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# Global well-posedness for the viscous primitive equations of geophysics

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## Abstract

We study global well-posedness for Cauchy problem of the three-dimensional viscous primitive equations of geophysics in the critical functional framework.

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## 1 Introduction

The viscous primitive equations are a fundamental mathematical model of geophysics that describes the large-scale ocean and atmosphere dynamics, see, for instance, the monographs [1–3]. The model reads as follows:

$$\begin{cases} \partial_t u - \nu \Delta u + \Omega e_3 \times u + (u \cdot \nabla)u + \nabla p = g\theta e_3 & \text{in } \mathbb{R}^3 \times (0, \infty), \\ \partial_t \theta - \mu \Delta \theta + (u \cdot \nabla)\theta = -\mathcal{N}^2 u_3 & \text{in } \mathbb{R}^3 \times (0, \infty), \\ \operatorname{div} u = 0 & \text{in } \mathbb{R}^3 \times (0, \infty), \end{cases} \quad (1.1)$$

where the unknown functions  $u = (u_1, u_2, u_3)$ ,  $p$ , and  $\theta$  denote the fluid velocity, pressure, and thermal disturbance, respectively, and  $\nu$ ,  $\mu$ , and  $g$  are the positive constants of viscosity, thermal diffusivity, and gravity, respectively. Moreover,  $\Omega$  is the so-called Coriolis parameter, a real constant which is twice the angular velocity of the rotation around the vertical unit vector  $e_3 = (0, 0, 1)$ , and  $\mathcal{N}$  is the stratification parameter, a nonnegative constant representing the Brunt-Väisälä wave frequency. The ratio  $P := \frac{\nu}{\mu}$  is known as the Prandtl number, and  $B := \frac{\Omega}{\mathcal{N}}$  is essentially the “Burger” number of geophysics. We refer the reader to [1, 3, 4] for derivation of this model and more detailed discussions on its physical background.

If  $\theta \equiv 0$ ,  $\mathcal{N} = 0$ , and  $\Omega = 0$ , then (1.1) reduces to the classical incompressible Navier-Stokes equations

$$\begin{cases} \partial_t u - \nu \Delta u + (u \cdot \nabla)u = -\nabla p & \text{in } \mathbb{R}^3 \times (0, \infty), \\ \operatorname{div} u = 0 & \text{in } \mathbb{R}^3 \times (0, \infty), \end{cases} \quad (\text{NS})$$

which have drawn great attention during the past fifty more years. It has been proved that the Cauchy problem of (NS) is globally well posed for small initial data in a family of

function spaces including particularly the following ones:

$$\dot{H}^{\frac{1}{2}}(\mathbb{R}^3) \hookrightarrow L^3(\mathbb{R}^3) \hookrightarrow \dot{B}_{p,\infty}^{-1+\frac{3}{p}}(\mathbb{R}^3) \quad (3 < p < \infty) \hookrightarrow \text{BMO}^{-1}(\mathbb{R}^3);$$

see Fujita and Kato [5], Kato [6], Cannone [7], and Koch and Tataru [8]. These spaces are called critical because their norms are invariant with respect to the following scaling:

$$(u_\lambda(t, x), p_\lambda(t, x)) := (\lambda u(\lambda^2 t, \lambda x), \lambda^2 p(\lambda^2 t, \lambda x)),$$

which is related to the Navier-Stokes equations themselves. More precisely, if  $(u, p)$  is a solution of (NS), so is  $(u_\lambda, p_\lambda)$ . Note that the literatures listed here are far from being complete; we refer the reader to [9] and [10] for exposition and more references. If only  $\theta \equiv 0$  and  $\mathcal{N} = 0$  but  $\Omega \neq 0$ , then (1.1) reduces to the incompressible rotating Navier-Stokes equations

$$\begin{cases} \partial_t u - \nu \Delta u + \Omega e_3 \times u + (u \cdot \nabla)u = -\nabla p & \text{in } \mathbb{R}^3 \times (0, \infty), \\ \operatorname{div} u = 0 & \text{in } \mathbb{R}^3 \times (0, \infty). \end{cases} \tag{RNS}$$

The topic of well-posedness for the Cauchy problem of (RNS) has also been widely studied in various function spaces. We refer the interested reader to [11–21] and the references therein.

In this paper we study the global well-posedness of the Cauchy problem of the viscous primitive equations (1.1), that is, the problem

$$\begin{cases} \partial_t u - \nu \Delta u + \Omega e_3 \times u + (u \cdot \nabla)u + \nabla p = g\theta e_3 & \text{in } \mathbb{R}^3 \times (0, \infty), \\ \partial_t \theta - \mu \Delta \theta + (u \cdot \nabla)\theta = -\mathcal{N}^2 u_3 & \text{in } \mathbb{R}^3 \times (0, \infty), \\ \operatorname{div} u = 0 & \text{in } \mathbb{R}^3 \times (0, \infty), \\ u|_{t=0} = u_0, \quad \theta|_{t=0} = \theta_0 & \text{in } \mathbb{R}^3. \end{cases} \tag{1.2}$$

Before going further, let us first make a short review on the study of the well-posedness topic of this problem. By taking full advantage of the absence of resonances between the fast rotation and the nonlinear advection, Babin, Mahalov, and Nicolaenko [4] obtained the global well-posedness of problem (1.2) in  $H^s(\mathbb{T}^3)$  with  $s \geq 3/4$  for small initial data when the stratification parameter  $\mathcal{N}$  is sufficiently large. By constructing the solution of a quasi-geostrophic system related to equations (1.1) and using some Strichartz-type estimates, Charve [22] verified global well-posedness of problem (1.2) in  $\dot{H}^{\frac{1}{2}}(\mathbb{R}^3) \cap \dot{H}^1(\mathbb{R}^3)$  for arbitrary (i.e., not necessarily small) initial data under the assumptions that both  $\Omega$  and  $\mathcal{N}$  are sufficiently large (depending on the scale of the initial data). Charve [23] further considered the well-posedness of (1.2) in less regular initial value spaces. We also mention the interesting work of Charve and Ngo [24] on the well-posedness of the problem (1.2) with anisotropic viscosities. Recently, Koba, Mahalov, and Yoneda [25] proved the global well-posedness of problem (1.2) for any given  $(u_0, \theta_0) \in \dot{H}^{\frac{1}{2}}(\mathbb{R}^3) \cap \dot{H}^1(\mathbb{R}^3)$  with  $\partial_2 u_0^1 - \partial_1 u_0^2 = 0$  in the special case where the Prandtl number  $P = 1$ , provided that one of the following conditions holds: (a)  $|B| < \sqrt{g}$ , and  $\mathcal{N}$  is sufficiently large (depending on the scale of the initial data); (b)  $|B| > \sqrt{g}$ , and both  $\Omega$  and  $\mathcal{N}$  are sufficiently large (depending on the scale of initial data). They also proved the following global result for uniformly small data with respect to  $\Omega$  and  $\mathcal{N}$  in  $\dot{H}^{\frac{1}{2}}(\mathbb{R}^3)$ .

**Theorem 1.1** ([25]) *Let  $P = 1$ , that is,  $\nu = \mu$ . Then there exists a positive constant  $c = c(\nu)$  such that if  $(u_0, \theta_0) \in [\dot{H}^{\frac{1}{2}}(\mathbb{R}^3)]^4$  satisfies  $\operatorname{div} u_0 = 0$  and*

$$\|(u_0, \theta_0)\|_{\dot{H}^{\frac{1}{2}}} \leq c,$$

*then problem (1.2) has a unique mild solution  $(u, \theta) \in [C([0, \infty); \dot{H}^{\frac{1}{2}}(\mathbb{R}^3))]^4 \cap [\tilde{L}^2(0, \infty; \dot{H}^{\frac{3}{2}}(\mathbb{R}^3))]^4$ .*

For other related studies on the viscous primitive equations (1.1), we refer the interested reader to [26–31].

For problem (1.2), the situation is obviously more complicated than (NS) and (RNS) on account of the coupling effect between the velocity  $u(t, x)$  and the thermal disturbance  $\theta(t, x)$ . Moreover, due to the influence of the oscillations caused by the rotation (i.e., the term  $\Omega e_3 \times u$ ) and the stratification (i.e., the terms  $g\theta e_3$  and  $\mathcal{N}^2 u_3$ ), a big portion of the integral estimates, such as  $L^p$  estimate for  $p \neq 2$ , for the Stokes semigroup  $\{e^{t\mathbb{P}\Delta}\}_{t \geq 0}$  (which relates to the Navier-Stokes equations) do not work for the Stokes-Coriolis-Stratification semigroup  $\{T_{\Omega, \mathcal{N}}(t)\}_{t \geq 0}$  (see Section 2 for the definition) related to the primitive equations. Consequently, the usual function spaces used in the study of the Navier-Stokes equations such as the homogeneous and inhomogeneous Besov spaces  $\dot{B}_{p,r}^s(\mathbb{R}^3)$  and  $B_{p,r}^s(\mathbb{R}^3)$  with  $p \neq 2$  and the space  $\operatorname{BMO}^{-1}(\mathbb{R}^3)$  are not suitable for the primitive equations. In this work, inspired by [13, 32, 33], we introduce a customized hybrid-Besov space  $\dot{B}_{2,p}^{\frac{1}{2}, \frac{3}{p}-1}$ , seeing Definition 2.2, in which we shall obtain the regularizing effects of  $\{T_{\Omega, \mathcal{N}}(t)\}_{t \geq 0}$  similar to the Stokes semigroup and gain the global solvability for (1.2). Our main result is stated as follows.

