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# Multiplicity results for a fractional Kirchhoff equation involving sign-changing weight function

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## Abstract

In this paper, we prove the existence and multiplicity of solutions for a fractional Kirchhoff equation involving a sign-changing weight function which generalizes the corresponding result of Tsung-fang Wu (*Rocky Mt. J. Math.* 39:995-1011, 2009). Our main results are based on the method of a Nehari manifold.

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**Keywords:** fractional  $p$ -Laplacian; Kirchhoff type problem; sign-changing weight; Nehari manifold

## 1 Introduction

In this paper, we consider the following fractional elliptic equation with sign-changing weight functions:

$$\begin{cases} M\left(\int_{\mathbb{R}^{2N}} \frac{|u(x)-u(y)|^p}{|x-y|^{N+sp}} dx dy\right)(-\Delta)_p^s u = \lambda f(x)u^q + g(x)u^r, & x \in \Omega, \\ u = 0, & x \in \mathbb{R}^N \setminus \Omega, \end{cases} \quad (1.1)$$

where  $\Omega$  is a smooth bounded domain in  $\mathbb{R}^N$ ,  $N > 2s$ ,  $0 < s < 1$ ,  $0 \leq q < 1 < r < p_s^* - 1$  ( $p_s^* = \frac{pN}{N-ps}$ );  $\lambda > 0$ ,  $M(t) = a + bt^{p-1}$ ,  $(-\Delta)_p^s$  is the fractional  $p$ -Laplacian operator defined as

$$(-\Delta)_p^s u(x) = 2 \lim_{\varepsilon \searrow 0} \int_{B_\varepsilon(x)^c} \frac{|u(x) - u(y)|^{p-2}(u(x) - u(y))}{|x - y|^{N+sp}} dy, \quad x \in \mathbb{R}^N.$$

We may assume that the weight functions  $f(x)$  and  $g(x)$  are as follows:

- (H1)  $f^+ = \max\{f, 0\} \not\equiv 0$ , and  $f \in L^{\mu_q}(\Omega)$  where  $\mu_q = \frac{\mu}{\mu-(q+1)}$  for some  $\mu \in (q + 1, p_s^*)$ , with in addition  $f(x) \geq 0$  a.e. in  $\Omega$  in the case  $q = 0$ ;
- (H2)  $g^+ = \max\{g, 0\} \not\equiv 0$ , and  $g \in L^{\nu_r}(\Omega)$  where  $\nu_r = \frac{\nu}{\nu-(r+1)}$  for some  $\nu \in (r + 1, p_s^*)$ .

The fractional Kirchhoff type problems have been studied by many authors in recent years; see [2–6] and references therein. In the subcritical case, Pucci and Saldi in [5] stud-

ied the following Kirchhoff type problem in  $\mathbb{R}^N$ :

$$\begin{cases} M\left(\int_{\mathbb{R}^{2N}} \frac{|u(x)-u(y)|^p}{|x-y|^{N+sp}} dx dy\right)(-\Delta)_p^s u + V(x)|u|^{p-2}u \\ \quad = \lambda w(x)|u|^{q-2}u - h(x)|u|^{r-2}u, & x \in \Omega, \\ u = 0, & x \in \mathbb{R}^N \setminus \Omega, \end{cases}$$

with  $n > ps, s \in (0, 1)$ , and they established the existence and multiplicity of entire solutions using variational methods and topological degree theory for the above problem with a real parameter  $\lambda$  under the suitable integrability assumptions of the weights  $V, w$ , and  $h$ . In [7], Mishra and Sreenadh have studied the following Kirchhoff problem with sign-changing weights:

$$\begin{cases} M\left(\int_{\mathbb{R}^{2N}} \frac{|u(x)-u(y)|^p}{|x-y|^{N+sp}} dx dy\right)(-\Delta)_p^s u = \lambda f(x)|u|^{q-2}u + |u|^{\alpha-2}u, & x \in \Omega, \\ u = 0, & x \in \mathbb{R}^N \setminus \Omega, \end{cases}$$

and they obtained the multiplicity of non-negative solutions in the subcritical case  $\alpha < p_s^*$  by minimizing the energy functional over non-empty decompositions of Nehari manifold.

When  $p = 2, s = 1, a = 1$  and  $b = 0$ , problem (1.1) is reduced to the following semilinear elliptic equation:

$$\begin{cases} -\Delta u = \lambda f(x)u^a + g(x)u^r, & x \in \Omega, \\ u = 0, & x \in \partial\Omega. \end{cases} \tag{1.2}$$

In [1], Wu proved that equation (1.2) involving a sign-changing weight function has at least two solutions by using the Nehari manifold.

Motivated by the above work, in this paper, we investigate the existence and multiplicity of solutions for a fractional Kirchhoff equation (1.1) and extend the main results of Wu [1].

This article is organized as follows. In Section 2, we give some notations and preliminaries. Section 3 is devoted to the proof that problem (1.1) has at least two solutions for  $\lambda$  sufficiently small.

### 2 Preliminaries

For any  $s \in (0, 1), 1 < p < \infty$ , we define

$$X = \left\{ u \mid u : \mathbb{R}^N \rightarrow \mathbb{R} \text{ is measurable, } u|_{\Omega} \in L^p(\Omega), \text{ and } \int_Q \frac{|u(x) - u(y)|^p}{|x - y|^{n+ps}} dx dy < \infty \right\},$$

where  $Q = \mathbb{R}^{2N} \setminus (\mathcal{C}\Omega \times \mathcal{C}\Omega)$  with  $\mathcal{C}\Omega = \mathbb{R}^N \setminus \Omega$ . The space  $X$  is endowed with the norm defined by

$$\|u\|_X = \|u\|_{L^p(\Omega)} + \left( \int_Q \frac{|u(x) - u(y)|^p}{|x - y|^{n+ps}} dx dy \right)^{1/p}.$$

The functional space  $X_0$  denotes the closure of  $C_0^\infty(\Omega)$  in  $X$ . By [8], the space  $X_0$  is a Hilbert space with scalar product

$$\langle u, v \rangle_{X_0} = \int_Q \frac{|u(x) - u(y)|^{p-1}(v(x) - v(y))}{|x - y|^{n+ps}} dx dy, \quad \forall u, v \in X_0,$$

and the norm

$$\|u\|_{X_0} = \left( \int_Q \frac{|u(x) - u(y)|^p}{|x - y|^{n+ps}} dx dy \right)^{1/p}.$$

For further details on  $X$  and  $X_0$  and also for their properties, we refer to [8] and the references therein.

Throughout this section, we denote the best Sobolev constant by  $S_l$  for the embedding of  $X_0$  into  $L^l(\Omega)$ , which is defined as

$$S_l = \inf_{X_0 \setminus \{0\}} \frac{\int_{\mathbb{R}^{2N}} \frac{|u(x) - u(y)|^p}{|x - y|^{N+sp}} dx dy}{\left( \int_{\mathbb{R}^N} |u|^l dx \right)^{p/l}} > 0,$$

where  $l \in [p, p_s^*]$ .

A function  $u \in X_0$  is a weak solution of problem (1.1) if

$$\begin{aligned} & M \left( \int_Q \frac{|u(x) - u(y)|^p}{|x - y|^{N+sp}} dx dy \right) \int_Q \frac{|u(x) - u(y)|^{p-2} (u(x) - u(y))(v(x) - v(y))}{|x - y|^{N+sp}} dx dy \\ &= \lambda \int_{\Omega} f(x) |u|^{q-1} uv dx + \int_{\Omega} g(x) |u|^{r-1} uv dx, \quad \forall v \in X_0. \end{aligned}$$

Associated with equation (1.1), we consider the energy functional  $\mathcal{J}_{\lambda, M}$  in  $X_0$

$$\mathcal{J}_{\lambda, M}(u) = \frac{1}{p} \hat{M}(\|u\|_{X_0}^p) - \frac{\lambda}{q+1} \int_{\Omega} f |u|^{q+1} dx - \frac{1}{r+1} \int_{\Omega} g |u|^{r+1} dx,$$

where  $\hat{M}(t) = \int_0^t M(\mu) d\mu$ .

