\ u(0) &= u(1) = 0, \end{aligned} \tag{1}$$

where  $\lambda \in \mathbb{R}$  is a spectral parameter and  $f \in L^{p'}(0, 1)$ ,  $\frac{1}{p} + \frac{1}{p'} = 1$ , is a given right-hand side.

Let  $0 = t_0 < t_1 < \dots < t_r < t_{r+1} = 1$  be given points and let  $I_j : \mathbb{R} \rightarrow \mathbb{R}$ ,  $j = 1, 2, \dots, r$ , be given continuous functions. We are interested in the solutions of (1) satisfying the impulse conditions in the derivative

$$\Delta_p u'(t_j) := |u'(t_j^+)|^{p-2}u'(t_j^+) - |u'(t_j^-)|^{p-2}u'(t_j^-) = I_j(u(t_j)), \quad j = 1, 2, \dots, r. \tag{2}$$

For the sake of brevity, in further text we use the following notation:

$$\varphi(s) := |s|^{p-2}s, \quad s \neq 0; \quad \varphi(0) := 0.$$

For  $p = 2$  this problem is considered in [1] where the necessary and sufficient condition for the existence of a solution of (1) and (2) is given. In fact, in the so-called resonance case, we introduce necessary and sufficient conditions of Landesman-Lazer type in terms

of the impulse functions  $I_j, j = 1, 2, \dots, r$ , and the right-hand side  $f$ . They generalize the Fredholm alternative for linear problem (1) with  $p = 2$ .

In this paper we focus on a quasilinear equation with  $p \neq 2$  and look just for sufficient conditions. We point out that there are principal differences between the linear case ( $p = 2$ ) and the nonlinear case ( $p \neq 2$ ). In the linear case, we could benefit from the Hilbert structure of an abstract formulation of the problem. It could be treated using the topological degree as a nonlinear compact perturbation of a linear operator. However, in the nonlinear case, completely different approach must be chosen in the resonance case. Our variational proof relies on the linking theorem (see [2]), but we have to work in a Banach space since the Hilbert structure is not suitable for the case  $p \neq 2$ .

It is known that the eigenvalues of

$$\begin{aligned} -(\varphi(u'(x)))' - \lambda\varphi(u(x)) &= 0, \\ u(0) = u(1) &= 0 \end{aligned} \tag{3}$$

are simple and form an unbounded increasing sequence  $\{\lambda_n\}$  whose eigenspaces are spanned by functions  $\{\phi_n(x)\} \subset W_0^{1,p}(0, 1) \cap C^1[0, 1]$  such that  $\phi_n$  has  $n - 1$  evenly spaced zeros in  $(0, 1)$ ,  $\|\phi_n\|_{L^p(0,1)} = 1$ , and  $\phi_n'(0) > 0$ . The reader is invited to see [3, p.388], [4, p.780] or [5, pp.272-275] for further details. See also Example 1 below for more explicit form of  $\lambda_n$  and  $\phi_n$ .

Let  $\lambda \neq \lambda_n, n = 1, 2, \dots$ , in (1). This is the nonresonance case. Then, for any  $f \in L^{p'}(0, 1)$ , there exists at least one solution of (1). In the case  $p = 2$ , this solution is unique. In the case  $p \neq 2$ , the uniqueness holds if  $\lambda \leq 0$ , but it may fail for certain right-hand sides  $f \in L^{p'}(0, 1)$  if  $\lambda > 0$ . See, e.g., [6] (for  $2 < p < \infty$ ) and [7] (for  $1 < p < 2$ ).

The same argument as that used for  $p = 2$  in [1, Section 3] for the nonresonance case yields the following existence result for the quasilinear impulsive problem (1), (2).

**Theorem 1** (Nonresonance case) *Let  $\lambda \neq \lambda_n, n = 1, 2, \dots, I_j : \mathbb{R} \rightarrow \mathbb{R}, j = 1, 2, \dots, r$ , be continuous functions which are  $(p - 1)$ -subhomogeneous at  $\pm\infty$ , that is,*

$$\lim_{|s| \rightarrow \infty} \frac{I_j(s)}{|s|^{p-2}s} = 0.$$

*Then (1), (2) has a solution for arbitrary  $f \in L^{p'}(0, 1)$ .*

Variational approach to impulsive differential equations of the type (1), (2) with  $p = 2$  was used, e.g., in paper [8]. The authors apply the mountain pass theorem to prove the existence of a solution for  $\lambda < \lambda_1$ . Our Theorem 1 thus generalizes [8, Theorem 5.2] in two directions. Firstly, it allows also  $\lambda > \lambda_1$  ( $\lambda \neq \lambda_n, n = 2, 3, \dots$ ) and, secondly, it deals with quasilinear equations ( $p \neq 2$ ), too.

Let  $\lambda = \lambda_n$  for some  $n \in \mathbb{N}$ . This is the resonance case. Contrary to the linear case ( $p = 2$ ), there is no Fredholm alternative for (1) in the nonlinear case ( $p \neq 2$ ). If  $\lambda = \lambda_1$ , then

$$f \in \phi_1^\perp := \left\{ h \in L^\infty(0, 1) : \int_0^1 h(x)\phi_1(x) \, dx = 0 \right\}$$

is the sufficient condition for solvability of (1), but it is not necessary if  $p \neq 2$ . Moreover, if  $f \notin \phi_1^\perp$  but  $f$  is 'close enough' to  $\phi_1^\perp$ , problem (1) has at least two distinct solutions. The

reader is referred to [3] or [9] for more details. It appears that the situation is even more complicated for  $\lambda = \lambda_n, n \geq 2$  (see, e.g., [10]).