**Theorem 1.2** *Let  $P = 1$ , that is,  $\nu = \mu$ , and let  $p \in [2, 4]$ . There exists a positive constant  $c$  independent of  $\Omega$  and  $\mathcal{N}$  such that if  $(u_0, \theta_0) \in [\dot{B}_{2,p}^{\frac{1}{2}, -1+\frac{3}{p}}(\mathbb{R}^3)]^4$  satisfies  $\operatorname{div} u_0 = 0$  and*

$$\|(u_0, \sqrt{g}\theta_0/\mathcal{N})\|_{\dot{B}_{2,p}^{\frac{1}{2}, -1+\frac{3}{p}}} \leq c, \tag{1.3}$$

*then problem (1.2) possesses a unique mild solution  $(u, \theta)$  in*

$$\begin{aligned} & [C([0, \infty); \dot{B}_{2,p}^{\frac{1}{2}, -1+\frac{3}{p}}(\mathbb{R}^3))]^4 \cap [\tilde{L}^{\frac{2}{1-\alpha}}(0, \infty; \dot{B}_{2,p}^{\frac{3}{2}-\alpha, \frac{3}{p}-\alpha}(\mathbb{R}^3))]^4 \\ & \cap [\tilde{L}^{\frac{2}{1+\alpha}}(0, \infty; \dot{B}_{2,p}^{\frac{3}{2}+\alpha, \frac{3}{p}+\alpha}(\mathbb{R}^3))]^4, \end{aligned}$$

*where  $\alpha \in (\frac{3}{2} - \frac{3}{p}, 1]$  is an arbitrary fixed number.*

**Remark 1.3** Obviously, Theorem 1.2 is an improvement of Theorem 1.1 due to  $\dot{H}^{\frac{1}{2}} \hookrightarrow \dot{B}_{2,p}^{\frac{1}{2}, \frac{3}{p}-1}$  for  $p \geq 2$ . It is also worth mentioning that  $\theta_0$  can be large in (1.3), provided that  $\mathcal{N}$  is large enough.

The rest part of this paper is organized as follows. In Section 2 we introduce the hybrid-Besov space  $\dot{B}_{2,p}^{\frac{1}{2}, \frac{3}{p}-1}$  and Stokes-Coriolis-Stratification semigroup  $\{T_{\Omega, \mathcal{N}}(t)\}_{t \geq 0}$  and investigate the regularizing effects of  $\{T_{\Omega, \mathcal{N}}(t)\}_{t \geq 0}$ . In Section 3, we use the Littlewood-Paley

analysis technique to derive some linear estimates and a useful product law. Finally, we present the proof of our main result.

Throughout this paper, we use  $C$  and  $c$  to denote universal constants whose values may change from line to line. Both  $\mathcal{F}g$  and  $\hat{g}$  stand for the Fourier transform of  $g$  with respect to space variable, whereas  $\mathcal{F}^{-1}$  stands for the inverse Fourier transform. For any  $1 \leq p \leq \infty$ , we denote  $L^p(0, T)$  and  $L^q(\mathbb{R}^3)$  by  $L^p_T$  and  $L^q$ , respectively.

### 2 Function spaces and Stokes-Coriolis-Stratification semigroup

Let  $\mathcal{S}(\mathbb{R}^3)$  be the Schwartz class, and let  $\mathcal{S}'(\mathbb{R}^3)$  be the space of tempered distributions. First, we recall the homogeneous Littlewood-Paley decomposition. Choose two radial functions  $\varphi, \psi \in \mathcal{S}(\mathbb{R}^3)$  such that their Fourier transforms  $\hat{\varphi}$  and  $\hat{\psi}$  satisfy the following properties:

$$\begin{aligned} \text{supp } \hat{\varphi} &\subset \mathcal{B} := \left\{ \xi \in \mathbb{R}^3 : |\xi| \leq \frac{4}{3} \right\}, \\ \text{supp } \hat{\psi} &\subset \mathcal{C} := \left\{ \xi \in \mathbb{R}^3 : \frac{3}{4} \leq |\xi| \leq \frac{8}{3} \right\}, \end{aligned}$$

and, furthermore,

$$\sum_{j \in \mathbb{Z}} \hat{\psi}(2^{-j}\xi) = 1 \quad \text{for all } \xi \in \mathbb{R}^3 \setminus \{0\}.$$

Let  $\varphi_j(x) := 2^{3j}\varphi(2^jx)$  and  $\psi_j(x) := 2^{3j}\psi(2^jx)$  for all  $j \in \mathbb{Z}$ . We define by  $\Delta_j$  and  $S_j$  the following operators in  $\mathcal{S}'(\mathbb{R}^3)$ :

$$\Delta_j f := \psi_j * f \quad \text{and} \quad S_j f := \varphi_j * f \quad \text{for } j \in \mathbb{Z} \text{ and } f \in \mathcal{S}'(\mathbb{R}^3).$$

Define  $\mathcal{S}'_h(\mathbb{R}^3) := \mathcal{S}'(\mathbb{R}^3)/\mathcal{P}[\mathbb{R}^3]$ , where  $\mathcal{P}[\mathbb{R}^3]$  denotes the linear space of polynomials on  $\mathbb{R}^3$  (see [34, 35]). It is known that there hold the following decompositions:

$$f = \sum_{j \in \mathbb{Z}} \Delta_j f \quad \text{and} \quad S_j f = \sum_{j' \leq j-1} \Delta_{j'} f \quad \text{in } \mathcal{S}'_h(\mathbb{R}^3).$$

With our choice of  $\varphi$  and  $\psi$ , it is easy to verify that

$$\begin{aligned} \Delta_j \Delta_k f &= 0 \quad \text{if } |j - k| \geq 2 \quad \text{and} \\ \Delta_j (S_{k-1} f \Delta_k f) &= 0 \quad \text{if } |j - k| \geq 5. \end{aligned}$$

Here, we recall the definition of general homogeneous Besov spaces  $\dot{B}^s_{p,r}$  and introduce the hybrid-Besov space  $\dot{B}^{\sigma,\beta}_{2,p}$  and the Chemin-Lerner-type spaces  $\tilde{L}^\delta_T(0, \infty; \dot{B}^{\sigma,\beta}_{2,p}(\mathbb{R}^3))$ , which are made to measure problem (1.2).

**Definition 2.1** ([35]) Let  $s \in \mathbb{R}$ ,  $1 \leq p, r \leq \infty$ , and  $u \in \mathcal{S}'_h(\mathbb{R}^3)$ . We set

$$\|u\|_{\dot{B}^s_{p,r}} := \left\| \left\{ 2^{js} \|\Delta_j u\|_{L^p} \right\}_{r \in \mathbb{Z}} \right\|_{\ell^r(\mathbb{Z})}.$$

- For  $s < \frac{3}{p}$  (or  $s = \frac{3}{p}$  if  $r = 1$ ), we define  $\dot{B}_{p,r}^s(\mathbb{R}^3) := \{u \in \mathcal{S}'_h(\mathbb{R}^3) : \|u\|_{\dot{B}_{p,r}^s} < \infty\}$ ;
- If  $k \in \mathbb{N}$  and  $\frac{3}{p} + k \leq s < \frac{3}{p} + k + 1$  (or  $s = \frac{3}{p} + k + 1$  if  $r = 1$ ), then  $\dot{B}_{p,r}^s(\mathbb{R}^3)$  is defined as the subset of distributions  $u \in \mathcal{S}'_h(\mathbb{R}^3)$  such that  $\partial^\delta u \in \dot{B}_{p,r}^{s-k}(\mathbb{R}^3)$  whenever  $|\delta| = k$ .

**Definition 2.2** Let  $N := \mathcal{N}\sqrt{g}$ ,  $\sigma, \beta \in \mathbb{R}$ , and  $1 \leq p \leq \infty$ . Then the hybrid-Besov space  $\dot{B}_{2,p}^{\sigma,\beta}$  is defined by

$$\dot{B}_{2,p}^{\sigma,\beta}(\mathbb{R}^3) := \{u \in \mathcal{S}'_h(\mathbb{R}^3) : \|u\|_{\dot{B}_{2,p}^{\sigma,\beta}} < \infty\},$$

where

$$\|u\|_{\dot{B}_{2,p}^{\sigma,\beta}} := \sup_{2^j \leq \max\{|\Omega|, N\}} 2^{j\sigma} \|\Delta_j f\|_{L^2} + \sup_{2^j > \max\{|\Omega|, N\}} 2^{j\beta} \|\Delta_j f\|_{L^p}.$$

**Definition 2.3** Let  $N := \mathcal{N}\sqrt{g}$ . For  $\sigma, \beta \in \mathbb{R}$  and  $1 \leq p, \delta \leq \infty$ , we set

$$\|u\|_{\tilde{L}_T^\delta(\dot{B}_{2,p}^{\sigma,\beta})} := \sup_{2^j \leq \max\{|\Omega|, N\}} 2^{j\sigma} \|\Delta_j f\|_{L_T^\delta L^2} + \sup_{2^j > \max\{|\Omega|, N\}} 2^{j\beta} \|\Delta_j f\|_{L_T^\delta L^p}.$$

We then define the space  $\tilde{L}^\delta(0, T; \dot{B}_{2,p}^{\sigma,\beta}(\mathbb{R}^3))$  as the set of temperate distributions  $u$  over  $(0, T) \times \mathbb{R}^3$  such that  $\lim_{j \rightarrow -\infty} S_j u = 0$  in  $\mathcal{S}'((0, T) \times \mathbb{R}^3)$  and  $\|u\|_{\tilde{L}_T^\delta(\dot{B}_{2,p}^{\sigma,\beta})} < \infty$ .