It is easy to see that the solutions of equation (1.1) are the critical points of the energy functional  $\mathcal{J}_{\lambda, M}$ .

The Nehari manifold for  $\mathcal{J}_{\lambda, M}$  is defined as

$$\begin{aligned} \mathcal{N}_{\lambda, M}(\Omega) &= \{u \in X_0 \setminus \{0\} : \langle \mathcal{J}'_{\lambda, M}(u), u \rangle = 0\} \\ &= \left\{ u \in X_0 \setminus \{0\} : M(\|u\|_{X_0}^p) \|u\|_{X_0}^p - \lambda \int_{\Omega} f |u|^{q+1} dx - \int_{\Omega} g |u|^{r+1} dx = 0 \right\}. \end{aligned}$$

The Nehari manifold  $\mathcal{N}_{\lambda, M}(\Omega)$  is closely linked to the behavior of functions of the form  $h_{\lambda, M} : t \rightarrow \mathcal{J}_{\lambda, M}(tu)$  for  $t > 0$ , named fibering maps [9]. If  $u \in X_0$ , we have

$$\begin{aligned} h_{\lambda, M}(t) &= \frac{1}{p} \hat{M}(t^p \|u\|_{X_0}^p) - \lambda \frac{t^{q+1}}{q+1} \int_{\Omega} f |u|^{q+1} dx - \frac{t^{r+1}}{r+1} \int_{\Omega} g |u|^{r+1} dx, \\ h'_{\lambda, M}(t) &= t^{p-1} M(t^p \|u\|_{X_0}^p) \|u\|_{X_0}^p - \lambda t^q \int_{\Omega} f |u|^{q+1} dx - t^r \int_{\Omega} g |u|^{r+1} dx, \end{aligned}$$

and

$$\begin{aligned} h''_{\lambda, M}(t) &= (p-1)t^{p-2} M(t^p \|u\|_{X_0}^p) \|u\|_{X_0}^p + p t^{2p-2} M'(t^p \|u\|_{X_0}^p) \|u\|_{X_0}^{2p} \\ &\quad - q \lambda t^{q-1} \int_{\Omega} f |u|^{q+1} dx - r t^{r-1} \int_{\Omega} g |u|^{r+1} dx. \end{aligned}$$

Obviously,

$$\begin{aligned} th'_{\lambda,M}(t) &= M(t^p \|u\|_{X_0}^p) \|tu\|_{X_0}^p - \lambda \int_{\Omega} f|tu|^{q+1} dx - \int_{\Omega} g|tu|^{r+1} dx \\ &= \langle \mathcal{J}_{\lambda,M}(tu), tu \rangle, \end{aligned}$$

which implies that for  $u \in X_0 \setminus \{0\}$  and  $t > 0$ ,  $h_{\lambda,M}(t) = 0$  if and only if  $tu \in \mathcal{N}_{\lambda,M}(\Omega)$ , i.e., positive critical points of  $h_{\lambda,M}$  correspond to points on the Nehari manifold. In particular,  $h_{\lambda,M}(1) = 0$  if and only if  $u \in \mathcal{N}_{\lambda,M}(\Omega)$ . Hence, we define

$$\begin{aligned} \mathcal{N}_{\lambda,M}^+(\Omega) &= \{u \in \mathcal{N}_{\lambda,M}(\Omega) : h''_{u,M}(1) > 0\}, \\ \mathcal{N}_{\lambda,M}^0(\Omega) &= \{u \in \mathcal{N}_{\lambda,M}(\Omega) : h''_{u,M}(1) = 0\}, \\ \mathcal{N}_{\lambda,M}^-(\Omega) &= \{u \in \mathcal{N}_{\lambda,M}(\Omega) : h''_{u,M}(1) < 0\}. \end{aligned}$$

For each  $u \in \mathcal{N}_{\lambda,M}(\Omega)$ , we have

$$\begin{aligned} h''_{\lambda,M}(1) &= (p-1)M(\|u\|_{X_0}^p) \|u\|_{X_0}^p + pM'(\|u\|_{X_0}^p) \|u\|_{X_0}^{2p} \\ &\quad - q\lambda \int_{\Omega} f|u|^{q+1} dx - r \int_{\Omega} g|u|^{r+1} dx \\ &= (p-r-1)M(\|u\|_{X_0}^p) \|u\|_{X_0}^p + pM'(\|u\|_{X_0}^p) \|u\|_{X_0}^{2p} - \lambda(q-r) \int_{\Omega} f|u|^{q+1} dx \quad (2.1) \end{aligned}$$

$$= (p-q-1)M(\|u\|_{X_0}^p) \|u\|_{X_0}^p + pM'(\|u\|_{X_0}^p) \|u\|_{X_0}^{2p} - (r-q) \int_{\Omega} g|u|^{r+1} dx. \quad (2.2)$$

Let  $M(t) = a + bt^{p-1}$ , where  $a > 0$ ,  $b \geq 0$  and  $p > 1$ . If  $u \in \mathcal{N}_{\lambda,M}^0(\Omega)$ , then  $h''_{\lambda,M}(1) = 0$ , and we have by (2.1) and (2.2)

$$a(p-r-1)\|u\|_{X_0}^p + b(p^2-r-1)\|u\|_{X_0}^{p^2} - \lambda(q-r) \int_{\Omega} f|u|^{q+1} dx = 0, \quad (2.3)$$

$$a(p-q-1)\|u\|_{X_0}^p + b(p^2-q-1)\|u\|_{X_0}^{p^2} - (r-q) \int_{\Omega} g|u|^{r+1} dx = 0. \quad (2.4)$$

For convenience, we let

$$(H3) \quad 0 < q < 1, p > 1 + q \text{ and } p_s^* - 1 > r \begin{cases} > p^2 - 1, & b \neq 0, \\ > p - 1, & b = 0. \end{cases}$$

**Lemma 2.1** *If (H1) and (H3) hold, then the energy functional  $\mathcal{J}_{\lambda,M}$  is coercive and bounded below on  $\mathcal{N}_{\lambda,M}(\Omega)$ .*

*Proof* For  $u \in \mathcal{N}_{\lambda,M}(\Omega)$ , we have by the Hölder and Sobolev inequalities

$$\begin{aligned} \mathcal{J}_{\lambda,M}(u) &= a\left(\frac{1}{p} - \frac{1}{r+1}\right) \|u\|_{X_0}^p + b\left(\frac{1}{p^2} - \frac{1}{r+1}\right) \|u\|_{X_0}^{p^2} \\ &\quad - \lambda\left(\frac{1}{q+1} - \frac{1}{r+1}\right) \int_{\Omega} f|u|^{q+1} dx \\ &= a\left(\frac{1}{p} - \frac{1}{r+1}\right) \|u\|_{X_0}^p + b\left(\frac{1}{p^2} - \frac{1}{r+1}\right) \|u\|_{X_0}^{p^2} \end{aligned}$$

$$\begin{aligned}
 & -\lambda \frac{r-q}{(q+1)(r+1)} \int_{\Omega} f|u|^{q+1} dx \\
 \geq & a\left(\frac{1}{p} - \frac{1}{r+1}\right) \|u\|_{X_0}^p + b\left(\frac{1}{p^2} - \frac{1}{r+1}\right) \|u\|_{X_0}^{p^2} \\
 & -\lambda \frac{r-q}{(q+1)(r+1)} \|f\|_{L^{\mu q} S_{\mu}^{q+1}} \|u\|_{X_0}^{q+1},
 \end{aligned}$$

where  $\mu_q = \frac{\mu}{\mu-(q+1)}$ ,  $\mu \in (q+1, p_s^*)$ . Thus  $\mathcal{J}_{\lambda, M}$  is coercive and bounded below on  $\mathcal{N}_{\lambda, M}(\Omega)$ . □