In the presence of nonlinear impulses which have certain asymptotic properties (to be made precise below), we show that the fact  $f \in \phi_n^\perp$  might still be the sufficient condition for the existence of a solution to (1) (with  $\lambda = \lambda_n$ ) and (2). For this purpose we need some notation. Let  $0 < x_1 < x_2 < \dots < x_{n-1} < 1$  denote evenly spaced zeros of  $\phi_n$ , let  $\mathcal{I}_+ = (0, x_1) \cup (x_2, x_3) \cup \dots$  and  $\mathcal{I}_- = (x_1, x_2) \cup (x_3, x_4) \cup \dots$  denote the union of intervals where  $\phi_n > 0$  or  $\phi_n < 0$ , respectively. We arrange  $t_j, j = 1, 2, \dots, r$ , into three sequences:  $0 < \tau_1 < \tau_2 < \dots < \tau_{r_+} < 1, \tau_i \in \mathcal{I}_+, i = 1, 2, \dots, r_+; 0 < \sigma_1 < \sigma_2 < \dots < \sigma_{r_-} < 1, \sigma_j \in \mathcal{I}_-, j = 1, 2, \dots, r_-; \xi_k \in \{x_1, x_2, \dots, x_{n-1}\}, k = 1, 2, \dots, r_0$ . Obviously, we have  $r_+ + r_- + r_0 = r$  and  $r_0 \leq n - 1$ . Assume that  $r_+ + r_- > 0$ , i.e.,  $r_0 < n - 1$ . The impulse condition (2) can be written in an equivalent form

$$\begin{aligned} \Delta_p u'(\tau_i) &= I_i^\tau(u(\tau_i)), \quad i = 1, 2, \dots, r_+, \\ \Delta_p u'(\sigma_j) &= I_j^\sigma(u(\sigma_j)), \quad j = 1, 2, \dots, r_-, \\ \Delta_p u'(\xi_k) &= I_k^\xi(u(\xi_k)), \quad k = 1, 2, \dots, r_0. \end{aligned} \tag{4}$$

We assume that  $I_i^\tau, I_j^\sigma, I_k^\xi : \mathbb{R} \rightarrow \mathbb{R}, i = 1, 2, \dots, r_+; j = 1, 2, \dots, r_-; k = 1, 2, \dots, r_0$ , are continuous, bounded functions and there exist limits  $\lim_{s \rightarrow \pm\infty} I_i^\tau(s) = I_i^\tau(\pm\infty), \lim_{s \rightarrow \pm\infty} I_j^\sigma(s) = I_j^\sigma(\pm\infty)$ . We consider the following Landesman-Lazer type conditions: either

$$\begin{aligned} \sum_{i=1}^{r_+} I_i^\tau(-\infty)\phi_n(\tau_i) + \sum_{j=1}^{r_-} I_j^\sigma(+\infty)\phi_n(\sigma_j) &< \int_0^1 f(x)\phi_n(x) \, dx \\ &< \sum_{i=1}^{r_+} I_i^\tau(+\infty)\phi_n(\tau_i) + \sum_{j=1}^{r_-} I_j^\sigma(-\infty)\phi_n(\sigma_j) \end{aligned} \tag{5}$$

or

$$\begin{aligned} \sum_{i=1}^{r_+} I_i^\tau(+\infty)\phi_n(\tau_i) + \sum_{j=1}^{r_-} I_j^\sigma(-\infty)\phi_n(\sigma_j) &< \int_0^1 f(x)\phi_n(x) \, dx \\ &< \sum_{i=1}^{r_+} I_i^\tau(-\infty)\phi_n(\tau_i) + \sum_{j=1}^{r_-} I_j^\sigma(+\infty)\phi_n(\sigma_j). \end{aligned} \tag{6}$$

Our main result is the following.

**Theorem 2** (Resonance case) *Let  $\lambda = \lambda_n$  for some  $n \in \mathbb{N}$  in (1). Let the nonlinear bounded impulse functions  $I_j : \mathbb{R} \rightarrow \mathbb{R}, j = 1, 2, \dots, r$ , and the right-hand side  $f \in L^p(0, 1)$  satisfy either (5) or (6). Then (1), (2) has a solution.*

The result from Theorem 2 is illustrated in the following special example.

**Example 1** It follows from the first integral associated with the equation in (3) that the eigenvalues and the eigenfunctions of (3) have the form

$$\lambda_n = (p - 1)(n\pi_p)^p, \quad \phi_n(x) = \frac{\sin_p(n\pi_p x)}{\|\sin_p(n\pi_p x)\|_{L^p(0,1)}}$$

where  $\pi_p = \frac{2\pi}{p \sin \frac{\pi}{p}}$  and  $x = \int_0^{\sin_p x} \frac{ds}{(1-s^p)^{\frac{1}{p}}}$ ,  $x \in [0, \frac{\pi_p}{2}]$ ,  $\sin_p x = \sin_p(\pi_p - x)$ ,  $x \in [\frac{\pi_p}{2}, \pi_p]$ ,  $\sin_p x = -\sin_p(2\pi_p - x)$ ,  $x \in [\pi_p, 2\pi_p]$ , see [3, p.388]. Let us consider  $\lambda = \lambda_2$  in (1) and  $t_1 = \frac{\pi_p}{4}$ ,  $t_2 = \frac{3\pi_p}{4}$ ,  $I_j(s) = \arctan s$ ,  $s \in \mathbb{R}$ ,  $j = 1, 2$ , in (2). Since  $\sin \frac{\pi_p}{2} = \frac{1}{p-1}$ ,  $\sin \frac{3\pi_p}{2} = -\frac{1}{p-1}$ , condition (6) reads as follows:

$$-\frac{\pi}{p-1} < \int_0^1 f(x) \sin_p 2\pi_p x \, dx < \frac{\pi}{p-1}.$$

## 2 Functional framework

We say that  $u$  is the classical solution of (1), (2) if the following conditions are fulfilled:

- $u \in C[0, 1]$ ,  $u \in C^1(t_j, t_{j+1})$ ,  $\varphi(u'(\cdot))$  is absolutely continuous in  $(t_j, t_{j+1})$ ,  $j = 0, 1, \dots, r$ ;
- the equation in (1) holds a.e. in  $(0, 1)$  and  $u(0) = u(1) = 0$ ;
- one-sided limits  $u'(t_j^+)$ ,  $u'(t_j^-)$  exist finite and (2) holds.

We say that  $u \in W_0^{1,p}(0, 1)$  is a weak solution of (1), (2) if the integral identity

$$\int_0^1 \varphi(u'(x))v'(x) \, dx - \lambda \int_0^1 \varphi(u(x))v(x) \, dx + \sum_{j=1}^r I_j(u(t_j))v(t_j) = \int_0^1 f(x)v(x) \, dx \quad (7)$$

holds for any function  $v \in W_0^{1,p}(0, 1)$ .