In the sequel, we will constantly use the following Bernstein inequality.

**Lemma 2.4** ([34, 35]) *Let  $\mathcal{B}$  be a ball, and  $\mathcal{C}$  a ring centered at origin in  $\mathbb{R}^3$ . There exists a constant  $C$  such that for any positive real number  $\lambda$ , any nonnegative integer  $k$ , and any couple of real numbers  $(a, b)$  with  $b \geq a \geq 1$ , we have:*

- $\text{Supp } \hat{u} \subset \lambda \mathcal{B} \implies \sup_{|\alpha|=k} \|\partial^\alpha u\|_{L^b} \leq C^{k+1} \lambda^{k+3(\frac{1}{a}-\frac{1}{b})} \|u\|_{L^a}$ ;
- $\text{Supp } \hat{u} \subset \lambda \mathcal{C} \implies C^{-(k+1)} \lambda^{-k} \|u\|_{L^a} \leq \sup_{|\alpha|=k} \|\partial^\alpha u\|_{L^a} \leq C^{k+1} \lambda^k \|u\|_{L^a}$ .

Now, we introduce the Stokes-Coriolis-Stratification semigroup  $\{T_{\Omega,N}(t)\}_{t \geq 0}$  and study its regularizing effects.

By setting  $N := \mathcal{N}\sqrt{g}$ ,  $v := (v^1, v^2, v^3, v^4) := (u^1, u^2, u^3, \sqrt{g}\theta/\mathcal{N})$ ,  $v_0 := (v_0^1, v_0^2, v_0^3, v_0^4) := (u_0^1, u_0^2, u_0^3, \sqrt{g}\theta_0/\mathcal{N})$ , and  $\tilde{\nabla} := (\partial_1, \partial_2, \partial_3, 0)$  problem (1.2) can be rewritten as the following problem:

$$\begin{cases} \partial_t v + \mathcal{A}v + \mathcal{B}v + \tilde{\nabla} p = -(v \cdot \tilde{\nabla})v & \text{in } \mathbb{R}^3 \times (0, \infty), \\ \tilde{\nabla} \cdot v = 0 & \text{in } \mathbb{R}^3 \times (0, \infty), \\ v|_{t=0} = v_0 & \text{in } \mathbb{R}^3, \end{cases} \tag{2.1}$$

where

$$\mathcal{A} := \begin{pmatrix} -\nu \Delta & 0 & 0 & 0 \\ 0 & -\nu \Delta & 0 & 0 \\ 0 & 0 & -\nu \Delta & 0 \\ 0 & 0 & 0 & -\mu \Delta \end{pmatrix}, \quad \mathcal{B} := \begin{pmatrix} 0 & -\Omega & 0 & 0 \\ \Omega & 0 & 0 & 0 \\ 0 & 0 & 0 & -N \\ 0 & 0 & N & 0 \end{pmatrix}. \tag{2.2}$$

Lemma 3.3 in [25], together with the fact  $e^{(\mathcal{A}+\mathcal{B})t} = e^{-\mathcal{A}t} e^{\mathcal{B}t}$  for  $\nu = \mu$ , gives an explicit expression of the Stokes-Coriolis-Stratification semigroup  $\{T_{\Omega,N}(t)\}_{t \geq 0}$  corresponding to the

linear problem of (2.1) via the Fourier transform

$$T_{\Omega,N}(t)f := \mathcal{F}^{-1} \left[ \cos\left(\frac{|\xi|'}{|\xi|}t\right)e^{-\nu|\xi|^{2}t}M_1(\xi)\hat{f} + \sin\left(\frac{|\xi|'}{|\xi|}t\right)e^{-\nu|\xi|^{2}t}M_2(\xi)\hat{f} + e^{-\nu|\xi|^{2}t}M_3(\xi)\hat{f} \right], \tag{2.3}$$

where

$$|\xi| := \sqrt{\xi_1^2 + \xi_2^2 + \xi_3^2}, \quad |\xi|' := |\xi|'_{\Omega,N} := \sqrt{N^2\xi_1^2 + N^2\xi_2^2 + \Omega^2\xi_3^2} \tag{2.4}$$

for  $\xi := (\xi_1, \xi_2, \xi_3) \in \mathbb{R}^3$ , and

$$M_1(\xi) := \begin{pmatrix} \frac{\Omega^2\xi_3^2}{|\xi|'^2} & 0 & -\frac{N^2\xi_1\xi_3}{|\xi|'^2} & \frac{\Omega N\xi_2\xi_3}{|\xi|'^2} \\ 0 & \frac{\Omega^2\xi_3^2}{|\xi|'^2} & -\frac{N^2\xi_2\xi_3}{|\xi|'^2} & -\frac{\Omega N\xi_1\xi_3}{|\xi|'^2} \\ -\frac{\Omega^2\xi_1\xi_3}{|\xi|'^2} & -\frac{\Omega^2\xi_2\xi_3}{|\xi|'^2} & \frac{N^2(\xi_1^2+\xi_2^2)}{|\xi|'^2} & 0 \\ \frac{\Omega N\xi_2\xi_3}{|\xi|'^2} & -\frac{\Omega N\xi_1\xi_3}{|\xi|'^2} & 0 & \frac{N^2(\xi_1^2+\xi_2^2)}{|\xi|'^2} \end{pmatrix}, \tag{2.5}$$

$$M_2(\xi) := \begin{pmatrix} 0 & -\frac{\Omega\xi_3^2}{|\xi||\xi|'} & \frac{\Omega\xi_2\xi_3}{|\xi||\xi|'} & \frac{N\xi_1\xi_3}{|\xi||\xi|'} \\ \frac{\Omega\xi_3^2}{|\xi||\xi|'} & 0 & -\frac{\Omega\xi_1\xi_3}{|\xi||\xi|'} & \frac{N\xi_2\xi_3}{|\xi||\xi|'} \\ -\frac{\Omega\xi_2\xi_3}{|\xi||\xi|'} & \frac{\Omega\xi_1\xi_3}{|\xi||\xi|'} & 0 & -\frac{N(\xi_1^2+\xi_2^2)}{|\xi||\xi|'} \\ -\frac{N\xi_1\xi_3}{|\xi||\xi|'} & -\frac{N\xi_2\xi_3}{|\xi||\xi|'} & \frac{N(\xi_1^2+\xi_2^2)}{|\xi||\xi|'} & 0 \end{pmatrix}, \tag{2.6}$$

and

$$M_3(\xi) := \begin{pmatrix} \frac{N^2\xi_2^2}{|\xi|'^2} & -\frac{N^2\xi_1\xi_2}{|\xi|'^2} & 0 & -\frac{N\Omega\xi_2\xi_3}{|\xi|'^2} \\ -\frac{N^2\xi_1\xi_2}{|\xi|'^2} & \frac{N^2\xi_2^2}{|\xi|'^2} & 0 & \frac{N\Omega\xi_1\xi_3}{|\xi|'^2} \\ 0 & 0 & 0 & 0 \\ -\frac{N\Omega\xi_2\xi_3}{|\xi|'^2} & \frac{N\Omega\xi_1\xi_3}{|\xi|'^2} & 0 & \frac{\Omega^2\xi_3^2}{|\xi|'^2} \end{pmatrix}. \tag{2.7}$$

Note that, denoting by  $M_{jk}^l(\xi)$  ( $j, k = 1, 2, 3, 4, l = 1, 2, 3$ ) the  $(j, k)$ th component of the matrix  $M_l(\xi)$ , it is obvious that nonvanishing  $M_{jk}^l(\xi)$  satisfies

$$|M_{jk}^l(\xi)| \leq 2 \quad \text{for } \xi \in \mathbb{R}^3, j, k = 1, 2, 3, 4, l = 1, 2, 3.$$

Hence, from (2.3) and Plancherel's theorem it is easy to see that  $\{T_{\Omega,N}(t)\}_{t \geq 0}$  is a bounded  $C_0$ -semigroup on  $L^2(\mathbb{R}^3)$ . By Mihlin's theorem we may extend the semigroup  $\{T_{\Omega,N}(t)\}_{t \geq 0}$  to a  $C_0$ -semigroup on  $L^p(\mathbb{R}^3)$  for  $1 < p < \infty$ . Moreover, we have

$$\|T_{\Omega,N}(t)f\|_{L^p} \leq C_p \max\{|\Omega|, N\}^2 t^2 \|f\|_{L^p}, \quad t \geq 1, f \in L^p(\mathbb{R}^3)$$

for some constant  $C_p$ . However, it is noteworthy that  $T_{\Omega,N}$  is not uniformly bounded in  $L^p(\mathbb{R}^3)$  for  $p \neq 2$ , which is the primary reason for the invalidation of Cannone's proof [7] in  $\dot{B}_{p,r}^s(\mathbb{R}^3)$  with  $p \neq 2$  for our case, and similarly for  $B_{p,r}^s(\mathbb{R}^3)$  and  $BMO^{-1}(\mathbb{R}^3)$ .