**Lemma 2.2** *Let (H1)-(H3) hold. There exists  $\lambda_1 > 0$  such that for any  $\lambda \in (0, \lambda_1)$ , we have  $\mathcal{N}_{\lambda, M}^0(\Omega) = \emptyset$ .*

*Proof* If not, that is,  $\mathcal{N}_{\lambda, M}^0(\Omega) \neq \emptyset$  for each  $\lambda > 0$ , then by (2.3) and the Hölder and Sobolev inequalities, we have for  $u_0 \in \mathcal{N}_{\lambda, M}^0(\Omega)$

$$\begin{aligned}
 a(r-p+1)\|u_0\|_{X_0}^p & \leq a(r-p+1)\|u_0\|_{X_0}^p + b(r-p^2+1)\|u_0\|_{X_0}^{p^2} \\
 & = \lambda(r-q) \int_{\Omega} f|u_0|^{q+1} dx,
 \end{aligned}$$

which implies that

$$\begin{aligned}
 \|u_0\|_{X_0}^p & \leq \frac{\lambda(r-q)}{a(r-p+1)} \int_{\Omega} f|u_0|^{q+1} dx \\
 & \leq \frac{\lambda(r-q)}{a(r-p+1)} \|f\|_{L^{\mu q} S_{\mu}^{q+1}} \|u_0\|_{X_0}^{q+1}
 \end{aligned}$$

and so

$$\|u_0\|_{X_0} \leq \left( \frac{\lambda(r-q)}{a(r-p+1)} \|f\|_{L^{\mu q} S_{\mu}^{q+1}} \right)^{\frac{1}{p-q-1}}. \tag{2.5}$$

Similarly, we obtain by (2.4) and the Hölder and Sobolev inequalities

$$\|u_0\|_{X_0}^p \leq \frac{r-q}{a(p-q+1)} \|g\|_{L^{vr} S_v^{r+1}} \|u_0\|_{X_0}^{r+1},$$

which implies that

$$\|u_0\|_{X_0} \geq \left( \frac{a(p-q+1)}{r-q} \|g\|_{L^{vr} S_v^{-(r+1)}}^{-1} \right)^{\frac{1}{r-p+1}}. \tag{2.6}$$

But (2.5) contradicts (2.6) if  $\lambda$  is sufficiently small. Hence, we conclude that there exists  $\lambda_1 > 0$  such that  $\mathcal{N}_{\lambda, M}^0(\Omega) = \emptyset$  for  $\lambda \in (0, \lambda_1)$ . □

Let

$$c_{\lambda} = \inf_{u \in \mathcal{N}_{\lambda, M}(\Omega)} \mathcal{J}_{\lambda, M}(u).$$

From Lemma 2.2, for  $\lambda \in (0, \lambda_1)$ , we write  $\mathcal{N}_{\lambda, M}(\Omega) = \mathcal{N}_{\lambda, M}^+(\Omega) \cup \mathcal{N}_{\lambda, M}^-(\Omega)$  and define

$$c_\lambda^+ = \inf_{u \in \mathcal{N}_{\lambda, M}^+(\Omega)} \mathcal{J}_{\lambda, M}(u) \quad \text{and} \quad c_\lambda^- = \inf_{u \in \mathcal{N}_{\lambda, M}^-(\Omega)} \mathcal{J}_{\lambda, M}(u).$$

**Lemma 2.3** (i) *If  $u \in \mathcal{N}_{\lambda, M}^+(\Omega)$ , then  $\int_\Omega f|u|^{q+1} dx > 0$ .*  
 (ii) *If  $u \in \mathcal{N}_{\lambda, M}^-(\Omega)$ , then  $\int_\Omega g|u|^{r+1} dx > 0$ .*

The proof is immediate from (2.3) and (2.4).

Define the function  $k_u : \mathbb{R}^+ \rightarrow \mathbb{R}$  as follows:

$$k_u(t) = t^{p-q-1} M(t^p \|u\|_{X_0}^p) \|u\|_{X_0}^p - t^{r-q} \int_\Omega g|u|^{r+1} dx \quad t > 0. \tag{2.7}$$

Obviously,  $tu \in \mathcal{N}_{\lambda, M}(\Omega)$  if and only if  $k_u(t) = \lambda \int_\Omega f|u|^{q+1} dx$ . Moreover,

$$\begin{aligned} k'_u(t) &= (p-q-1)t^{p-q-2} M(t^p \|u\|_{X_0}^p) \|u\|_{X_0}^p + pt^{2p-q-2} M'(t^p \|u\|_{X_0}^p) \|u\|_{X_0}^{2p} \\ &\quad - (r-q)t^{r-q-1} \int_\Omega g|u|^{r+1} dx, \end{aligned} \tag{2.8}$$

which implies that  $t^q k'_u(t) = h'_{\lambda, M}(t)$  for  $tu \in \mathcal{N}_{\lambda, M}(\Omega)$ . That is,  $u \in \mathcal{N}_{\lambda, M}^+(\Omega)$  (or  $\mathcal{N}_{\lambda, M}^-(\Omega)$ ) if and only if  $k'_u(t) > 0$  (or  $< 0$ ).

Set

$$\begin{aligned} A &= \frac{a(r-p+1)}{r-q} \left( \frac{a(p-q-1)}{(r-q) \|g\|_{L^{vr} S_v^{r+1}}} \right)^{\frac{p-q-1}{r-p+1}} \\ &\quad + \frac{b(r-p^2+1)}{r-q} \left( \frac{a(p-q-1)}{(r-q) \|g\|_{L^{vr} S_v^{r+1}}} \right)^{\frac{p^2-q-1}{r-p+1}}. \end{aligned} \tag{2.9}$$

**Lemma 2.4** *Assume that (H1)-(H3) hold. Let  $\lambda_2 = \frac{A}{\|f\|_{L^{\mu q} S_\mu^{q+1}}}$ . Then, for each  $u \in X_0 \setminus \{0\}$  and  $\lambda \in (0, \lambda_2)$ , we have:*

(1) *If  $\int_\Omega f|u|^{q+1} dx \leq 0$ , then there exists a unique  $t^- = t^-(u) > t_{\max}(u)$  such that  $t^-u \in \mathcal{N}_{\lambda, M}^-(\Omega)$  and*

$$\mathcal{J}_{\lambda, M}(t^-u) = \sup_{t \geq 0} \mathcal{J}_{\lambda, M}(tu) > 0. \tag{2.10}$$

(2) *If  $\int_\Omega f|u|^{q+1} dx > 0$ , then there exists a unique  $0 < t^+ = t^+(u) < t_{\max}(u) < t^-$  such that  $t^+u \in \mathcal{N}_{\lambda, M}^+(\Omega)$ ,  $t^-u \in \mathcal{N}_{\lambda, M}^-(\Omega)$  and*

$$\mathcal{J}_{\lambda, M}(t^+u) = \inf_{0 \leq t \leq t_{\max}(u)} \mathcal{J}_{\lambda, M}(tu), \quad \mathcal{J}_{\lambda, M}(t^-u) = \sup_{t \geq 0} \mathcal{J}_{\lambda, M}(tu). \tag{2.11}$$

*Proof* From (2.7) and (2.8), we have

$$k_u(t) = at^{p-q-1} \|u\|_{X_0}^p + bt^{p^2-q-1} \|u\|_{X_0}^{p^2} - t^{r-q} \int_\Omega g|u|^{r+1} dx \quad t \geq 0,$$

and

$$k'_u(t) = t^{-q-1} \left[ a(p-q-1)t^{p-1} \|u\|_{X_0}^p + b(p^2-q-1)t^{p^2-1} \|u\|_{X_0}^{p^2} - (r-q)t^r \int_\Omega g|u|^{r+1} dx \right],$$

which implies that  $k_u(0) = 0, k_u(t) \rightarrow -\infty$  as  $t \rightarrow \infty, \lim_{t \rightarrow 0^+} k'_u(t) > 0$  and  $\lim_{t \rightarrow \infty} k'_u(t) < 0$ . Thus there exists a unique  $t_{\max}(u) := t_{\max} > 0$  such that  $k_u(t)$  is increasing on  $(0, t_{\max})$ , decreasing on  $(t_{\max}, \infty)$  and  $k'_u(t_{\max}) = 0$ . Moreover,  $t_{\max}$  is the root of

$$a(p - q - 1)t_{\max}^{p-1} \|u\|_{X_0}^p + b(p^2 - q - 1)t_{\max}^{p^2-1} \|u\|_{X_0}^{p^2} - (r - q)t_{\max}^r \int_{\Omega} g|u|^{r+1} dx = 0. \tag{2.12}$$