Integration by parts and the fundamental lemma in calculus of variations (see [11, Lemma 7.1.9]) yields that every weak solution of (1), (2) is also a classical solution and vice versa. Indeed, let  $u$  be a weak solution of (1), (2),  $v \in \mathcal{D}(t_j, t_{j+1})$  (the space of smooth functions with a compact support in  $(t_j, t_{j+1})$ ,  $j = 0, 1, \dots, r$ ),  $v \equiv 0$  elsewhere in  $(0, 1)$ , then

$$\int_{t_j}^{t_{j+1}} \left( \varphi(u'(x)) + \int_0^x [\lambda \varphi(u(\tau)) + f(\tau)] \, d\tau \right) v'(x) \, dx = 0.$$

Since  $v$  is arbitrary, we have  $\varphi(u'(x)) + \int_0^x [\lambda \varphi(u(\tau)) + f(\tau)] \, d\tau = 0$  for a.e.  $x \in (t_j, t_{j+1})$ . Then  $\varphi(u'(\cdot))$  is absolutely continuous in  $(t_j, t_{j+1})$  and

$$-(\varphi(u'(x)))' - \lambda \varphi(u(x)) = f(x) \quad (8)$$

for a.e.  $x \in (t_j, t_{j+1})$ ,  $j = 0, 1, \dots, r$ . Taking now  $v \in W_0^{1,p}(0, 1)$  arbitrary, integrating by parts in the first integral in (7) and using (8), we get

$$\sum_{j=1}^r [\varphi(u'(t_j^+)) - \varphi(u'(t_j^-))]v(t_j) = \sum_{j=1}^r I_j(u(t_j))v(t_j),$$

and hence also (2) follows. Similarly, we show that every classical solution is a weak solution at the same time.

Let  $X := W_0^{1,p}(0, 1)$  with the norm  $\|u\| = (\int_0^1 |u'(x)|^p \, dx)^{\frac{1}{p}}$ ,  $X^*$  be the dual of  $X$  and  $\langle \cdot, \cdot \rangle$  be the duality pairing between  $X^*$  and  $X$ . For  $u \in X$ , we set

$$\begin{aligned} A(u) &:= \frac{1}{p} \int_0^1 |u'(x)|^p \, dx, & B(u) &:= \frac{1}{p} \int_0^1 |u(x)|^p \, dx, \\ F(u) &:= \int_0^1 f(x)u(x) \, dx, & J(u) &:= \sum_{j=1}^r \int_0^{u(t_j)} I_j(s) \, ds. \end{aligned}$$

Then, for  $u, v \in X$ , we have

$$\begin{aligned} \langle A'(u), v \rangle &= \int_0^1 \varphi(u'(x))v'(x) \, dx, & \langle B'(u), v \rangle &= \int_0^1 \varphi(u(x))v(x) \, dx, \\ \langle F', v \rangle &= \int_0^1 f(x)v(x) \, dx, & \langle J'(u), v \rangle &= \sum_{j=1}^r I_j(u(t_j))v(t_j). \end{aligned}$$

**Lemma 1** *The operators  $A', B', J' : X \rightarrow X^*$  have the following properties:*

- (A)  $A'$  is  $(p - 1)$ -homogeneous, odd, continuously invertible, and  $\|A'(u)\|_* = \|u\|^{p-1}$  for any  $u \in X$ .
- (B)  $B'$  is  $(p - 1)$ -homogeneous, odd and compact.
- (J)  $J'$  is bounded and compact.

By the linearity of  $F : X \rightarrow \mathbb{R}$ ,  $F' \in X^*$  is a fixed element.

*Proof* See [12, Lemma 10.3, p.120]. □

With this notation in hands we can look for (classical) solutions of (1), (2) either as for solutions  $u \in X$  of the operator equation

$$A'(u) - \lambda B'(u) + J'(u) = F' \tag{9}$$

or, alternatively, as for critical points of the functional  $\mathcal{F} : X \rightarrow \mathbb{R}$ ,

$$\mathcal{F}(u) := A(u) - \lambda B(u) + J(u) - F(u). \tag{10}$$

As mentioned already above, in the nonresonance case ( $\lambda \neq \lambda_n, n \in \mathbb{N}$ ), we can use the Leray-Schauder degree argument and prove the existence of a solution of the equation (9) exactly as in [1, proof of Thm. 1]. Note that the  $(p - 1)$ -subhomogeneous condition on  $I_j$  is used here instead of the sublinear condition imposed on  $I_j$  in [1] and the proof of Theorem 1 follows the same lines. For this reason we skip it and concentrate on the resonance case ( $\lambda = \lambda_n$  for some  $n \in \mathbb{N}$ ) in the next section.

### 3 Resonance problem, variational approach

We use the following definition of linked sets and the linking theorem (cf. [13]).

**Definition 1** Let  $\mathcal{E}$  be a closed subset of  $X$  and let  $Q$  be a submanifold of  $X$  with relative boundary  $\partial Q$ . We say that  $\mathcal{E}$  and  $\partial Q$  link if

- (i)  $\mathcal{E} \cap \partial Q = \emptyset$  and
- (ii) for any continuous map  $h : X \rightarrow X$  such that  $h|_{\partial Q} = \text{id}$ , there holds  $h(Q) \cap \mathcal{E} \neq \emptyset$ .

(See [14, Def. 8.1, p.116].)

**Theorem 3** (Linking theorem) *Suppose that  $\mathcal{F} \in C^1(X)$  satisfies the Palais-Smale condition. Consider a closed subset  $\mathcal{E} \subset X$  and a submanifold  $Q \subset X$  with relative boundary  $\partial Q$ , and let  $\Gamma := \{h \in C^0(X, X) : h|_{\partial Q} = \text{id}\}$ . Suppose that  $\mathcal{E}$  and  $\partial Q$  link in the sense of Defini-*

tion 1, and

$$\inf_{u \in \mathcal{E}} \mathcal{F}(u) > \sup_{u \in \partial Q} \mathcal{F}(u).$$

Then  $\beta = \inf_{h \in \Gamma} \sup_{u \in Q} \mathcal{F}(h(u))$  is a critical value of  $\mathcal{F}$ .