Thanks to the Euler formula  $e^{\pm i \frac{|\xi|'}{|\xi|} t} = \cos(\frac{|\xi|'}{|\xi|} t) \pm i \sin(\frac{|\xi|'}{|\xi|} t)$ , we can rewrite the semigroup  $\{T_{\Omega,N}(t)\}_{t \geq 0}$  as

$$T_{\Omega,N}(t)f := \frac{1}{2} e^{i \frac{|D|'}{|D|} t} e^{vt\Delta} (\mathcal{M}_1 + \mathcal{M}_2)f + \frac{1}{2} e^{-i \frac{|D|'}{|D|} t} e^{vt\Delta} (\mathcal{M}_1 - \mathcal{M}_2)f + e^{vt\Delta} \mathcal{M}_3f, \tag{2.8}$$

where  $\frac{|D|'}{|D|}$  is the Fourier multiplier with symbol given by  $\frac{|\xi|'}{|\xi|}$ , and  $\mathcal{M}_i$  ( $i = 1, 2, 3$ ) with symbols given by  $M_i(\xi)$  ( $i = 1, 2, 3$ ) are the matrices of singular integral operators. The operators  $e^{\pm i \frac{|D|'}{|D|} t}$  represent the oscillation parts of  $\{T_{\Omega,N}(t)\}_{t \geq 0}$ .

By considering low and high frequencies differently, we can establish the following smoothing effect of the Stokes-Coriolis-Stratification semigroup  $\{T_{\Omega,N}(t)\}_{t \geq 0}$ .

**Lemma 2.5** *Let  $\mathcal{C}$  be a annulus centered at 0 in  $\mathbb{R}^3$ . Then there exist positive constants  $c$  and  $C$  depending only on  $\nu$  such that if  $\text{supp } \hat{u} \subset \lambda \mathcal{C}$ , then we have*

(i) for any  $\lambda > 0$ ,

$$\|T_{\Omega,N}(t)u\|_{L^2} \leq e^{-c\lambda^2 t} \|u\|_{L^2}; \tag{2.9}$$

(ii) for any  $\lambda \gtrsim \max\{|\Omega|, N\}$  and  $1 \leq p \leq \infty$ ,

$$\|T_{\Omega,N}(t)u\|_{L^p} \leq e^{-c\lambda^2 t} \|u\|_{L^p}. \tag{2.10}$$

*Proof* (i) By Plancherel’s theorem, combining the support property of  $\hat{u}$ , it is obvious that (2.9) is obtained directly from expression (2.3).

(ii) Decomposing  $T_{\Omega,N}(t)$  into  $T_{\Omega,N}(t) := T_{\Omega,N}^1(t) + T_{\Omega,N}^2(t)$ , where

$$T_{\Omega,N}^1(t)f := \frac{1}{2} e^{i \frac{|D|'}{|D|} t} e^{vt\Delta} (\mathcal{M}_1 + \mathcal{M}_2)f + \frac{1}{2} e^{-i \frac{|D|'}{|D|} t} e^{vt\Delta} (\mathcal{M}_1 - \mathcal{M}_2)f$$

and

$$T_{\Omega,N}^2(t)f := e^{vt\Delta} \mathcal{M}_3f.$$

For  $T_{\Omega,N}^2(t)$ , since each nonvanishing component of  $M_3(\xi)$  is homogeneous with degree 0, Fourier multiplier theory implies that  $\mathcal{M}_3$  is bounded in  $L^p$  ( $1 \leq p \leq \infty$ ) when localized in dyadic annulus in the Fourier space. Applying Lemma 2.4 in [34] yields

$$\|T_{\Omega,N}^2(t)u\|_{L^p} \leq e^{-c\lambda^2 t} \|u\|_{L^p}.$$

Now, we focus our attention on  $T_{\Omega,N}^1(t)$ . We will adopt the spirit of the proof for the heat operator as in [34]. Let  $\phi \in D(\mathbb{R}^3 \setminus \{0\})$  be equal to 1 near the annulus  $\mathcal{C}$ . Set

$$T(t, x) := \mathcal{F}^{-1}[\phi(\lambda^{-1}\xi) \hat{T}_{\Omega,N}^1(t, \xi)](t, x) = (2\pi)^{-3} \int_{\mathbb{R}^3} e^{ix \cdot \xi} \phi(\lambda^{-1}\xi) \hat{T}_{\Omega,N}^1(t, \xi) d\xi.$$

Thus, to prove (2.10), it suffices to show that

$$\|T(t, \cdot)\|_{L^1} \leq C e^{-c\lambda^2 t}. \tag{2.11}$$

Thanks to the boundedness properties of  $M_1(\xi)$  and  $M_2(\xi)$ , we have

$$\int_{|x| \leq \lambda^{-1}} |T(t, x)| dx \leq C \int_{|x| \leq \lambda^{-1}} \int_{\mathbb{R}^3} |\phi(\lambda^{-1}\xi)| |\hat{T}_{\Omega, N}^1(t, \xi)| d\xi dx \leq Ce^{-c\lambda^2 t}. \tag{2.12}$$

Let  $L := \frac{x \cdot \nabla_\xi}{i|x|^2}$ . It is easy to check that  $L(e^{ix \cdot \xi}) = e^{ix \cdot \xi}$ . By integration by parts we obtain

$$\begin{aligned} T(t, x) &= (2\pi)^{-3} \int_{\mathbb{R}^3} L^m(e^{ix \cdot \xi}) \phi(\lambda^{-1}\xi) \hat{T}_{\Omega, N}^1(t, \xi) d\xi \\ &= (2\pi)^{-3} \int_{\mathbb{R}^3} e^{ix \cdot \xi} (L^*)^m(\phi(\lambda^{-1}\xi) \hat{T}_{\Omega, N}^1(t, \xi)) d\xi, \end{aligned}$$

where  $m \in \mathbb{N}$  is chosen later. We verify by applying the Leibnitz formula that

$$|\partial^\gamma (e^{\pm i \frac{|x|}{|\xi|} t})| \leq C |\xi|^{-\gamma} (\max\{|\Omega|, N\} t + 1)^{|\gamma|}$$

and

$$|\partial^\gamma (e^{-\nu |\xi|^2 t})| \leq C |\xi|^{-\gamma} e^{-\frac{\nu}{2} |\xi|^2 t}.$$

Thus, we have

$$\begin{aligned} & |(L^*)^m(\phi(\lambda^{-1}\xi) \hat{T}_{\Omega, N}^1(t, \xi))| \\ & \leq C |x|^{-m} \sum_{\substack{|\alpha_1| + |\alpha_2| + |\alpha_3| = |\alpha| \\ |\alpha| \leq m}} \lambda^{-(m-|\alpha|)} |(\nabla^{m-|\alpha|} \phi)(\lambda^{-1}\xi)| \partial^{\alpha_1} (e^{\pm i \frac{|x|}{|\xi|} t}) \\ & \quad \times \partial^{\alpha_2} (e^{-\nu |\xi|^2 t}) \partial^{\alpha_3} (M_1(\xi) + M_2(\xi)) \\ & \leq C |\lambda x|^{-m} \sum_{\substack{|\alpha_1| + |\alpha_2| + |\alpha_3| = |\alpha| \\ |\alpha| \leq m}} \lambda^{|\alpha|} |(\nabla^{m-|\alpha|} \phi)(\lambda^{-1}\xi)| |\xi|^{-|\alpha_1| - |\alpha_2| - |\alpha_3|} e^{-\frac{\nu}{4} |\xi|^2 t} \\ & \quad \times (\max\{|\Omega|, N\} t + 1)^{|\alpha_1|}. \end{aligned}$$

Taking  $m = 4$  for  $\lambda \gtrsim \{|\Omega|, N\}$  in

$$|(L^*)^m(\phi(\lambda^{-1}\xi) \hat{T}_{\Omega, N}^1(t, \xi))| \leq C |\lambda x|^{-4} e^{-\frac{\nu}{4} |\xi|^2 t}$$

leads to

$$\int_{|\lambda| \geq \frac{1}{\lambda}} |T(t, x)| dx \leq Ce^{-c\lambda^2 t} \lambda^3 \int_{|\lambda| \geq \frac{1}{\lambda}} |\lambda x|^{-4} dx \leq Ce^{-c\lambda^2 t},$$

which, together with (2.12), gives (2.11). Inequality (2.10) is proved. □

### 3 Linear estimates and bilinear estimates

We establish some basic estimates that will play a crucial role in the proof of Theorem 1.2.

We first consider linear estimates for the semigroup  $\{T_{\Omega, N}(t)\}_{t \geq 0}$ .