From (2.12), we obtain

$$t_{\max} \geq \left( \frac{a(p - q - 1)\|u\|_{X_0}^p}{(r - q) \int_{\Omega} g|u|^{r+1} dx} \right)^{\frac{1}{r-p+1}} \geq \frac{1}{\|u\|_{X_0}} \left( \frac{a(p - q - 1)}{(r - q)\|g\|_{L^{\nu_r} S_v^{r+1}}} \right)^{\frac{1}{r-p+1}} := t_*. \tag{2.13}$$

Hence, we have by (2.12), (2.13), and the Hölder and Sobolev inequalities

$$\begin{aligned} k_u(t_{\max}) &= t_{\max}^{p-q-1} \left[ a\|u\|_{X_0}^p + bt_{\max}^{p(p-1)} \|u\|_{X_0}^{p^2} - t_{\max}^{r-p+1} \int_{\Omega} g|u|^{r+1} dx \right] \\ &= \frac{a(r - p + 1)}{r - q} t_{\max}^{p-q-1} \|u\|_{X_0}^p + \frac{b(r - p^2 + 1)}{r - q} t_{\max}^{p^2-q-1} \|u\|_{X_0}^{p^2} \\ &\geq \frac{a(r - p + 1)}{r - q} t_*^{p-q-1} \|u\|_{X_0}^p + \frac{b(r - p^2 + 1)}{r - q} t_*^{p^2-q-1} \|u\|_{X_0}^{p^2} \\ &\geq \frac{a(r - p + 1)}{r - q} \left( \frac{a(p - q - 1)}{(r - q)\|g\|_{L^{\nu_r} S_v^{r+1}}} \right)^{\frac{p-q-1}{r-p+1}} \|u\|_{X_0}^{q+1} \\ &\quad + \frac{b(r - p^2 + 1)}{r - q} \left( \frac{a(p - q - 1)}{(r - q)\|g\|_{L^{\nu_r} S_v^{r+1}}} \right)^{\frac{p^2-q-1}{r-p+1}} \|u\|_{X_0}^{q+1} \\ &= A\|u\|_{X_0}^{q+1}. \end{aligned} \tag{2.14}$$

Case (1):  $\int_{\Omega} f|u|^{q+1} dx \leq 0$ . Then  $k_u(t) = \lambda \int_{\Omega} f|u|^{q+1} dx$  has unique solution  $t^- > t_{\max}$  and  $k'_u(t^-) < 0$ . On the other hand, we have

$$\begin{aligned} &a(p - q - 1)\|t^-u\|_{X_0}^p + b(p^2 - q - 1)\|t^-u\|_{X_0}^{p^2} - (r - q) \int_{\Omega} g|t^-u|^{r+1} dx \\ &= (t^-)^{2+q} \left[ a(p - q - 1)(t^-)^{p-q-2} \|u\|_{X_0}^p + b(p^2 - q - 1)(t^-)^{p^2-q-2} \|u\|_{X_0}^{p^2} \right. \\ &\quad \left. - (r - q)(t^-)^{r-q-1} \int_{\Omega} g|u|^{r+1} dx \right] \\ &= (t^-)^{2+q} k'_u(t^-) < 0 \end{aligned}$$

and

$$\begin{aligned} &\langle \mathcal{J}'_{\lambda, M}(t^-u), t^-u \rangle \\ &= a(t^-)^p \|u\|_{X_0}^p + b(t^-)^{p^2} \|u\|_{X_0}^{p^2} - \lambda(t^-)^{q+1} \int_{\Omega} f|u|^{q+1} dx - (t^-)^{r+1} \int_{\Omega} g|u|^{r+1} dx \\ &= (t^-)^{q+1} \left[ k_u(t^-) - \lambda \int_{\Omega} f|u|^{q+1} dx \right] = 0. \end{aligned}$$

Hence,  $t^-u \in \mathcal{N}_{\lambda, M}^-(\Omega)$  or  $t^- = 1$ . For  $t > t_{\max}$ , we obtain

$$\begin{aligned}
 & a(p - q - 1)\|tu\|_{X_0}^p + b(p^2 - q - 1)\|tu\|_{X_0}^{p^2} - (r - q) \int_{\Omega} g|tu|^{r+1} dx < 0, \\
 & \frac{d^2}{dt^2} \mathcal{J}_{\lambda, M}(tu) < 0, \\
 & \frac{d}{dt} \mathcal{J}_{\lambda, M}(tu) = at^{p-1}\|u\|_{X_0}^p + bt^{p^2-1}\|u\|_{X_0}^{p^2} - \lambda t^q \int_{\Omega} f|u|^{q+1} dx - t^r \int_{\Omega} g|u|^{r+1} dx = 0,
 \end{aligned}$$

for  $t = t^-$ . Thus,  $\mathcal{J}_{\lambda, M}(u) = \sup_{t \geq 0} \mathcal{J}_{\lambda, M}(tu)$ . Furthermore, we have

$$\mathcal{J}_{\lambda, M}(u) \geq \mathcal{J}_{\lambda, M}(tu) \geq \frac{a}{p}t^p\|u\|_{X_0}^p + \frac{b}{p^2}t^{p^2}\|u\|_{X_0}^{p^2} - \frac{1}{r+1}t^{r+1} \int_{\Omega} g|u|^{r+1} dx, \quad t \geq 0.$$

Let

$$h_u(t) = \frac{a}{p}t^p\|u\|_{X_0}^p + \frac{b}{p^2}t^{p^2}\|u\|_{X_0}^{p^2} - \frac{1}{r+1}t^{r+1} \int_{\Omega} g|u|^{r+1} dx, \quad t \geq 0.$$

Similar to the argument in the function  $k_u(t)$ , we see that  $h_u(t)$  achieves its maximum at  $t_m \geq \left(\frac{a\|u\|_{X_0}^p}{\int_{\Omega} g|u|^{r+1} dx}\right)^{\frac{1}{r-p+1}}$ . Thus, we have

$$\mathcal{J}_{\lambda, M}(u) \geq h_u(t_m) \geq \frac{ap(r+1-p) + b(r+1-p^2)}{p^2(r+1)} \left(\frac{a\|u\|_{X_0}^{r+1}}{\int_{\Omega} g|u|^{r+1} dx}\right)^{\frac{p}{r-p+1}} > 0.$$

Case (2):  $\int_{\Omega} f|u|^{q+1} dx > 0$ . By (2.14) and

$$\begin{aligned}
 k_u(0) &= 0 < \lambda \int_{\Omega} f|u|^{q+1} dx \leq \lambda \|f\|_{L^{\mu q}} S_{\mu}^{q+1} \|u\|_{X_0}^{q+1} \\
 &< \lambda_2 \|f\|_{L^{\mu q}} S_{\mu}^{q+1} \|u\|_{X_0}^{q+1} = A \|u\|_{X_0}^{q+1} \leq k_u(t_{\max}), \quad \text{for } \lambda \in (0, \lambda_2).
 \end{aligned}$$