(See [14, Thm. 8.4, p.118].)

The purpose of the following series of lemmas is to show that the hypotheses of Theorem 3 are satisfied provided that either (5) or (6) holds. From now on we assume that  $\lambda = \lambda_n$  (for some  $n \in \mathbb{N}$ ) in (1).

**Lemma 2** *If either (5) or (6) is satisfied, then  $\mathcal{F}$  satisfies the Palais-Smale condition.*

*Proof* Suppose that  $\{u_k\} \in X$  such that  $|\mathcal{F}(u_k)| \leq c$  and  $\mathcal{F}'(u_k) \rightarrow 0$  in  $X^*$ . We must show that  $\{u_k\}$  has a subsequence that converges in  $X$ . We prove first that  $\{u_k\}$  is a bounded sequence. We proceed via contradiction and suppose that  $\|u_k\| \rightarrow \infty$  and consider  $v_k := \frac{u_k}{\|u_k\|}$ . Without loss of generality, we can assume that there is  $v_0 \in X$  such that  $v_k \rightharpoonup v_0$  (weakly) in  $X$  ( $X$  is a reflexive Banach space). Since

$$0 \leftarrow \mathcal{F}'(u_k) = A'(u_k) - \lambda_n B'(u_k) + J'(u_k) - F',$$

dividing through by  $\|u_k\|^{p-1}$ , we have

$$A'(v_k) - \lambda_n B'(v_k) + \frac{J'(u_k)}{\|u_k\|^{p-1}} - \frac{F'}{\|u_k\|^{p-1}} \rightarrow 0.$$

By the boundedness of  $J'$  we know that  $\frac{J'(u_k)}{\|u_k\|^{p-1}} \rightarrow 0$ . We also have  $\frac{F'}{\|u_k\|^{p-1}} \rightarrow 0$ . By the compactness of  $B'$  we get  $B'(v_k) \rightarrow B'(v_0)$  in  $X^*$ . Thus  $v_k \rightarrow v_0 = (A')^{-1}(\lambda_n B'(v_0))$  in  $X$  by Lemma 1(A). It follows that  $v_0 = \pm \frac{1}{\lambda_n^{\frac{1}{p}}} \phi_n$ .

We assume  $v_0 = \frac{1}{\lambda_n^{\frac{1}{p}}} \phi_n$  and remark that a similar argument follows if  $v_0 = -\frac{1}{\lambda_n^{\frac{1}{p}}} \phi_n$ . Next we estimate

$$p\mathcal{F}(u_k) - \langle \mathcal{F}'(u_k), u_k \rangle = pJ(u_k) - \langle J'(u_k), u_k \rangle + (1-p) \int_0^1 f(x)u_k(x) \, dx. \tag{11}$$

Our assumption  $|\mathcal{F}(u_k)| \leq c$  yields

$$-cp \leq p\mathcal{F}(u_k) \leq cp \tag{12}$$

and the Cauchy-Schwarz inequality implies

$$-\|u_k\| \|\mathcal{F}'(u_k)\|_* \leq -\langle \mathcal{F}'(u_k), u_k \rangle \leq \|u_k\| \|\mathcal{F}'(u_k)\|_*, \tag{13}$$

where  $\|\cdot\|_*$  denotes the norm in  $X^*$ . It follows from (11)-(13) that

$$\begin{aligned} -cp - \|u_k\| \|\mathcal{F}'(u_k)\|_* &\leq pJ(u_k) - \langle J'(u_k), u_k \rangle + (1-p) \int_0^1 f(x)u_k(x) \, dx \\ &\leq cp + \|u_k\| \|\mathcal{F}'(u_k)\|_*. \end{aligned}$$

Dividing through by  $\|u_k\|$  and writing  $\frac{\int_0^{u_k(t_j)} I_j(s) ds}{\|u_k\|} = \hat{I}_j(u_k(t_j))v_k(t_j)$ , where

$$\hat{I}_j(\sigma) := \begin{cases} \frac{\int_0^\sigma I_j(s) ds}{\sigma} & \text{for } \sigma \neq 0, \\ 0 & \text{for } \sigma = 0, \end{cases}$$

$j = 0, 1, \dots, r$ , we get

$$\begin{aligned} & \left| p \sum_{j=1}^r \hat{I}_j(u_k(t_j))v_k(t_j) - \sum_{j=1}^r I_j(u_k(t_j))v_k(t_j) + (1-p) \int_0^1 f(x)v_k(x) dx \right| \\ & \leq \frac{cp}{\|u_k\|} + \|\mathcal{F}'(u_k)\|_* \rightarrow 0. \end{aligned} \tag{14}$$

Since  $\int_0^1 f(x)v_k(x) dx \rightarrow \frac{1}{\lambda_n^{\frac{p}{p-1}}} \int_0^1 f(x)\phi_n(x) dx$  as  $k \rightarrow \infty$ , we obtain from (14):

$$\lim_{k \rightarrow \infty} \sum_{j=1}^r (p\hat{I}_j(u_k(t_j)) - I_j(u_k(t_j)))v_k(t_j) = \frac{p-1}{\lambda_n^{\frac{p}{p-1}}} \int_0^1 f(x)\phi_n(x) dx. \tag{15}$$

Recall that  $X$  embeds compactly in  $C[0, 1]$ , so, without loss of generality, we assume that  $v_k(t_j) \rightarrow \frac{1}{\lambda_n^{\frac{p}{p-1}}} \phi_n(t_j)$ ,  $j = 0, 1, \dots, r$ , as  $k \rightarrow \infty$ . Hence,  $u_k(t_j) \rightarrow \pm\infty$  for  $t_j \in \mathcal{I}_\pm$ , which implies  $I_j(u_k(t_j)) \rightarrow I_j(\pm\infty)$  as well as  $\hat{I}_j(u_k(t_j)) \rightarrow I_j(\pm\infty)$  as  $k \rightarrow \infty$  by an application of the l'Hospital rule to  $\frac{\int_0^\sigma I_j(s) ds}{\sigma}$ . Notice that by the boundedness of  $I_j$  we have

$$(p\hat{I}_j(u_k(t_j)) - I_j(u_k(t_j)))v_k(t_j) \rightarrow 0 \quad \text{as } k \rightarrow \infty$$

if  $t_j$  is a zero point of  $\phi_n$  for some  $j \in \{1, 2, \dots, r\}$ . Thus, passing to the limit in (15) as  $k \rightarrow \infty$ , we get

$$\sum_{i=1}^{r_+} I_i^r(+\infty)\phi_n(\tau_i) + \sum_{j=1}^{r_-} I_j^\sigma(-\infty)\phi_n(\sigma_j) = \int_0^1 f(x)\phi_n(x) dx,$$

which contradicts (5) or (6). Hence  $\{u_k\}$  is bounded.