**Lemma 3.1** *Let  $T > 0$ ,  $\alpha \in [0, 1]$ ,  $\sigma, \beta \in \mathbb{R}$ , and  $p \in [1, \infty]$ . Then, for  $u \in \dot{B}_{2,p}^{\sigma,\beta}(\mathbb{R}^3)$ , there exists a constant  $C > 0$  such that*

$$\|T_{\Omega,N}(t)u\|_{\tilde{L}^{\frac{2}{1\pm\alpha}}(0,T;\dot{B}_{2,p}^{\sigma+1\pm\alpha,\beta+1\pm\alpha})} \leq C\|u\|_{\dot{B}_{2,p}^{\sigma,\beta}}. \tag{3.1}$$

*Proof* For  $j$  such that  $2^j > \max\{|\Omega|, N\}$ , by Lemma 2.5 we have

$$\|\|\Delta_j T_{\Omega,N}(t)u\|_{L^p}\|_{\tilde{L}^{\frac{2}{1\pm\alpha}}(0,T)} \leq C\|e^{-c2^{2j}t}\Delta_j u\|_{L^p}\|_{\tilde{L}^{\frac{2}{1\pm\alpha}}(0,T)} \leq C2^{-(1\pm\alpha)j}\|\Delta_j u\|_{L^p}. \tag{3.2}$$

Similarly, for  $j$  such that  $2^j \leq \max\{|\Omega|, N\}$ , we have

$$\|\|\Delta_j T_{\Omega,N}(t)u\|_{L^2}\|_{\tilde{L}^{\frac{2}{1\pm\alpha}}(0,T)} \leq C2^{-(1\pm\alpha)j}\|\Delta_j u\|_{L^2}. \tag{3.3}$$

Combining (3.2) with (3.3) yields (3.1). □

**Lemma 3.2** *Let  $T > 0$ ,  $\alpha \in [0, 1]$ ,  $\sigma, \beta \in \mathbb{R}$ , and  $p \in [1, \infty]$ . There exists a constant  $C > 0$  such that*

$$\left\|\int_0^t T_{\Omega,N}(t-\tau)f(\tau) d\tau\right\|_{\tilde{L}^{\frac{2}{1\pm\alpha}}(0,T;\dot{B}_{2,p}^{\sigma+1\pm\alpha,\beta+1\pm\alpha})} \leq C\|f\|_{\tilde{L}^1(0,T;\dot{B}_{2,p}^{\sigma,\beta})} \tag{3.4}$$

for any  $f \in \tilde{L}^1(0, T; \dot{B}_{2,p}^{\sigma,\beta}(\mathbb{R}^3))$ .

*Proof* For  $j$  such that  $2^j > \max\{|\Omega|, N\}$ , applying Lemma 2.5 and Young’s inequality yields

$$\begin{aligned} \left\|\Delta_j \int_0^t T_{\Omega,N}(t-\tau)f(\tau) d\tau\right\|_{\tilde{L}^{\frac{2}{1\pm\alpha}}(0,T;L^p)} &\leq C\left\|\int_0^t e^{-c2^{2j}(t-\tau)}\|\Delta_j f(\tau)\|_{L^p} d\tau\right\|_{\tilde{L}^{\frac{2}{1\pm\alpha}}} \\ &\leq C2^{-(1\pm\alpha)j}\|\Delta_j f\|_{L^1(0,T;L^p)}. \end{aligned} \tag{3.5}$$

Similarly, for  $j$  such that  $2^j \leq \max\{|\Omega|, N\}$ , we obtain

$$\left\|\Delta_j \int_0^t T_{\Omega,N}(t-\tau)f(\tau) d\tau\right\|_{\tilde{L}^{\frac{2}{1\pm\alpha}}(0,T;L^2)} \leq C2^{-(1\pm\alpha)j}\|\Delta_j f\|_{L^1(0,T;L^2)}. \tag{3.6}$$

Inequality (3.5), together with (3.6), yields (3.4). □

We now turn to establish the following product law, which is indispensable for gaining the bilinear estimate in the proof of our main result.

**Lemma 3.3** *Let  $T > 0$ ,  $p \in [2, 4]$ , and  $\alpha \in (\frac{3}{2} - \frac{3}{p}, 1]$ . There exists a constant  $C > 0$  such that*

$$\begin{aligned} \|fg\|_{\tilde{L}^1(0,T;\dot{B}_{2,p}^{\frac{3}{2},\frac{3}{p}})} &\leq C\left(\|f\|_{\tilde{L}^{\frac{2}{1+\alpha}}(0,T;\dot{B}_{2,p}^{\frac{3}{2}+\alpha,\frac{3}{p}+\alpha})}\|g\|_{\tilde{L}^{\frac{2}{1-\alpha}}(0,T;\dot{B}_{2,p}^{\frac{3}{2}-\alpha,\frac{3}{p}-\alpha})}\right) \\ &\quad + \|g\|_{\tilde{L}^{\frac{2}{1+\alpha}}(0,T;\dot{B}_{2,p}^{\frac{3}{2}+\alpha,\frac{3}{p}+\alpha})}\|f\|_{\tilde{L}^{\frac{2}{1-\alpha}}(0,T;\dot{B}_{2,p}^{\frac{3}{2}-\alpha,\frac{3}{p}-\alpha})} \end{aligned} \tag{3.7}$$

for all  $f, g \in \tilde{L}^{\frac{2}{1\pm\alpha}}(0, T; \dot{B}_{2,p}^{\frac{3}{2}\pm\alpha, \frac{3}{p}\pm\alpha}(\mathbb{R}^3))$ .

*Proof* Applying Bony’s decomposition [36], we rewrite  $\dot{\Delta}_j(fg)$  as

$$\begin{aligned} \dot{\Delta}_j(fg) &= \sum_{|k-j|\leq 4} \dot{\Delta}_j(\dot{S}_{k-1}f \dot{\Delta}_k g) + \sum_{|k-j|\leq 4} \dot{\Delta}_j(\dot{S}_{k-1}f \dot{\Delta}_k g) + \sum_{k\geq j-2} \sum_{|k-k'|\leq 1} \dot{\Delta}_j(\dot{\Delta}_{k'}f \dot{\Delta}_{k'}g) \\ &=: I_j + II_j + III_j. \end{aligned}$$

First, we consider  $I_j$ . Set  $K_j := \{(k', k); |k - j| \leq 4, k' \leq k - 2\}$ . On the one hand, for  $2^j > \max\{|\Omega|, N\}$ , we have

$$\begin{aligned} \|I_j\|_{L_T^1 L^p} &\leq \sum_{K_j} \|\Delta_j(\Delta_{k'}f \Delta_k g)\|_{L_T^1 L^p} \\ &\leq \left( \sum_{K_{j,ll}} + \sum_{K_{j,lh}} + \sum_{K_{j,hh}} \right) \|\Delta_j(\Delta_{k'}f \Delta_k g)\|_{L_T^1 L^p} \\ &=: I_{j,1} + I_{j,2} + I_{j,3}, \end{aligned}$$

where

$$\begin{aligned} K_{j,ll} &:= \{(k', k) \in K_j; 2^{k'} \leq \max\{|\Omega|, N\}, 2^k \leq \max\{|\Omega|, N\}\}, \\ K_{j,lh} &:= \{(k', k) \in K_j; 2^{k'} \leq \max\{|\Omega|, N\}, 2^k > \max\{|\Omega|, N\}\}, \\ K_{j,hh} &:= \{(k', k) \in K_j; 2^{k'} > \max\{|\Omega|, N\}, 2^k > \max\{|\Omega|, N\}\}. \end{aligned}$$

Applying Lemma 2.4 and Hölder’s inequality, we see that

$$\begin{aligned} I_{j,1} &\leq \sum_{(k',k)\in K_{j,ll}} \|\Delta_j(\Delta_{k'}f \Delta_k g)\|_{L_T^1 L^p} \\ &\leq \sum_{(k',k)\in K_{j,ll}} 2^{\frac{3}{2}k'} \|\Delta_{k'}f\|_{L_T^{\frac{2}{1-\alpha}} L^2} 2^{k(\frac{3}{2}-\frac{3}{p})} \|\Delta_k g\|_{L_T^{\frac{2}{1+\alpha}} L^2} \\ &\leq \sum_{(k',k)\in K_{j,ll}} 2^{k'(\frac{3}{2}-\alpha)} \|\Delta_{k'}f\|_{L_T^{\frac{2}{1-\alpha}} L^2} 2^{k(\frac{3}{2}+\alpha)} \|\Delta_k g\|_{L_T^{\frac{2}{1+\alpha}} L^2} 2^{\alpha(k'-k)} 2^{-\frac{3}{p}k} \\ &\leq C \|f\|_{L_T^{\frac{2}{1-\alpha}} \dot{B}_{2,p}^{\frac{3}{2}-\alpha, \frac{3}{p}-\alpha}} \|g\|_{L_T^{\frac{2}{1+\alpha}} \dot{B}_{2,p}^{\frac{3}{2}+\alpha, \frac{3}{p}+\alpha}} \sum_{(k',k)\in K_{j,ll}} 2^{\alpha(k'-k)} 2^{-\frac{3}{p}k} \\ &\leq C 2^{-\frac{3}{p}j} \|f\|_{L_T^{\frac{2}{1-\alpha}} \dot{B}_{2,p}^{\frac{3}{2}-\alpha, \frac{3}{p}-\alpha}} \|g\|_{L_T^{\frac{2}{1+\alpha}} \dot{B}_{2,p}^{\frac{3}{2}+\alpha, \frac{3}{p}+\alpha}}, \end{aligned}$$

where we have used the fact that

$$\sum_{(k',k)\in K_{j,ll}} 2^{\alpha(k'-k)} 2^{-\frac{3}{p}k} \leq \sum_{k'\leq k-2} 2^{\alpha(k'-k)} \sum_{|k-j|\leq 4} 2^{-\frac{3}{p}k} \leq C 2^{-\frac{3}{p}j}.$$