Then there exist  $t^+$  and  $t^-$  such that  $0 < t^+ < t_{\max} < t^-$ ,

$$k_u(t^+) = \lambda \int_{\Omega} f|u|^{q+1} dx = k_u(t^-).$$

Moreover, we have  $k'_u(t^+) > 0$  and  $k'_u(t^-) < 0$ . Thus, there are two multiples of  $u$  lying in  $\mathcal{N}_{\lambda, M}(\Omega)$ , that is,  $t^+u \in \mathcal{N}_{\lambda, M}^+(\Omega)$  and  $t^-u \in \mathcal{N}_{\lambda, M}^-(\Omega)$ , and  $\mathcal{J}_{\lambda, M}(t^-u) \geq \mathcal{J}_{\lambda, M}(tu) \geq \mathcal{J}_{\lambda, M}(t^+u)$  for each  $t \in [t^+, t^-]$  and  $\mathcal{J}_{\lambda, M}(t^+u) \leq \mathcal{J}_{\lambda, M}(tu)$  for each  $t \in [0, t^+]$ . Hence,  $t^- = 1$  and

$$\mathcal{J}_{\lambda, M}(u) = \sup_{t \geq 0} \mathcal{J}_{\lambda, M}(tu), \quad \mathcal{J}_{\lambda, M}(t^+u) = \inf_{0 \leq t \leq t_{\max}} \mathcal{J}_{\lambda, M}(tu). \quad \square$$

**Lemma 2.5** *If (H3) holds, then we have  $c_{\lambda} \leq c_{\lambda}^+ < 0$ .*

*Proof* For  $u \in \mathcal{N}_{\lambda, M}^+$ , we get

$$(r - q)\lambda \int_{\Omega} f|u|^{q+1} dx > a(r - p + 1)\|u\|_{X_0}^p + b(r - p^2 + 1)\|u\|_{X_0}^{p^2}.$$

Thus, we have

$$\begin{aligned}
 J_{\lambda, M}(u) &= \frac{a(r-p+1)}{p(r+1)} \|u\|_{X_0}^p + \frac{b(r-p^2+1)}{p^2(r+1)} \|u\|_{X_0}^{p^2} - \frac{\lambda(r-q)}{(q+1)(r+1)} \int_{\Omega} f|u|^{q+1} dx \\
 &< \frac{a(r-p+1)}{r+1} \left[ \frac{1}{p} - \frac{1}{q+1} \right] \|u\|_{X_0}^p + \frac{b(r-p^2+1)}{r+1} \left[ \frac{1}{p^2} - \frac{1}{q+1} \right] \|u\|_{X_0}^{p^2} < 0,
 \end{aligned}$$

which implies that  $c_{\lambda} \leq c_{\lambda}^+ < 0$ . □

### 3 Main results

Using the idea of Ni-Takagi [10], we have the following.

**Lemma 3.1** *For each  $u \in \mathcal{N}_{\lambda, M}(\Omega)$ , there exist  $\epsilon > 0$  and a differentiable function  $\xi : B(0; \epsilon) \subset X_0 \rightarrow \mathbb{R}^+$  such that  $\xi(0) = 1$ , the function  $\xi(v)(u - v) \in \mathcal{N}_{\lambda, M}(\Omega)$  and*

$$\langle \xi'(0), v \rangle = \frac{W}{a(p-q-1)\|u\|_{X_0}^p + b(p^2-q-1)\|u\|_{X_0}^{p^2} - (r-q) \int_{\Omega} g|u|^{r+1} dx}, \tag{3.1}$$

for all  $v \in X_0$ , where

$$\begin{aligned}
 W &= ap \int_Q \frac{|u(x) - u(y)|^{p-2} (u(x) - u(y))(v(x) - v(y))}{|x - y|^{N+sp}} dx dy \\
 &\quad + bp^2 \int_Q \frac{|u(x) - u(y)|^{p^2-2} (u(x) - u(y))(v(x) - v(y))}{|x - y|^{N+sp^2}} dx dy \\
 &\quad - (q+1)\lambda \int_{\Omega} f|u|^{q-1} uv dx - (r+1) \int_{\Omega} g|u|^{r-1} uv dx.
 \end{aligned} \tag{3.2}$$

*Proof* For  $u \in \mathcal{N}_{\lambda, M}(\Omega)$ , we define a function  $\mathcal{F} : \mathbb{R} \times X_0 \rightarrow \mathbb{R}$  by

$$\begin{aligned}
 \mathcal{F}_u(\xi, w) &= \langle \mathcal{J}'_{\lambda, M}(\xi(u - w)), \xi(u - w) \rangle \\
 &= \xi^p M(\xi^p \|u - w\|_{X_0}^p) \|u - w\|_{X_0}^p \\
 &\quad - \xi^{q+1} \lambda \int_{\Omega} f|u - w|^{q+1} dx - \xi^{r+1} \int_{\Omega} g|u - w|^{r+1} dx \\
 &= a\xi^p \|u - w\|_{X_0}^p + b\xi^{p^2} \|u - w\|_{X_0}^{p^2} \\
 &\quad - \xi^{q+1} \lambda \int_{\Omega} f|u - w|^{q+1} dx - \xi^{r+1} \int_{\Omega} g|u - w|^{r+1} dx.
 \end{aligned}$$

Then  $\mathcal{F}_u(1, 0) = \langle \mathcal{J}'_{\lambda, M}(u), u \rangle = 0$  and

$$\begin{aligned}
 \frac{d}{d\xi} \mathcal{F}_u(1, 0) &= ap \|u\|_{X_0}^p + bp^2 \|u\|_{X_0}^{p^2} - (q+1)\lambda \int_{\Omega} f|u|^{q+1} dx - (r+1) \int_{\Omega} g|u|^{r+1} dx \\
 &= a(p-q-1)\|u\|_{X_0}^p + b(p^2-q-1)\|u\|_{X_0}^{p^2} - (r-q) \int_{\Omega} g|u|^{r+1} dx \neq 0.
 \end{aligned}$$

From the implicit function theorem, we know that there exist  $\epsilon > 0$  and a differentiable function  $\xi : B(0; \epsilon) \subset X_0 \rightarrow \mathbb{R}$  such that  $\xi(0) = 1$ ,

$$\langle \xi'(0), v \rangle = \frac{W}{a(p - q - 1)\|u\|_{X_0}^p + b(p^2 - q - 1)\|u\|_{X_0}^{p^2} - (r - q) \int_{\Omega} g|u|^{r+1} dx},$$

where  $W$  is as in (3.2), and

$$\mathcal{F}_u(\xi(v), v) = 0 \quad \text{for all } v \in B(0; \epsilon)$$

which is equivalent to

$$\langle \mathcal{J}'_{\lambda, M}(\xi(v)(u - v)), \xi(v)(u - v) \rangle = 0 \quad \text{for all } v \in B(0; \epsilon),$$

which implies that  $\xi(v)(u - v) \in \mathcal{N}_{\lambda, M}(\Omega)$ . □

Similar to the argument in Lemma 3.1, we can obtain the following lemma.

**Lemma 3.2** *For each  $u \in \mathcal{N}_{\lambda, M}^-(\Omega)$ , there exist  $\epsilon > 0$  and a differentiable function  $\xi^- : B(0; \epsilon) \subset X_0 \rightarrow \mathbb{R}^+$  such that  $\xi^-(0) = 1$ , the function  $\xi^-(v)(u - v) \in \mathcal{N}_{\lambda, M}^-(\Omega)$  and*

$$\langle (\xi^-)'(0), v \rangle = \frac{W}{a(p - q - 1)\|u\|_{X_0}^p + b(p^2 - q - 1)\|u\|_{X_0}^{p^2} - (r - q) \int_{\Omega} g|u|^{r+1} dx},$$

for all  $v \in X_0$ , where  $W$  is as in (3.2).