By compactness there is a subsequence such that  $B'(u_k)$  and  $J'(u_k)$  converge in  $X^*$  (see Lemma 1(B), (J)). Since  $\mathcal{F}'(u_k) \rightarrow 0$  by our assumption, we also have that  $A'(u_k)$  converges in  $X^*$ . Finally,  $u_k = (A')^{-1}(A'(u_k))$  converges in  $X$  by Lemma 1(A). The proof is finished.  $\square$

With the Palais-Smale condition in hands, we can turn our attention to the geometry of the functional  $\mathcal{F}$ . To this end we have to find suitable sets which link in the sense of Definition 1. Actually, we use the sets constructed in [13] and explain that they fit with the hypotheses of Theorem 3 if either (5) or (6) is satisfied.

Consider the even functional

$$E(u) := \frac{A(u)}{B(u)} \quad \text{for } u \in X \setminus \{0\}$$

and the manifold

$$\mathcal{S} := \{u \in W_0^{1,p}(0,1) : B(u) = 1\}.$$

For any  $n \in \mathbb{N}$ , let  $\mathcal{F}_n := \{A \subset \mathcal{S} : \exists \text{ continuous odd surjection } h : S^{n-1} \rightarrow A\}$ , where  $S^{n-1}$  represents the unit sphere in  $\mathbb{R}^n$ . Next we define

$$\lambda_n := \inf_{A \in \mathcal{F}_n} \sup_{u \in A} E(u), \quad n \in \mathbb{N}. \tag{16}$$

It is proved in [15, Section 3] that  $\{\lambda_n\}$  is a sequence of eigenvalues of homogeneous problem (3). It then follows from the results in [16] that this sequence exhausts the set of all eigenvalues of (3) with the properties described in Section 1.

Now consider the functions  $\phi_{n,i} = \chi_{[\frac{i-1}{n}, \frac{i}{n}]} \phi_n$  for  $i = 1, 2, \dots, n$ , where  $\chi_{[\frac{i-1}{n}, \frac{i}{n}]}$  is a characteristic function of the interval  $[\frac{i-1}{n}, \frac{i}{n}]$ , and let

$$\Lambda_n := \{\alpha_1 \phi_{n,1} + \dots + \alpha_n \phi_{n,n} : \alpha_i \in \mathbb{R} \text{ and } |\alpha_1|^p B(\phi_{n,1}) + \dots + |\alpha_n|^p B(\phi_{n,n}) = 1\}.$$

Observe that  $\Lambda_n$  is symmetric and is homeomorphic to the unit sphere in  $\mathbb{R}^n$ . Moreover, for  $u \in \Lambda_n$ , we have

$$\begin{aligned} B(u) &= B(\alpha_1 \phi_{n,1} + \dots + \alpha_n \phi_{n,n}) = B(\alpha_1 \phi_{n,1}) + \dots + B(\alpha_n \phi_{n,n}) \\ &= |\alpha_1|^p B(\phi_{n,1}) + \dots + |\alpha_n|^p B(\phi_{n,n}) = 1. \end{aligned}$$

Notice that the second equality holds thanks to the fact

$$\{x : \phi_{n,i}(x) \neq 0\} \cap \{x : \phi_{n,j}(x) \neq 0\} = \emptyset$$

for  $i \neq j$ ,  $i, j = 1, 2, \dots, n$ , while the third one follows from the  $p$ -homogeneity of  $B$ . Thus  $\Lambda_n \subset \mathcal{S}$  and so  $\Lambda_n \in \mathcal{F}_n$ . A similar computation then shows that  $E(u) = A(u) = \lambda_n$  for all  $u \in \Lambda_n$ . For a given  $T > 0$ , we let

$$Q_{n,T} := \{su : 0 \leq s \leq T, u \in \Lambda_n\}.$$

Then  $Q_{n,T}$  is homeomorphic to the closed unit ball in  $\mathbb{R}^n$ . For a given  $c \in \mathbb{R}$ , we denote by

$$\mathcal{E}_c := \{u \in X : A(u) \geq cB(u)\} = \{u \in X \setminus \{0\} : E(u) \geq c\} \cup \{0\}$$

a super-level set, and

$$\mathcal{K}_c := \{u \in X \setminus \{0\} : E(u) = c, E'(u) = 0\}.$$

The existence of a pseudo-gradient vector field with the following properties is proved in [13, Lemma 6] (cf. [14, pp.77-79] and [2, p.55]).

**Lemma 3** *For  $\varepsilon < \min\{\lambda_{n+1} - \lambda_n, \lambda_n - \lambda_{n-1}\}$ , there is  $\tilde{\varepsilon} \in (0, \varepsilon)$  and a one-parameter family of homeomorphisms  $\eta : [-1, 1] \times \mathcal{S} \rightarrow \mathcal{S}$  such that*



- (i)  $\eta(t, u) = u$  if  $E(u) \in (-\infty, \lambda_n - \varepsilon] \cup [\lambda_n + \varepsilon, \infty)$  or if  $u \in \mathcal{K}_{\lambda_n}$ ;
- (ii)  $E(\eta(t, u))$  is strictly decreasing in  $t$  if  $E(u) \in (\lambda_n - \tilde{\varepsilon}_n, \lambda_n + \tilde{\varepsilon}_n)$  and  $u \notin \mathcal{K}_{\lambda_n}$ ;
- (iii)  $\eta(t, -u) = -\eta(t, u)$ ;
- (iv)  $\eta(0, \cdot) = \text{id}$ .