Similarly, we have

$$\begin{aligned} I_{j,2} &\leq \sum_{(k',k)\in K_{j,lh}} \|\Delta_{k'}f\|_{L_T^{\frac{2}{1-\alpha}} L^\infty} \|\Delta_k g\|_{L_T^{\frac{2}{1+\alpha}} L^p} \\ &\leq C \sum_{(k',k)\in K_{j,lh}} 2^{\frac{3}{2}k'} \|\Delta_{k'}f\|_{L_T^{\frac{2}{1-\alpha}} L^2} \|\Delta_k g\|_{L_T^{\frac{2}{1+\alpha}} L^p} \end{aligned}$$

$$\begin{aligned}
 &= C \sum_{(k',k) \in K_{j,th}} 2^{k'(\frac{3}{2}-\alpha)} \|\Delta_{k'} f\|_{L_T^{\frac{2}{1-\alpha}} L^2} 2^{k(\frac{3}{p}+\alpha)} \|\Delta_k g\|_{L_T^{\frac{2}{1+\alpha}} L^p} 2^{\alpha(k'-k)} 2^{-\frac{3}{p}k} \\
 &\leq C 2^{-\frac{3}{p}j} \|f\|_{L_T^{\frac{2}{1-\alpha}} \dot{B}_{2,p}^{\frac{3}{2}-\alpha, \frac{3}{p}-\alpha}} \|g\|_{L_T^{\frac{2}{1+\alpha}} \dot{B}_{2,p}^{\frac{3}{2}+\alpha, \frac{3}{p}+\alpha}}
 \end{aligned}$$

and

$$\begin{aligned}
 I_{j,3} &\leq \sum_{(k',k) \in K_{j,hh}} \|\Delta_{k'} f\|_{L_T^{\frac{2}{1-\alpha}} L^\infty} \|\Delta_k g\|_{L_T^{\frac{2}{1+\alpha}} L^p} \\
 &\leq C \sum_{(k',k) \in K_{j,hh}} 2^{\frac{3}{p}k'} \|\Delta_{k'} f\|_{L_T^{\frac{2}{1-\alpha}} L^p} \|\Delta_k g\|_{L_T^{\frac{2}{1+\alpha}} L^p} \\
 &= C \sum_{(k',k) \in K_{j,hh}} 2^{k'(\frac{3}{p}-\alpha)} \|\Delta_{k'} f\|_{L_T^{\frac{2}{1-\alpha}} L^p} 2^{k(\frac{3}{p}+\alpha)} \|\Delta_k g\|_{L_T^{\frac{2}{1+\alpha}} L^p} 2^{\alpha(k'-k)} 2^{-\frac{3}{p}k} \\
 &\leq C 2^{-\frac{3}{p}j} \|f\|_{L_T^{\frac{2}{1-\alpha}} \dot{B}_{2,p}^{\frac{3}{2}-\alpha, \frac{3}{p}-\alpha}} \|g\|_{L_T^{\frac{2}{1+\alpha}} \dot{B}_{2,p}^{\frac{3}{2}+\alpha, \frac{3}{p}+\alpha}}.
 \end{aligned}$$

On the other hand, for  $j$  such that  $2^j \leq \max\{|\Omega|, N\}$ , we have

$$\begin{aligned}
 \|I_j\|_{L_T^1 L^2} &\leq \sum_{K_j} \|\Delta_j(\Delta_{k'} f \Delta_k g)\|_{L_T^1 L^2} \\
 &\leq \left( \sum_{K_{j,ll}} + \sum_{K_{j,th}} + \sum_{K_{j,hh}} \right) \|\Delta_j(\Delta_{k'} f \Delta_k g)\|_{L_T^1 L^2} \\
 &=: I_{j,4} + I_{j,5} + I_{j,6}.
 \end{aligned}$$

Applying Lemma 2.4 and Hölder's inequality gives

$$\begin{aligned}
 I_{j,4} &\leq \sum_{(k',k) \in K_{j,ll}} \|\Delta_j(\Delta_{k'} f \Delta_k g)\|_{L_T^1 L^2} \\
 &\leq \sum_{(k',k) \in K_{j,ll}} 2^{\frac{3}{2}k'} \|\Delta_{k'} f\|_{L_T^{\frac{2}{1-\alpha}} L^2} \|\Delta_k g\|_{L_T^{\frac{2}{1+\alpha}} L^2} \\
 &\leq \sum_{(k',k) \in K_{j,ll}} 2^{k'(\frac{3}{2}-\alpha)} \|\Delta_{k'} f\|_{L_T^{\frac{2}{1-\alpha}} L^2} 2^{k(\frac{3}{2}+\alpha)} \|\Delta_k g\|_{L_T^{\frac{2}{1+\alpha}} L^2} 2^{\alpha(k'-k)} 2^{-\frac{3}{2}k} \\
 &\leq C \|f\|_{L_T^{\frac{2}{1-\alpha}} \dot{B}_{2,p}^{\frac{3}{2}-\alpha, \frac{3}{p}-\alpha}} \|g\|_{L_T^{\frac{2}{1+\alpha}} \dot{B}_{2,p}^{\frac{3}{2}+\alpha, \frac{3}{p}+\alpha}} \sum_{(k',k) \in K_{j,ll}} 2^{\alpha(k'-k)} 2^{-\frac{3}{2}k} \\
 &\leq C 2^{-\frac{3}{2}j} \|f\|_{L_T^{\frac{2}{1-\alpha}} \dot{B}_{2,p}^{\frac{3}{2}-\alpha, \frac{3}{p}-\alpha}} \|g\|_{L_T^{\frac{2}{1+\alpha}} \dot{B}_{2,p}^{\frac{3}{2}+\alpha, \frac{3}{p}+\alpha}},
 \end{aligned}$$

and due to  $p \geq 2$  and  $\alpha + \frac{3}{p} - \frac{3}{2} > 0$ , we see that

$$\begin{aligned}
 I_{j,5} &\leq \sum_{(k',k) \in K_{j,th}} \|\Delta_{k'} f\|_{L_T^{\frac{2}{1-\alpha}} L^{\frac{2p}{p-2}}} \|\Delta_k g\|_{L_T^{\frac{2}{1+\alpha}} L^p} \\
 &\leq C \sum_{(k',k) \in K_{j,th}} 2^{\frac{3}{p}k'} \|\Delta_{k'} f\|_{L_T^{\frac{2}{1-\alpha}} L^2} \|\Delta_k g\|_{L_T^{\frac{2}{1+\alpha}} L^p}
 \end{aligned}$$

$$\begin{aligned}
 &= C \sum_{(k',k) \in K_{j,hl}} 2^{k'(\frac{3}{p}-\alpha)} \|\Delta_{k'} f\|_{L_T^{\frac{2}{1-\alpha}} L^2} 2^{k(\frac{3}{p}+\alpha)} \|\Delta_k g\|_{L_T^{\frac{2}{1+\alpha}} L^p} 2^{(\alpha+\frac{3}{p}-\frac{3}{2})(k'-k)} 2^{-\frac{3}{2}k} \\
 &\leq C 2^{-\frac{3}{2}j} \|f\|_{L_T^{\frac{2}{1-\alpha}} \dot{B}_{2,p}^{\frac{3}{2}-\alpha, \frac{3}{p}-\alpha}} \|g\|_{L_T^{\frac{2}{1+\alpha}} \dot{B}_{2,p}^{\frac{3}{2}+\alpha, \frac{3}{p}+\alpha}}
 \end{aligned}$$

and due to  $p \leq 4$  and  $\alpha + \frac{3}{p} - \frac{3}{2} > 0$ , we get

$$\begin{aligned}
 I_{j,6} &\leq \sum_{(k',k) \in K_{j,hh}} \|\Delta_{k'} f\|_{L_T^{\frac{2}{1-\alpha}} L^{\frac{2p}{p-2}}} \|\Delta_k g\|_{L_T^{\frac{2}{1+\alpha}} L^p} \\
 &\leq C \sum_{(k',k) \in K_{j,hh}} 2^{(-\frac{3}{2}+\frac{6}{p})k'} \|\Delta_{k'} f\|_{L_T^{\frac{2}{1-\alpha}} L^p} \|\Delta_k g\|_{L_T^{\frac{2}{1+\alpha}} L^p} \\
 &= C \sum_{(k',k) \in K_{j,hh}} 2^{k'(\frac{3}{p}-\alpha)} \|\Delta_{k'} f\|_{L_T^{\frac{2}{1-\alpha}} L^p} 2^{k(\frac{3}{p}+\alpha)} \|\Delta_k g\|_{L_T^{\frac{2}{1+\alpha}} L^p} 2^{(\alpha+\frac{3}{p}-\frac{3}{2})(k'-k)} 2^{-\frac{3}{2}k} \\
 &\leq C 2^{-\frac{3}{2}j} \|f\|_{L_T^{\frac{2}{1-\alpha}} \dot{B}_{2,p}^{\frac{3}{2}-\alpha, \frac{3}{p}-\alpha}} \|g\|_{L_T^{\frac{2}{1+\alpha}} \dot{B}_{2,p}^{\frac{3}{2}+\alpha, \frac{3}{p}+\alpha}}.
 \end{aligned}$$