Let

$$(H4) \quad p < 2 + \frac{(r-1)q}{r}.$$

Moreover, we let

$$p^* = \frac{(p-2)r}{r-1} - q$$

and

$$\begin{aligned} \lambda_3 &= \left( \frac{a(p - q - 1)(r - p^2 + 1)}{(r - q)(p^2 - q - 1)} \right) \left( \frac{a(p - q - 1)}{r - q} \right)^{\frac{(p-q-1)}{(p-q-1-p^*)(r-1)}} \\ &\quad \times \left( \frac{1}{\|f\|_{L^{\mu q}} S_{\mu}^{q+1}} \right) \left( \frac{1}{\|g\|_{L^{\nu r}} S_{\nu}^{r+1}} \right)^{\frac{(p-q-1)}{(r-1)(p-q-1-p^*)}}. \end{aligned}$$

**Remark 3.1** By (H4) we know that  $p^* < 0$ .

**Lemma 3.3** *Assume that (H1)-(H4) hold. Let  $\Gamma_0 = \min\{\lambda_1, \lambda_2, \lambda_3\}$ , then for  $\lambda \in (0, \Gamma_0)$ :*

(i) *There exists a minimizing sequence  $\{u_n\} \subset \mathcal{N}_{\lambda, M}(\Omega)$  such that*

$$\mathcal{J}_{\lambda, M}(u_n) = c_{\lambda} + o(1), \quad \mathcal{J}'_{\lambda, M}(u_n) = o(1) \quad \text{in } (X_0)^*.$$

(ii) *There exists a minimizing sequence  $\{u_n\} \subset \mathcal{N}_{\lambda, M}^-(\Omega)$  such that*

$$\mathcal{J}_{\lambda, M}(u_n) = c_\lambda^- + o(1), \quad \mathcal{J}'_{\lambda, M}(u_n) = o(1) \quad \text{in } (X_0)^*.$$

*Proof* By the Ekeland variational principle [11] and Lemma 2.2, there exists a minimizing sequence  $\{u_n\} \subset \mathcal{N}_{\lambda, M}(\Omega)$  such that

$$\mathcal{J}_{\lambda, M}(u_n) < c_\lambda + \frac{1}{n} \tag{3.3}$$

and

$$\mathcal{J}_{\lambda, M}(u_n) < \mathcal{J}_{\lambda, M}(w) + \frac{1}{n} \|w - u_n\|_{X_0} \quad \forall w \in \mathcal{N}_{\lambda, M}(\Omega). \tag{3.4}$$

Let  $n$  large enough, by Lemma 2.5, we obtain

$$\begin{aligned} \mathcal{J}_{\lambda, M}(u_n) &= \frac{a(r-p+1)}{p(r+1)} \|u_n\|_{X_0}^p + \frac{b(r-p^2+1)}{p^2(r+1)} \|u_n\|_{X_0}^{p^2} - \frac{\lambda(r-q)}{(q+1)(r+1)} \int_{\Omega} f |u_n|^{q+1} dx \\ &< c_\lambda + \frac{1}{n} < \frac{c_\lambda}{2}, \end{aligned}$$

which implies that

$$\|f\|_{L^{\mu q} S_\mu^{q+1}} \|u_n\|_{X_0}^{q+1} \geq \int_{\Omega} f |u_n|^{q+1} dx > -\frac{(q+1)(r+1)}{\lambda(r-q)} \frac{c_\lambda}{2} > 0. \tag{3.5}$$

This implies  $u_n \neq 0$  and by using (3.4), (3.5), and the Hölder inequality, we get

$$\|u_n\|_{X_0} > \left[ -\frac{(q+1)(r+1)}{\lambda(r-q)} \frac{c_\lambda}{2} \|f\|_{L^{\mu q} S_\mu^{-(q+1)}}^{-1} \right]^{\frac{1}{q+1}} \tag{3.6}$$

and

$$\|u_n\|_{X_0} < \left[ \frac{\lambda p(r-q)(r+1)}{a(q+1)(r+1)(r-p+1)} \|f\|_{L^{\mu q} S_\mu^{q+1}} \right]^{\frac{1}{p-q-1}}. \tag{3.7}$$

In the following, we will prove that

$$\|\mathcal{J}'_{\lambda, M}(u_n)\|_{(X_0)^*} \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

By using Lemma 3.1 with  $u_n$  we get the functions  $\xi_n : B(0; \epsilon_n) \rightarrow \mathbb{R}^+$  for some  $\epsilon_n > 0$ , such that  $\xi_n(w)(u_n - w) \in \mathcal{N}_{\lambda, M}(\Omega)$ . For fixed  $n \in \mathbb{N}$ , we choose  $0 < \rho < \epsilon_n$ . Let  $u \in X_0$  with  $u \neq 0$  and let  $w_\rho = \frac{\rho u}{\|u\|_{X_0}}$ . Set  $\eta_\rho = \xi_n(w_\rho)(u_n - w_\rho)$ , since  $\eta_\rho \in \mathcal{N}_{\lambda, M}(\Omega)$ , we deduce from (3.4) that

$$\mathcal{J}_{\lambda, M}(\eta_\rho) - \mathcal{J}_{\lambda, M}(u_n) \geq -\frac{1}{n} \|\eta_\rho - u_n\|_{X_0} \quad \forall w \in \mathcal{N}_{\lambda, M}(\Omega),$$

and by the mean value theorem, we obtain

$$\langle \mathcal{J}'_{\lambda, M}(u_n), \eta_\rho - u_n \rangle + o(\|\eta_\rho - u_n\|_{X_0}) \geq -\frac{1}{n} \|\eta_\rho - u_n\|_{X_0}.$$

Hence,

$$\begin{aligned} & \langle \mathcal{J}'_{\lambda, M}(u_n), -w_\rho \rangle + (\xi_n(w_\rho) - 1) \langle \mathcal{J}'_{\lambda, M}(u_n), u_n - w_\rho \rangle \\ & \geq -\frac{1}{n} \|\eta_\rho - u_n\|_{X_0} + o(\|\eta_\rho - u_n\|_{X_0}). \end{aligned} \tag{3.8}$$

By  $\xi_n(w_\rho)(u_n - w_\rho) \in \mathcal{N}_{\lambda, M}(\Omega)$  and (3.8) it follows that

$$\begin{aligned} & -\rho \left\langle \mathcal{J}'_{\lambda, M}(u_n), \frac{u}{\|u\|_{X_0}} \right\rangle + (\xi_n(w_\rho) - 1) \langle \mathcal{J}'_{\lambda, M}(u_n) - \mathcal{J}'_{\lambda, M}(\eta_\rho), u_n - w_\rho \rangle \\ & \geq -\frac{1}{n} \|\eta_\rho - u_n\|_{X_0} + o(\|\eta_\rho - u_n\|_{X_0}). \end{aligned}$$

Thus,

$$\begin{aligned} \left\langle \mathcal{J}'_{\lambda, M}(u_n), \frac{u}{\|u\|_{X_0}} \right\rangle & \leq \frac{1}{n\rho} \|\eta_\rho - u_n\|_{X_0} + \frac{1}{\rho} o(\|\eta_\rho - u_n\|_{X_0}) \\ & \quad + \frac{(\xi_n(w_\rho) - 1)}{\rho} \langle \mathcal{J}'_{\lambda, M}(u_n) - \mathcal{J}'_{\lambda, M}(\eta_\rho), u_n - w_\rho \rangle. \end{aligned} \tag{3.9}$$

Since

$$\|\eta_\rho - u_n\|_{X_0} \leq \rho |\xi_n(w_\rho)| + |\xi_n(w_\rho) - 1| \|u_n\|_{X_0}$$

and

$$\lim_{n \rightarrow \infty} \frac{|\xi_n(w_\rho) - 1|}{\rho} \leq \|\xi'_n(0)\|,$$

taking the limit  $\rho \rightarrow 0$  in (3.9), we obtain

$$\left\langle \mathcal{J}'_{\lambda, M}(u_n), \frac{u}{\|u\|_{X_0}} \right\rangle \leq \frac{C}{n} (1 + \|\xi'_n(0)\|)$$

for some constant  $C > 0$ , independent of  $\rho$ . In the following, we will show that  $\|\xi'_n(0)\|$  is uniformly bounded in  $n$ . From (3.1), (3.7), and the Hölder inequality, we obtain for some  $\kappa > 0$

$$\langle \xi'_n(0), v \rangle \leq \frac{\kappa \|v\|_{X_0}}{a(p - q - 1) \|u_n\|_{X_0}^p + b(p^2 - q - 1) \|u_n\|_{X_0}^{p^2} - (r - q) \int_\Omega g |u_n|^{r+1} dx}.$$