An important fact is that the flow  $\eta$  ‘lowers’  $Q_{n,T}$  and ‘raises’  $\mathcal{E}_{\lambda_n}$  if we modify them as follows:

$$\tilde{\mathcal{E}}_{\lambda_n} := \{su : s \in \mathbb{R}, u \in \eta(-1, \mathcal{E}_{\lambda_n} \cap \mathcal{S})\}$$

and

$$\tilde{Q}_{n,T} := \{su : 0 \leq s \leq T, u \in \eta(1, \Lambda_n)\}.$$

Then, by Lemma 3 and the definition of  $\mathcal{E}_{\lambda_n}$ , we have

$$A(u) - \lambda_n B(u) \geq 0$$

for  $u \in \tilde{\mathcal{E}}_{\lambda_n}$  with equality if and only if  $u = c\phi_n$  for some  $c \in \mathbb{R}$ . Similarly,

$$A(u) - \lambda_n B(u) \leq 0$$

for  $u \in \tilde{Q}_{n,T}$  with equality if and only if  $u = c\phi_n$  for some  $c \in \mathbb{R}$ .

It is proved in [13, Lemma 7] that the couple  $\mathcal{E} := \mathcal{E}_{\lambda_{n+1}}$  and  $Q := \tilde{Q}_{n,T}$  satisfies condition (ii) from Definition 1. It is also proved in [13, Lemma 8] that the couple  $\mathcal{E} := \tilde{\mathcal{E}}_{\lambda_n}$  and  $Q := Q_{n-1,T}$  satisfies the same condition. To show that also other hypotheses of Theorem 3 are satisfied, we need some technical lemmas.

**Lemma 4** *If (6) is satisfied, then there exist  $R > 0$  and  $\delta > 0$  such that  $\langle \mathcal{F}'(su), u \rangle \leq -\delta$  for any  $s \geq R$  and  $u \in \eta(1, \Lambda_n)$ .*

*Proof* We proceed via contradiction and assume that there exist  $s_k \rightarrow \infty$  and  $u_k \in \eta(1, \Lambda_n)$  such that

$$\limsup_{k \rightarrow \infty} \langle \mathcal{F}'(s_k u_k), u_k \rangle \geq 0. \tag{17}$$

Since  $\eta(1, \Lambda_n)$  is compact, we may assume, without loss of generality, that  $u_k \rightarrow u_0$  in  $\eta(1, \Lambda_n)$  for some  $u_0 \in \eta(1, \Lambda_n)$ .

If  $u_0 \neq \pm p^{\frac{1}{p}} \phi_n$ , then there exists  $\varepsilon > 0$  such that

$$\int_0^1 |u'_0(x)|^p dx - \lambda_n \int_0^1 |u_0(x)|^p dx \leq -\varepsilon.$$

Hence, there exists  $k_\varepsilon \in \mathbb{N}$  such that for any  $k \geq k_\varepsilon$  we have

$$\int_0^1 |u'_k(x)|^p dx - \lambda_n \int_0^1 |u_k(x)|^p dx \leq -\frac{\varepsilon}{2}.$$

This implies

$$\langle \mathcal{F}'(s_k u_k), u_k \rangle \leq -\frac{\varepsilon}{2} s_k^{p-1} + \sum_{j=1}^r I_j(s_k u_k(t_j)) u_k(t_j) - \int_0^1 f(x) u_k(x) \, dx$$

for  $k \geq k_\varepsilon$ . However, this contradicts (17).

If  $u_0 = p^{\frac{1}{p}} \phi_n$ , we still have

$$\int_0^1 |u'_k(x)|^p \, dx - \lambda_n \int_0^1 |u_k(x)|^p \, dx \leq 0,$$

and so

$$\langle \mathcal{F}'(s_k u_k), u_k \rangle \leq \sum_{j=1}^r I_j(s_k u_k(t_j)) u_k(t_j) - \int_0^1 f(x) u_k(x) \, dx$$

for all  $k \in \mathbb{N}$ . The boundedness of  $I_j, j = 1, 2, \dots, r$ , and uniform convergence  $u_k \rightarrow p^{\frac{1}{p}} \phi_n$  as  $k \rightarrow \infty$  (due to continuous embedding  $X \hookrightarrow C[0, 1]$ ) then yield

$$\begin{aligned} \lim_{k \rightarrow \infty} \langle \mathcal{F}'(s_k u_k), u_k \rangle &\leq p^{\frac{1}{p}} \left( \sum_{i=1}^{r_+} I_i^r(+\infty) \phi_n(\tau_i) + \sum_{j=1}^{r_-} I_j^\sigma(-\infty) \phi_n(\sigma_j) - \int_0^1 f(x) \phi_n(x) \, dx \right) \\ &< 0 \end{aligned}$$

by the first inequality in (6). This contradicts (17) again. Notice that by the boundedness of  $I_j$  we have

$$(p \hat{I}_j(u_k(t_j)) - I_j(u_k(t_j))) v_k(t_j) \rightarrow 0 \quad \text{as } k \rightarrow \infty$$

if  $t_j$  is a zero point of  $\phi_n$  for some  $j \in \{1, 2, \dots, r\}$ . The case  $u_0 = -p^{\frac{1}{p}} \phi_n$  is proved similarly using the second inequality in (6).  $\square$

**Lemma 5** *If (6) is satisfied, then there exists  $T > 0$  such that*

$$\inf_{u \in \mathcal{E}_{\lambda_{n+1}}} \mathcal{F}(u) > \sup_{u \in \partial \hat{Q}_{n,T}} \mathcal{F}(u). \tag{18}$$

*Proof* There exists  $\alpha \in \mathbb{R}$  such that for any  $u \in \mathcal{E}_{\lambda_{n+1}}$  we have

$$\mathcal{F}(u) \geq \frac{1}{p} (\lambda_{n+1} - \lambda_n) \|u\|_{L^p(0,1)}^p + \sum_{j=1}^r \int_0^{u(t_j)} I_j(\zeta) \, d\zeta - \int_0^1 f(x) u(x) \, dx > \alpha.$$

By Lemma 4 there exists  $c \in \mathbb{R}$  such that for all  $s > R$  and  $u \in \eta(1, \Lambda_n)$  we have

$$\mathcal{F}(su) = \mathcal{F}(Ru) + \mathcal{F}(su) - \mathcal{F}(Ru) = \mathcal{F}(Ru) + \int_R^s \langle \mathcal{F}'(\zeta u), u \rangle \, d\zeta \leq c - \delta(s - R).$$