Summing the estimates obtained,  $I_{j,1} \sim I_{j,6}$  yields that

$$\begin{aligned}
 &\sup_{2^j > \max\{|\Omega|, N\}} 2^{\frac{3}{2}j} \|I_j\|_{\tilde{L}_T^1 L^p} + \sup_{2^j \leq \max\{|\Omega|, N\}} 2^{\frac{3}{2}j} \|I_j\|_{\tilde{L}_T^1 L^2} \\
 &\leq C \|f\|_{L_T^{\frac{2}{1-\alpha}} \dot{B}_{2,p}^{\frac{3}{2}-\alpha, \frac{3}{p}-\alpha}} \|g\|_{L_T^{\frac{2}{1+\alpha}} \dot{B}_{2,p}^{\frac{3}{2}+\alpha, \frac{3}{p}+\alpha}}.
 \end{aligned} \tag{3.8}$$

By the same argument we have

$$\begin{aligned}
 &\sup_{2^j > \max\{|\Omega|, N\}} 2^{\frac{3}{2}j} \|II_j\|_{\tilde{L}_T^1 L^p} + \sup_{2^j \leq \max\{|\Omega|, N\}} 2^{\frac{3}{2}j} \|II_j\|_{\tilde{L}_T^1 L^2} \\
 &\leq C \|g\|_{L_T^{\frac{2}{1-\alpha}} \dot{B}_{2,p}^{\frac{3}{2}-\alpha, \frac{3}{p}-\alpha}} \|f\|_{L_T^{\frac{2}{1+\alpha}} \dot{B}_{2,p}^{\frac{3}{2}+\alpha, \frac{3}{p}+\alpha}}.
 \end{aligned} \tag{3.9}$$

Now, we consider  $III_j$ . Setting  $\tilde{K}_j := \{(k', k); k \geq j - 2, |k - k'| \leq 1\}$ , we get

$$\begin{aligned}
 III_j &= \left( \sum_{\tilde{K}_{j,ll}} + \sum_{\tilde{K}_{j,lh}} + \sum_{\tilde{K}_{j,hl}} + \sum_{\tilde{K}_{j,hh}} \right) \Delta_j(\Delta_{k'} f \Delta_k g) \\
 &=: III_{j,1} + III_{j,2} + III_{j,3} + III_{j,4},
 \end{aligned}$$

where

$$\begin{aligned}
 \tilde{K}_{j,ll} &:= \{(k', k) \in \tilde{K}_j; 2^{k'} \leq \max\{|\Omega|, N\}, 2^k \leq \max\{|\Omega|, N\}\}, \\
 \tilde{K}_{j,lh} &:= \{(k', k) \in \tilde{K}_j; 2^{k'} \leq \max\{|\Omega|, N\}, 2^k > \max\{|\Omega|, N\}\}, \\
 \tilde{K}_{j,hl} &:= \{(k', k) \in \tilde{K}_j; 2^{k'} > \max\{|\Omega|, N\}, 2^k \leq \max\{|\Omega|, N\}\}, \\
 \tilde{K}_{j,hh} &:= \{(k', k) \in \tilde{K}_j; 2^{k'} > \max\{|\Omega|, N\}, 2^k > \max\{|\Omega|, N\}\}.
 \end{aligned}$$

By Lemma 2.4 and Hölder’s inequality we have

$$\begin{aligned}
 & \|III_{j,1}\|_{L_T^1 L^p} \\
 & \leq C2^{3j(1-\frac{1}{p})} \sum_{(k',k) \in \tilde{K}_{j,II}} \|\Delta_k f \Delta_{k'} g\|_{L_T^1 L^1} \\
 & \leq C2^{3j(1-\frac{1}{p})} \sum_{(k',k) \in \tilde{K}_{j,II}} 2^{(\frac{3}{2}-\alpha)k} \|\Delta_k f\|_{L_T^{1-\alpha} L^2} 2^{(\frac{3}{2}+\alpha)k'} \|\Delta_{k'} g\|_{L_T^{1+\alpha} L^2} 2^{-(\frac{3}{2}-\alpha)k} 2^{-(\frac{3}{2}+\alpha)k'} \\
 & \leq C2^{3j(1-\frac{1}{p})} \|f\|_{L_T^{1-\alpha} \dot{B}_{2,p}^{\frac{3}{2}-\alpha, \frac{3}{p}-\alpha}} \|g\|_{L_T^{1+\alpha} \dot{B}_{2,p}^{\frac{3}{2}+\alpha, \frac{3}{p}+\alpha}} \sum_{k \geq j-3} 2^{-(\frac{3}{2}-\alpha)k} \sum_{|k-k'| \leq 1} 2^{-(\frac{3}{2}+\alpha)k'} \\
 & \leq C2^{-\frac{3}{p}j} \|f\|_{L_T^{1-\alpha} \dot{B}_{2,p}^{\frac{3}{2}-\alpha, \frac{3}{p}-\alpha}} \|g\|_{L_T^{1+\alpha} \dot{B}_{2,p}^{\frac{3}{2}+\alpha, \frac{3}{p}+\alpha}}
 \end{aligned}$$

and

$$\|III_{j,1}\|_{L_T^1 L^2} \leq C2^{\frac{3}{2}j} \sum_{(k',k) \in \tilde{K}_{j,II}} \|\Delta_k f \Delta_{k'} g\|_{L_T^1 L^1} \leq C2^{-\frac{3}{2}j} \|f\|_{L_T^{1-\alpha} \dot{B}_{2,p}^{\frac{3}{2}-\alpha, \frac{3}{p}-\alpha}} \|g\|_{L_T^{1+\alpha} \dot{B}_{2,p}^{\frac{3}{2}+\alpha, \frac{3}{p}+\alpha}}.$$

Similarly, we have

$$\begin{aligned}
 & \|III_{j,2} + III_{j,3}\|_{L_T^1 L^p} \\
 & \leq C2^{\frac{3}{2}j} \left( \sum_{(k',k) \in \tilde{K}_{j,Ih}} + \sum_{(k',k) \in \tilde{K}_{j,Il}} \right) \|\Delta_k f \Delta_{k'} g\|_{L_T^1 L^{\frac{2p}{2+p}}} \\
 & \leq C2^{\frac{3}{2}j} \left\{ \sum_{(k',k) \in \tilde{K}_{j,Ih}} \|\Delta_k f\|_{L_T^{1-\alpha} L^p} \|\Delta_{k'} g\|_{L_T^{1+\alpha} L^2} + \sum_{(k',k) \in \tilde{K}_{j,Il}} \|\Delta_k f\|_{L_T^{1-\alpha} L^2} \|\Delta_{k'} g\|_{L_T^{1+\alpha} L^p} \right\} \\
 & \leq C2^{\frac{3}{2}j} \|f\|_{L_T^{1-\alpha} \dot{B}_{2,p}^{\frac{3}{2}-\alpha, \frac{3}{p}-\alpha}} \|g\|_{L_T^{1+\alpha} \dot{B}_{2,p}^{\frac{3}{2}+\alpha, \frac{3}{p}+\alpha}} \\
 & \quad \times \sum_{k \geq j-3} \sum_{|k-k'| \leq 1} (2^{-(\frac{3}{p}-\alpha)k} 2^{-(\frac{3}{2}+\alpha)k'} + 2^{-(\frac{3}{2}+\alpha)k} 2^{-(\frac{3}{p}-\alpha)k'}) \\
 & \leq C2^{-\frac{3}{p}j} \|f\|_{L_T^{1-\alpha} \dot{B}_{2,p}^{\frac{3}{2}-\alpha, \frac{3}{p}-\alpha}} \|g\|_{L_T^{1+\alpha} \dot{B}_{2,p}^{\frac{3}{2}+\alpha, \frac{3}{p}+\alpha}}
 \end{aligned}$$

and

$$\begin{aligned}
 \|III_{j,2} + III_{j,3}\|_{L_T^1 L^2} & \leq C2^{\frac{3}{2}j} \sum_{(k',k) \in \tilde{K}_{j,II}} \|\Delta_k f \Delta_{k'} g\|_{L_T^1 L^1} \\
 & \leq C2^{-\frac{3}{2}j} \|f\|_{L_T^{1-\alpha} \dot{B}_{2,p}^{\frac{3}{2}-\alpha, \frac{3}{p}-\alpha}} \|g\|_{L_T^{1+\alpha} \dot{B}_{2,p}^{\frac{3}{2}+\alpha, \frac{3}{p}+\alpha}}.
 \end{aligned}$$