We only need to prove that

$$\left| a(p - q - 1) \|u_n\|_{X_0}^p + b(p^2 - q - 1) \|u_n\|_{X_0}^{p^2} - (r - q) \int_\Omega g |u_n|^{r+1} dx \right| > c \tag{3.10}$$

for some  $c > 0$  and  $n$  large enough. If (3.10) is fails, then there exists a subsequence  $\{u_n\}$  such that

$$a(p - q - 1) \|u_n\|_{X_0}^p + b(p^2 - q - 1) \|u_n\|_{X_0}^{p^2} - (r - q) \int_\Omega g |u_n|^{r+1} dx = o(1). \tag{3.11}$$

Combining (3.11) with (3.6), we may find a suitable constant  $d > 0$  such that

$$\int_{\Omega} g|u_n|^{r+1} dx \geq d \quad \text{for } n \text{ sufficiently large.} \tag{3.12}$$

By (3.11) and  $u_n \in \mathcal{N}_{\lambda, M}(\Omega)$ , we have

$$\begin{aligned} & \lambda \int_{\Omega} f|u_n|^{q+1} dx \\ &= a\|u_n\|_{X_0}^p + b\|u_n\|_{X_0}^{p^2} - \int_{\Omega} g|u_n|^{r+1} dx \\ &= \frac{1}{p^2 - q - 1} (a(p^2 - q - 1)\|u_n\|_{X_0}^p + b(p^2 - q - 1)\|u_n\|_{X_0}^{p^2}) - \int_{\Omega} g|u_n|^{r+1} dx \\ &\geq \frac{1}{p^2 - q - 1} (a(p - q - 1)\|u_n\|_{X_0}^p + b(p^2 - q - 1)\|u_n\|_{X_0}^{p^2}) - \int_{\Omega} g|u_n|^{r+1} dx \\ &= \frac{r - q}{p^2 - q - 1} \int_{\Omega} g|u_n|^{r+1} dx - \int_{\Omega} g|u_n|^{r+1} dx + o(1) \\ &= \frac{r - p^2 + 1}{p^2 - q - 1} \int_{\Omega} g|u_n|^{r+1} dx + o(1). \end{aligned} \tag{3.13}$$

Moreover, we have by (3.11) and (3.13)

$$\begin{aligned} a(p - q - 1)\|u_n\|_{X_0}^p &\leq a(p - q - 1)\|u_n\|_{X_0}^p + b(p^2 - q - 1)\|u_n\|_{X_0}^{p^2} \\ &= (r - q) \int_{\Omega} g|u_n|^{r+1} dx + o(1) \\ &\leq \lambda \frac{(p^2 - q - 1)(r - q)}{r - p^2 + 1} \int_{\Omega} f|u_n|^{q+1} dx + o(1) \\ &\leq \lambda \frac{(p^2 - q - 1)(r - q)}{r - p^2 + 1} \|f\|_{L^{\mu q}} S_{\mu}^{q+1} \|u_n\|_{X_0}^{q+1} + o(1), \end{aligned}$$

which implies that

$$\|u_n\|_{X_0} \leq \left( \lambda \frac{(p^2 - q - 1)(r - q)}{a(p - q - 1)(r - p^2 + 1)} \|f\|_{L^{\mu q}} S_{\mu}^{q+1} \right)^{\frac{1}{p - q - 1}} + o(1). \tag{3.14}$$

Let

$$\mathcal{I}_{\lambda, M}(u) = K(p, q, r) \left( \frac{\|u\|_{X_0}^{pr}}{\int_{\Omega} g|u_n|^{r+1} dx} \right)^{\frac{1}{r-1}} - \lambda \int_{\Omega} f|u|^{q+1} dx,$$

where

$$K(p, q, r) = \left( \frac{a(p - q - 1)}{r - q} \right)^{\frac{r}{r-1}} \frac{r - p^2 + 1}{p^2 - q - 1}.$$

From (3.11), it is easy to see that

$$\|u_n\|_{X_0}^p \leq \frac{r - q}{a(p - q - 1)} \int_{\Omega} g|u_n|^{r+1} dx. \tag{3.15}$$

Thus,

$$\begin{aligned} \mathcal{I}_{\lambda, M}(u_n) &\leq \left( \frac{a(p-q-1)}{r-q} \right)^{\frac{r}{r-1}} \frac{r-p^2+1}{p^2-q-1} \left( \frac{(\frac{r-q}{a(p-q-1)})^r (\int_{\Omega} g|u_n|^{r+1} dx)^r}{\int_{\Omega} g|u_n|^{r+1} dx} \right)^{\frac{1}{r-1}} \\ &\quad - \frac{r-p^2+1}{p^2-q-1} \int_{\Omega} g|u_n|^{r+1} dx + o(1) \\ &= o(1). \end{aligned} \tag{3.16}$$

But, by (3.12), (3.14), and  $\lambda \in \Gamma_0$ ,

$$\begin{aligned} \mathcal{I}_{\lambda, M}(u_n) &\geq K(p, q, r) \left( \frac{\|u_n\|_{X_0}^{pr}}{\|g\|_{L^{vr}} S_v^{r+1} \|u_n\|_{X_0}^{r+1}} \right)^{\frac{1}{r-1}} - \lambda \|f\|_{L^{\mu q} S_{\mu}^{q+1}} \|u_n\|_{X_0}^{q+1} \\ &= \|u_n\|_{X_0}^{q+1} \left( K(p, q, r) \|g\|_{L^{\frac{1}{vr}} S_v^{\frac{r+1}{r}}} \|u_n\|_{X_0}^{p^*} - \lambda \|f\|_{L^{\mu q} S_{\mu}^{q+1}} \right) \\ &\geq \|u_n\|_{X_0}^{q+1} \left\{ K(p, q, r) \|g\|_{L^{\frac{1}{vr}} S_v^{\frac{r+1}{r}}} \left[ \lambda \frac{(p^2-q-1)(r-q)}{a(p-q-1)(r-p^2+1)} \|f\|_{L^{\mu q} S_{\mu}^{q+1}} \right]^{\frac{p^*}{p-q-1}} \right. \\ &\quad \left. - \lambda \|f\|_{L^{\mu q} S_{\mu}^{q+1}} \right\}, \end{aligned}$$

which contradicts (3.16), where  $p^* = \frac{(p-2)r}{r-1} - q < 0$ .

Hence, we obtain

$$\left\langle \mathcal{J}'_{\lambda, M}(u_n), \frac{u}{\|u\|_{X_0}} \right\rangle \leq \frac{C}{n}.$$

This completes the proof of (i). Similarly, we can prove (ii) by using Lemma 3.2. □

**Theorem 3.4** *Assume that (H1)-(H4) hold. For each  $0 < \lambda < \Gamma_0$  ( $\Gamma_0$  is as in Lemma 3.3), the functional  $\mathcal{J}_{\lambda, M}$  has a minimizer  $u_{\lambda}^+$  in  $\mathcal{N}_{\lambda, M}^+(\Omega)$  satisfying:*

- (1)  $\mathcal{J}_{\lambda, M}(u_{\lambda}^+) = c_{\lambda}^+ = c_{\lambda}$ ;
- (2)  $u_{\lambda}^+$  is a solution of (1.1).