Thus there exists  $T > R$  such that

$$\mathcal{F}(su) \leq c - \delta(s - R) < \alpha$$

for all  $s \geq T$ ,  $u \in \eta(1, \Lambda_n)$ . In particular,  $\mathcal{F}(u) < \alpha$  for all  $u \in \partial \tilde{Q}_{n,T}$  and (18) is proved.  $\square$

Now we can finish the proof of Theorem 2 under assumption (6). Indeed, it follows from (18) that  $\mathcal{E}_{\lambda_{n+1}} \cap \partial \tilde{Q}_{n,T} = \emptyset$  and thus the hypotheses of Theorem 3 hold with  $\mathcal{E} := \mathcal{E}_{\lambda_{n+1}}$  and  $Q := \tilde{Q}_{n,T}$ . It then follows that  $\mathcal{F}$  has a critical point and hence (1), (2) has a solution.

Next we show that the sets  $\mathcal{E} := \tilde{\mathcal{E}}_{\lambda_n}$  and  $Q := Q_{n-1,T}$  satisfy the hypotheses of Theorem 3 if (5) is satisfied.

The principal difference consists in the fact that, in contrast with  $\eta(1, \Lambda_n)$ , the set  $\eta(-1, \mathcal{E}_{\lambda_n} \cap \mathcal{S})$  is not compact. That is why one more technical lemma is needed.

**Lemma 6** *For any  $\varepsilon' > 0$ , there exists  $\delta > 0$  such that*

$$E(u) \geq \lambda_n + \delta \tag{19}$$

for  $u \in \eta(-1, \mathcal{E}_{\lambda_n} \cap \mathcal{S}) \setminus B_{\varepsilon'}(\pm\phi_n)$ . (Here  $B_{\varepsilon'}(\pm\phi_n)$  is the ball in  $X$  centered at  $\pm\phi_n$  with radius  $\varepsilon'$ .)

*Proof* We note that the pseudo-gradient flow  $\eta$  from Lemma 3 is constructed as a solution of the initial value problem  $\frac{d}{dt}\eta(t, u) = -\tilde{v}(\eta(t, u))$ ,  $\eta(0, \cdot) = \text{id}$ , where

$$\tilde{v}(u) = \begin{cases} \psi(u) \text{dist}(u, \mathcal{K}_{\lambda_n})v(u) & \text{for } u \in \tilde{\mathcal{S}} := \{w \in \mathcal{S} : E'(w) \neq 0\}, \\ 0 & \text{for } u \in \mathcal{S} \setminus \tilde{\mathcal{S}}, \end{cases}$$

$v(u)$  is a locally Lipschitz continuous symmetric pseudo-gradient vector field associated with  $E$  on  $\tilde{\mathcal{S}}$  and  $\psi : \mathcal{S} \rightarrow [0, 1]$  is a smooth function such that  $\psi(u) = 1$  for  $u$  satisfying  $\lambda_n - \tilde{\varepsilon} \leq E(u) \leq \lambda_n + \tilde{\varepsilon}$  and  $\psi(u) = 0$  for  $u$  satisfying  $E(u) \leq \lambda_n - \varepsilon$  or  $\lambda_n + \varepsilon \leq E(u)$ .

Let  $\varepsilon' > 0$  and  $u \in \eta(-1, \mathcal{E}_{\lambda_n} \cap \mathcal{S}) \setminus B_{\varepsilon'}(\pm\phi_n)$ . Without loss of generality, we may assume that  $E(u) \leq \lambda_n + \tilde{\varepsilon}$ . Let  $u_0 \in \mathcal{E}_{\lambda_n} \cap \mathcal{S}$  be such that  $u = \eta(-1, u_0)$ . Observe that there is a constant  $M > 0$  such that for  $t \in [-1, 1]$  we have

$$\left\| \frac{d}{dt}\eta(t, u_0) \right\| \leq \|\tilde{v}(\eta(t, u_0))\| \leq \text{dist}(\eta(t, u_0), \mathcal{K}_{\lambda_n}) \|\tilde{v}(\eta(t, u_0))\| < M.$$

Hence  $\eta(t, u_0) \notin B_{\frac{\varepsilon'}{2}}(\pm\phi_n)$  for  $t \in [-1, -1 + \frac{\varepsilon'}{2M}]$ . Since  $E$  satisfies the Palais-Smale condition on  $\mathcal{S}$  (see [13, Lemma 2]), there exists  $\rho > 0$  such that  $\|E'(u)\|_* \geq \rho$  for all  $u \in \{w \in \mathcal{S} : \lambda_n \leq E(w) \leq \lambda_n + \tilde{\varepsilon}\} \setminus B_{\frac{\varepsilon'}{2}}(\pm\phi_n)$ . Then

$$\begin{aligned} \left\| \frac{d}{dt}E(\eta(t, u_0)) \right\| &= \left\| \left\langle E'(\eta(t, u_0)), \frac{d}{dt}\eta(t, u_0) \right\rangle \right\| \\ &= \|\psi(\eta(t, u_0)) \text{dist}(\eta(t, u_0), \mathcal{K}_{\lambda_n}) \langle E'(\eta(t, u_0)), v(\eta(t, u_0)) \rangle\| \\ &\geq 1 \cdot \frac{\varepsilon'}{2} \cdot \min\{\|E'(\eta(t, u_0))\|, 1\} \|E'(\eta(t, u_0))\| \geq \frac{\varepsilon'}{2} \rho^2 \end{aligned}$$

for all  $t \in [-1, -1 + \frac{\varepsilon'}{2M}]$ . The last but one inequality holds due to the following property of  $v(u)$ :

$$\langle E'(u), v(u) \rangle > \min\{\|E'(u)\|, 1\} \|E'(u)\|$$

(see [14] and [2]). We also used the fact that  $\psi(\eta(t, u_0)) \equiv 1$  for  $t \in [-1, 0]$ . Hence

$$\begin{aligned} E(u) &= E(\eta(-1, u_0)) = E\left(\eta\left(-1 + \frac{\varepsilon'}{2M}, u_0\right)\right) + \int_{-1 + \frac{\varepsilon'}{2M}}^{-1} \frac{d}{dt} E(\eta(t, u_0)) dt \\ &\geq E\left(\eta\left(-1 + \frac{\varepsilon'}{2M}, u_0\right)\right) + \frac{\varepsilon'}{2} \rho^2 \cdot \frac{\varepsilon'}{2M} \geq \lambda_n + \delta \end{aligned}$$

with  $\delta = \frac{(\varepsilon' \rho)^2}{4M}$ . □

The following lemma is a counterpart of Lemma 4 in the case of condition (5).