Finally, noticing that  $4 \geq p \geq 2$ , we obtain

$$\begin{aligned}
 & \|III_{j,4}\|_{L_T^1 L^p} \\
 & \leq C2^{\frac{3}{2}j} \sum_{(k',k) \in \tilde{K}_{j,Ih}} \|\Delta_k f \Delta_{k'} g\|_{L_T^1 L^{\frac{p}{2}}}
 \end{aligned}$$

$$\begin{aligned}
 &\leq C2^{\frac{3}{p}j} \sum_{(k',k) \in \tilde{K}_{j,hh}} \|\Delta_k f\|_{L_T^{\frac{2}{1-\alpha}} L^p} \|\Delta_{k'} g\|_{L_T^{\frac{2}{1+\alpha}} L^p} \\
 &\leq C2^{\frac{3}{p}j} \|f\|_{L_T^{\frac{2}{1-\alpha}} \dot{B}_{2,p}^{\frac{3}{2}-\alpha, \frac{3}{p}-\alpha}} \|g\|_{L_T^{\frac{2}{1+\alpha}} \dot{B}_{2,p}^{\frac{3}{2}+\alpha, \frac{3}{p}+\alpha}} \sum_{k \geq j-3} \sum_{|k-k'| \leq 1} 2^{-(\frac{3}{p}-\alpha)k} 2^{-(\frac{3}{p}+\alpha)k'} \\
 &\leq C2^{-\frac{3}{p}j} \|f\|_{L_T^{\frac{2}{1-\alpha}} \dot{B}_{2,p}^{\frac{3}{2}-\alpha, \frac{3}{p}-\alpha}} \|g\|_{L_T^{\frac{2}{1+\alpha}} \dot{B}_{2,p}^{\frac{3}{2}+\alpha, \frac{3}{p}+\alpha}}
 \end{aligned}$$

and

$$\begin{aligned}
 \|III_{j,2} + III_{j,3}\|_{L_T^1 L^2} &\leq C2^{3j(\frac{2}{p}-\frac{1}{2})} \sum_{(k',k) \in \tilde{K}_{j,hh}} \|\Delta_k f \Delta_{k'} g\|_{L_T^1 L^{\frac{p}{2}}} \\
 &\leq C2^{-\frac{3}{2}j} \|f\|_{L_T^{\frac{2}{1-\alpha}} \dot{B}_{2,p}^{\frac{3}{2}-\alpha, \frac{3}{p}-\alpha}} \|g\|_{L_T^{\frac{2}{1+\alpha}} \dot{B}_{2,p}^{\frac{3}{2}+\alpha, \frac{3}{p}+\alpha}}.
 \end{aligned}$$

Summing up the estimates of  $III_{j,1} \sim III_{j,4}$ , we arrive at

$$\begin{aligned}
 &\sup_{2^j > \max\{|\Omega|, N\}} 2^{\frac{3}{p}j} \|III_j\|_{L_T^1 L^p} + \sup_{2^j \leq \max\{|\Omega|, N\}} 2^{\frac{3}{p}j} \|III_j\|_{L_T^1 L^2} \\
 &\leq C \|f\|_{L_T^{\frac{2}{1-\alpha}} \dot{B}_{2,p}^{\frac{3}{2}-\alpha, \frac{3}{p}-\alpha}} \|g\|_{L_T^{\frac{2}{1+\alpha}} \dot{B}_{2,p}^{\frac{3}{2}+\alpha, \frac{3}{p}+\alpha}}. \tag{3.10}
 \end{aligned}$$

Then, combining (3.8)–(3.10) yields (3.7). □

#### 4 The proof of Theorem 1.2

The proof of Theorem 1.2 follows from the following standard Banach fixed point lemma combined with applications of the estimates established in the previous section.

**Lemma 4.1** (Cannone [9]) *Let  $(\mathcal{X}, \|\cdot\|_{\mathcal{X}})$  be a Banach space, and  $B : \mathcal{X} \times \mathcal{X} \rightarrow \mathcal{X}$  a bounded bilinear form satisfying  $\|B(x_1, x_2)\|_{\mathcal{X}} \leq \eta \|x_1\|_{\mathcal{X}} \|x_2\|_{\mathcal{X}}$  for all  $x_1, x_2 \in \mathcal{X}$  and some constant  $\eta > 0$ . Then, if  $0 < \varepsilon < \frac{1}{4\eta}$  and if  $y \in \mathcal{X}$  such that  $\|y\|_{\mathcal{X}} \leq \varepsilon$ , then the equation  $x = y + B(x, x)$  has a solution in  $\mathcal{X}$  such that  $\|x\|_{\mathcal{X}} \leq 2\varepsilon$ . This solution is the only one in the ball  $\tilde{B}(0, 2\varepsilon)$ . Moreover, the solution depends continuously on  $y$  in the following sense: if  $\|\tilde{y}\|_{\mathcal{X}} \leq \varepsilon$ ,  $\tilde{x} = \tilde{y} + B(\tilde{x}, \tilde{x})$  and  $\|\tilde{x}\|_{\mathcal{X}} \leq 2\varepsilon$ , then*

$$\|x - \tilde{x}\|_{\mathcal{X}} \leq \frac{1}{1 - 4\eta\varepsilon} \|y - \tilde{y}\|_{\mathcal{X}}.$$

Let  $R_j$  ( $j = 1, 2, 3$ ) be the Riesz transforms on  $\mathbb{R}^3$  and set  $\tilde{\mathbb{P}} = (\tilde{\mathbb{P}}_{ij})_{4 \times 4}$  with

$$\tilde{\mathbb{P}}_{ij} := \begin{cases} \delta_{ij} + R_i R_j, & 1 \leq i, j \leq 3, \\ \delta_{ij} & \text{otherwise,} \end{cases}$$

where  $\delta_{ij}$  is the Kronecker’s delta notation. By using the Duhamel principle we easily obtain that problem (2.1) is equivalent to the following integral equation:

$$v(t) = T_{\Omega, N}(t)v_0 - B(v, v)(t), \tag{4.1}$$

where

$$B(v, v)(t) := \int_0^t T_{\Omega, N}(t - \tau) \tilde{\mathbb{P}} \tilde{\nabla} \cdot [v(\tau) \otimes v(\tau)] d\tau. \tag{4.2}$$

*Proof of Theorem 1.2* Let  $\alpha \in (\frac{3}{2} - \frac{3}{p}, 1]$  be given and fixed, and let  $X^\alpha$  be a Banach space endowed with the norm

$$\|v\|_{X^\alpha} := \|v\|_{\tilde{L}^{\frac{2}{1-\alpha}}(0, \infty; \dot{B}_{2,p}^{\frac{3}{2}-\alpha, \frac{3}{p}-\alpha})} + \|v\|_{\tilde{L}^{\frac{2}{1+\alpha}}(0, \infty; \dot{B}_{2,p}^{\frac{3}{2}+\alpha, \frac{3}{p}+\alpha})}.$$

Applying Lemma 3.1 with  $\sigma = \frac{1}{2}$  and  $\beta = -1 + \frac{3}{p}$  leads to

$$\|T_{\Omega, N}(t)v_0\|_{X^\alpha} \leq C_0 \|v_0\|_{\dot{B}_{2,p}^{\frac{1}{2}, -1+\frac{3}{p}}}$$

for some constant  $C_0 > 0$  and  $v_0 \in \dot{B}_{2,p}^{\frac{1}{2}, -1+\frac{3}{p}}(\mathbb{R}^3)$ .

Lemma 3.2 with  $\sigma = \frac{1}{2}$  and  $\beta = -1 + \frac{3}{p}$  gives, for  $v, w \in X^\alpha$  and some constant  $C_1 > 0$ ,

$$\begin{aligned} \|B(v, w)\|_{X^\alpha} &= \left\| \int_0^t T_{\Omega, N}(t - \tau) \tilde{\mathbb{P}} \tilde{\nabla} \cdot [v(\tau) \otimes w(\tau)] d\tau \right\|_{X^\alpha} \\ &\leq C_1 \|\tilde{\nabla} \cdot [v(\tau) \otimes w(\tau)]\|_{\tilde{L}^1(0, \infty; \dot{B}_{2,p}^{\frac{1}{2}, -1+\frac{3}{p}})} \\ &\leq C_1 \|v\|_{X^\alpha} \|w\|_{X^\alpha}, \end{aligned}$$

where we have used Lemma 3.3 for getting the last inequality.

Then, by Lemma 4.1, for any given  $v_0 \in \dot{B}_{2,p}^{\frac{1}{2}, -1+\frac{3}{p}}(\mathbb{R}^3)$  satisfying

$$\|v_0\|_{\dot{B}_{2,p}^{\frac{1}{2}, -1+\frac{3}{p}}} \leq \frac{\epsilon}{C_0} \quad \text{with } 0 < \epsilon < \frac{1}{4C_1},$$

we immediately see that there exists a unique solution  $v$  of equation (4.1) in the ball with center 0 and radius  $2\epsilon$  in the space  $X^\alpha$ . Moreover, applying Lemmas 3.1-3.3 with  $\alpha = 1$ ,  $\sigma = \frac{1}{2}$ , and  $\beta = -1 + \frac{3}{p}$  implies that

$$\begin{aligned} \|v\|_{\tilde{L}^\infty(0, \infty; \dot{B}_{2,p}^{\frac{1}{2}, -1+\frac{3}{p}})} &\leq C \|v_0\|_{\dot{B}_{2,p}^{\frac{1}{2}, -1+\frac{3}{p}}} + C \|v \otimes v\|_{\tilde{L}^1(0, \infty; \dot{B}_{2,p}^{\frac{3}{2}, \frac{3}{p}})} \\ &\leq C \|v_0\|_{\dot{B}_{2,p}^{\frac{1}{2}, -1+\frac{3}{p}}} + C \|v\|_{X^\alpha}^2 < \infty, \end{aligned}$$

which ensures  $v \in [\tilde{L}^\infty(0, \infty; \dot{B}_{2,p}^{\frac{1}{2}, -1+\frac{3}{p}}(\mathbb{R}^3))]^4$ . Moreover, by using a standard density argument we can further infer that  $v \in [C([0, \infty), \dot{B}_{2,p}^{\frac{1}{2}, -1+\frac{3}{p}}(\mathbb{R}^3))]^4$ . This proves the global well-posedness assertion in Theorem 1.2.  $\square$

**Competing interests**

The authors declare that they have no competing interests.

**Authors' contributions**

All authors contributed equally to the writing of this paper. All authors read and approved the final manuscript.

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