*Proof* By Lemma 3.3(i), there exists a minimizing sequence  $\{u_n\} \subset \mathcal{N}_{\lambda, M}(\Omega)$  for  $\mathcal{J}_{\lambda, M}$  on  $\mathcal{N}_{\lambda, M}(\Omega)$  such that

$$\mathcal{J}_{\lambda, M}(u_n) = c_{\lambda} + o(1), \quad \mathcal{J}'_{\lambda, M}(u_n) = o(1) \quad \text{in } (X_0)^*.$$

From Lemma 2.5 and the compact embedding theorem, we see that there exist a subsequence  $\{u_n\}$  and  $u_{\lambda}^+ \in X_0$  such that

$$u_n \rightharpoonup u_{\lambda}^+ \quad \text{weakly in } X_0$$

and

$$u_n \rightarrow u_{\lambda}^+ \quad \text{strongly in } L^{\eta}(\Omega) \text{ for } 1 < \eta < p_s^*. \tag{3.17}$$

In the following we will prove that  $\int_{\Omega} f|u_{\lambda}^+|^{q+1} dx \neq 0$ . In fact, if not, by (3.17) and the Hölder inequality we can obtain

$$\int_{\Omega} f|u_n|^{q+1} dx \rightarrow \int_{\Omega} f|u_{\lambda}^+|^{q+1} dx = 0$$

as  $n \rightarrow \infty$ . Hence,

$$a\|u_n\|_{X_0}^p + b\|u_n\|_{X_0}^{p^2} = \int_{\Omega} g|u_n|^{r+1} dx + o(1)$$

and

$$\mathcal{J}_{\lambda,M}(u_n) = a\left(\frac{1}{p} - \frac{1}{r+1}\right)\|u_n\|_{X_0}^p + b\left(\frac{1}{p^2} - \frac{1}{r+1}\right)\|u_n\|_{X_0}^{p^2} + o(1),$$

which contradicts  $\mathcal{J}_{\lambda,M}(u_n) \rightarrow c_{\lambda} < 0$  as  $n \rightarrow \infty$ . Furthermore,

$$o(1) = \langle \mathcal{J}'_{\lambda,M}(u_n), \phi \rangle = \langle \mathcal{J}'_{\lambda,M}(u_{\lambda}^+), \phi \rangle + o(1) \quad \text{for all } \phi \in X_0.$$

Thus,  $u_{\lambda}^+ \in \mathcal{N}_{\lambda,M}(\Omega)$  is a nonzero solution of (1.1) and  $\mathcal{J}_{\lambda,M}(u_{\lambda}^+) \geq c_{\lambda}$ . Next, we will prove that  $\mathcal{J}_{\lambda,M}(u_{\lambda}^+) = c_{\lambda}$ . Since

$$\begin{aligned} \mathcal{J}_{\lambda,M}(u_{\lambda}^+) &= \frac{a}{p}\|u_{\lambda}^+\|_{X_0}^p + \frac{b}{p^2}\|u_{\lambda}^+\|_{X_0}^{p^2} - \frac{\lambda}{q+1} \int_{\Omega} f|u_{\lambda}^+|^{q+1} dx - \frac{1}{r+1} \int_{\Omega} g|u_{\lambda}^+|^{r+1} dx \\ &= \left(\frac{a}{p} - \frac{a}{r+1}\right)\|u_{\lambda}^+\|_{X_0}^p + \left(\frac{b}{p^2} - \frac{b}{r+1}\right)\|u_{\lambda}^+\|_{X_0}^{p^2} \\ &\quad + \left(\frac{\lambda}{r+1} - \frac{\lambda}{q+1}\right) \int_{\Omega} f|u_{\lambda}^+|^{q+1} dx \\ &\leq \liminf_{n \rightarrow \infty} \left[ \left(\frac{a}{p} - \frac{a}{r+1}\right)\|u_n\|_{X_0}^p + \left(\frac{b}{p^2} - \frac{b}{r+1}\right)\|u_n\|_{X_0}^{p^2} \right. \\ &\quad \left. + \left(\frac{\lambda}{r+1} - \frac{\lambda}{q+1}\right) \int_{\Omega} f|u_n|^{q+1} dx \right] \\ &= \liminf_{n \rightarrow \infty} \mathcal{J}_{\lambda,M}(u_n) = c_{\lambda}. \end{aligned}$$

Hence,  $\mathcal{J}_{\lambda,M}(u_{\lambda}^+) = c_{\lambda}$ . Moreover, we have  $u_{\lambda}^+ \in \mathcal{N}_{\lambda,M}^+(\Omega)$ . In fact, if  $u_{\lambda}^+ \in \mathcal{N}_{\lambda,M}^-(\Omega)$ , by Lemma 2.4, there are unique  $t^+$  and  $t^-$  such that  $t^+u_{\lambda}^+ \in \mathcal{N}_{\lambda,M}^+(\Omega)$  and  $t^-u_{\lambda}^+ \in \mathcal{N}_{\lambda,M}^-(\Omega)$ , we have  $t_{\lambda}^+ < t_{\lambda}^- = 1$ . Since

$$\frac{d}{dt} \mathcal{J}_{\lambda,M}(t_{\lambda}^+ u_{\lambda}^+) = 0 \quad \text{and} \quad \frac{d^2}{dt^2} \mathcal{J}_{\lambda,M}(t_{\lambda}^+ u_{\lambda}^+) > 0,$$

there exists  $t_{\lambda}^+ < t^* \leq t_{\lambda}^-$  such that  $\mathcal{J}_{\lambda,M}(t_{\lambda}^+ u_{\lambda}^+) < \mathcal{J}_{\lambda,M}(t^* u_{\lambda}^+)$ . By Lemma 2.4, we get

$$\mathcal{J}_{\lambda,M}(t_{\lambda}^+ u_{\lambda}^+) < \mathcal{J}_{\lambda,M}(t^* u_{\lambda}^+) \leq \mathcal{J}_{\lambda,M}(t_{\lambda}^- u_{\lambda}^+) = \mathcal{J}_{\lambda,M}(u_{\lambda}^+),$$

which is a contradiction. Since  $\mathcal{J}_{\lambda,M}(u_{\lambda}^+) = \mathcal{J}_{\lambda,M}(|u_{\lambda}^+|)$  and  $|u_{\lambda}^+| \in \mathcal{N}_{\lambda,M}^+(\Omega)$ , we see that  $u_{\lambda}^+$  is a solution of (1.1) by Lemma 2.3. □

Similarly, we can obtain the theorem of existence of a local minimum for  $\mathcal{J}_{\lambda,M}$  on  $\mathcal{N}_{\lambda,M}^-(\Omega)$  as follows.

**Theorem 3.5** *Assume that (H1)-(H4) hold. For each  $0 < \lambda < \Gamma_0$  ( $\Gamma_0$  is as in Lemma 3.3), the functional  $\mathcal{J}_{\lambda,M}$  has a minimizer  $u_\lambda^-$  in  $\mathcal{N}_{\lambda,M}^-(\Omega)$  satisfying:*

- (1)  $\mathcal{J}_{\lambda,M}(u_\lambda^-) = c_\lambda^-$ ;
- (2)  $u_\lambda^-$  is a solution of (1.1).

Finally, we give the main result of this paper as follows.

**Theorem 3.6** *Suppose that the conditions (H1)-(H4) hold. Then there exists  $\Gamma_0 > 0$  such that for  $\lambda \in (0, \Gamma_0)$ , (1.1) has at least two solutions.*

*Proof* From Theorems 3.4, 3.5, we see that (1.1) has two solutions  $u_\lambda^+$  and  $u_\lambda^-$  such that  $u_\lambda^+ \in \mathcal{N}_{\lambda,M}^+(\Omega)$ ,  $u_\lambda^- \in \mathcal{N}_{\lambda,M}^-(\Omega)$ . Since  $\mathcal{N}_{\lambda,M}^+(\Omega) \cap \mathcal{N}_{\lambda,M}^-(\Omega) = \emptyset$ , we see that  $u_\lambda^+$  and  $u_\lambda^-$  are different. □

**Remark 3.2** Obviously, if  $p = 2$ , then (H3) and (H4) hold. Moreover, if  $p = 2$ ,  $s = 1$ ,  $a = 1$ , and  $b = 0$ , then Theorem 3.6 is in agreement with Theorem 1.2 in [1].

**Competing interests**

The author declares that he has no competing interests.

**Author's contributions**

All results belong to CB.

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