**Lemma 7** *If (5) is satisfied, then there exist  $R > 0$  and  $\delta > 0$  such that  $\langle \mathcal{F}'(su), u \rangle \geq \delta$  for any  $s \geq R$  and  $u \in \eta(-1, \mathcal{E}_{\lambda_n} \cap \mathcal{S})$ .*

*Proof* We proceed via contradiction and assume that there exist  $s_k \rightarrow \infty$  and  $u_k \in \eta(-1, \mathcal{E}_{\lambda_n} \cap \mathcal{S})$  such that

$$\limsup_{k \rightarrow \infty} \langle \mathcal{F}'(s_k u_k), u_k \rangle \leq 0. \tag{20}$$

If there is  $\varepsilon' > 0$  such that  $u_k \in \eta(-1, \mathcal{E}_{\lambda_n} \cap \mathcal{S}) \setminus B_{\varepsilon'}(\pm \phi_n)$  for all  $k$  large enough, then Lemma 6 leads to the estimate

$$\langle \mathcal{F}'(s_k u_k), u_k \rangle \geq \delta s_k^{p-1} + \sum_{j=1}^r I_j(s_k u_k(t_j)) u_k(t_j) - \int_0^1 f(x) u_k(x) dx$$

contradicting (20). Thus it must be  $u_k \rightarrow \pm p^{\frac{1}{p}} \phi_n$  as  $k \rightarrow \infty$ . If  $u_k \rightarrow p^{\frac{1}{p}} \phi_n$  as  $k \rightarrow \infty$ , we still have

$$\int_0^1 |u'_k(x)|^p dx - \lambda_n \int_0^1 |u_k(x)|^p dx \geq 0,$$

and so

$$\langle \mathcal{F}'(s_k u_k), u_k \rangle \geq \sum_{j=1}^r I_j(s_k u_k(t_j)) u_k(t_j) - \int_0^1 f(x) u_k(x) dx$$

for all  $k \in \mathbb{N}$ . Similar arguments as in the proof of Lemma 4 lead to

$$\begin{aligned} \lim_{k \rightarrow \infty} \langle \mathcal{F}'(s_k u_k), u_k \rangle &\geq p^{\frac{1}{p}} \left( \sum_{i=1}^{r_+} I_i^r(+\infty) \phi_n(\tau_i) + \sum_{j=1}^{r_-} I_j^\sigma(-\infty) \phi_n(\sigma_j) - \int_0^1 f(x) \phi_n(x) dx \right) \\ &> 0 \end{aligned}$$

by the second inequality in (5). This contradicts (20) again. The case  $u_k \rightarrow -p^{\frac{1}{p}}\phi_n$  as  $k \rightarrow \infty$  is proved similarly but using the first inequality in (5).  $\square$

**Lemma 8** *If (5) is satisfied, then there exists  $T > 0$  such that*

$$\inf_{u \in \tilde{\mathcal{E}}_{\lambda_n}} \mathcal{F}(u) > \sup_{u \in \partial Q_{n-1,T}} \mathcal{F}(u). \tag{21}$$

*Proof* By Lemma 7 there exists  $d \in \mathbb{R}$  such that for all  $s > R$  and  $u \in \eta(-1, \mathcal{E}_{\lambda_n} \cap S)$  we have

$$\mathcal{F}(su) = \mathcal{F}(Ru) + \mathcal{F}(su) - \mathcal{F}(Ru) = \mathcal{F}(Ru) + \int_R^s \langle \mathcal{F}'(\zeta u), u \rangle d\zeta \geq d + \delta(s - R).$$

Hence, there exists  $\alpha \in \mathbb{R}$  such that for any  $u \in \tilde{\mathcal{E}}_{\lambda_n}$  we have

$$\mathcal{F}(u) > \alpha.$$

On the other hand, for any  $s > 0$  and  $u \in \Lambda_{n-1}$ , we get

$$\begin{aligned} \mathcal{F}(su) &= \frac{1}{p}(\lambda_{n-1} - \lambda_n) \|su\|_{L^p(0,1)}^p + \sum_{j=1}^r \int_0^{su(t_j)} I_j(\zeta) d\zeta - s \int_0^1 f(x)u(x) dx \\ &= (\lambda_{n-1} - \lambda_n)s^p + \sum_{j=1}^r \int_0^{su(t_j)} I_j(\zeta) d\zeta - s \int_0^1 f(x)u(x) dx. \end{aligned}$$

Thus, there exists  $T > 0$  such that, for  $u \in \partial Q_{n-1,T}$ ,

$$\mathcal{F}(u) < \alpha$$

and (21) is proved.  $\square$

It follows that the sets  $\mathcal{E} := \tilde{\mathcal{E}}_{\lambda_n}$  and  $Q := Q_{n-1,T}$  satisfy the hypotheses of Theorem 3 if (5) is satisfied. The proof of Theorem 2 is thus completed.

**Final remark** Reviewers of our manuscript suggested to include some recent references on impulsive problems. Variational approach to impulsive problems can be found, e.g., in [17–21]. The last reference deals with the  $p$ -Laplacian with the variable exponent  $p = p(t)$ . Singular impulsive problems are treated in [22–24]. Impulsive problems are still ‘hot topic’ attracting the attention of many mathematicians and the bibliography on that topic is vast.

**Competing interests**

The authors declare that they have no competing interests.

**Authors’ contributions**

Both authors read and approved the final manuscript.

**Author details**

<sup>1</sup>Department of Mathematics, University of West Bohemia, Univerzitní 22, Plzeň, 306 14, Czech Republic. <sup>2</sup>NTIS, University of West Bohemia, Univerzitní 22, Plzeň, 306 14, Czech Republic.

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