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High energy solutions of modified quasilinear fourth-order elliptic equation

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Abstract

This paper focuses on the following modified quasilinear fourth-order elliptic equation:

$$\begin{cases} \Delta^2 u - (a + b \int_{\mathbb{R}^3} |\nabla u|^2 dx) \Delta u + \lambda V(x)u - \frac{1}{2} \Delta(u^2)u = f(x, u), & \text{in } \mathbb{R}^3, \\ u(x) \in H^2(\mathbb{R}^3), \end{cases}$$

where $\Delta^2 = \Delta(\Delta)$ is the biharmonic operator, $a > 0$, $b \geq 0$, $\lambda \geq 1$ is a parameter, $V \in C(\mathbb{R}^3, \mathbb{R})$, $f(x, u) \in C(\mathbb{R}^3 \times \mathbb{R}, \mathbb{R})$. $V(x)$ and $f(x, u)u$ are both allowed to be sign-changing. Under the weaker assumption $\lim_{|t| \rightarrow \infty} \frac{\int_0^t f(x, s) ds}{|t|^3} = \infty$ uniformly in $x \in \mathbb{R}^3$, a sequence of high energy weak solutions for the above problem are obtained.

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1 Introduction and main results

In this paper, we consider the following elliptic equation:

$$\begin{cases} \Delta^2 u - (a + b \int_{\mathbb{R}^3} |\nabla u|^2 dx) \Delta u + \lambda V(x)u - \frac{1}{2} \Delta(u^2)u = f(x, u), & \text{in } \mathbb{R}^3, \\ u(x) \in H^2(\mathbb{R}^3), \end{cases} \quad (1.1)$$

where $\Delta^2 = \Delta(\Delta)$ is the biharmonic operator, the constants $a > 0$, $b \geq 0$, and $\lambda \geq 1$ is a parameter. $V(x) : \mathbb{R}^3 \rightarrow \mathbb{R}$ and $f : \mathbb{R}^3 \times \mathbb{R} \rightarrow \mathbb{R}$ satisfies the following assumptions:

(V) $V \in C(\mathbb{R}^3, \mathbb{R})$, $\inf_{\mathbb{R}^3} V > -\infty$ and there exists a constant $r > 0$ such that

$$\lim_{|y| \rightarrow \infty} \text{meas} \{x \in \mathbb{R}^3 : |x - y| \leq r, V(x) \leq M\} = 0, \quad \forall M > 0;$$

(F₁) $f \in C(\mathbb{R}^3 \times \mathbb{R}, \mathbb{R})$ and there exists positive constant C_0 and $p > 4$ such that

$$|f(x, t)| \leq C_0(|t| + |t|^{p-1}), \quad \forall (x, t) \in \mathbb{R}^3 \times \mathbb{R}.$$

(F₂) $\lim_{|t| \rightarrow \infty} \frac{F(x, t)}{|t|^3} = \infty$ uniformly in $x \in \mathbb{R}^3$, where $F(x, t) = \int_0^t f(x, s) ds$.

(F₃) There exists a constant $\alpha \geq 0$ such that

$$f(x, t)t - 4F(x, t) \geq -\alpha t^2, \quad \forall (x, t) \in \mathbb{R}^3 \times \mathbb{R}.$$

(F₄) $f(x, -t) = -f(x, t)$ for all $(x, t) \in \mathbb{R}^3 \times \mathbb{R}$.

The Kirchhoff's model considers the changes in length of the string produced by transverse vibrations. It was pointed out in [1–4] that (1.1) models several physical and biological systems where u describes a process which relies on the mean of itself such as the population density. For more mathematical and physical background on Kirchhoff-type problems, we refer the reader to [1, 5–8] and the references therein. It is well known that fourth-order elliptic equation has been widely studied since Lazer and McKenna [9] first proposed to study periodic oscillations and traveling waves in a suspension bridge.

In recent years, many scholars widely studied the Schrödinger equation under variant assumptions on $V(x)$ and $f(x, u)$, such as [3, 4, 10–13]. In [10], Wu considered the following Schrödinger–Kirchhoff-type problem:

$$-\left(a + b \int_{\mathbb{R}^N} |\nabla u|^2 dx\right) \Delta u + V(x)u = f(x, u), \quad \text{in } \mathbb{R}^N (N \leq 3) \quad (1.2)$$

under these hypotheses:

(V') $V \in C(\mathbb{R}^N, \mathbb{R})$ satisfies $\inf V(x) \geq a_1 > 0$ and for each $M > 0$, $\text{meas}\{x \in \mathbb{R}^N : V(x) \leq M\} < +\infty$, where a_1 is a constant and meas denotes the Lebesgue measure in \mathbb{R}^N .

(f₁) $f \in C(\mathbb{R}^N \times \mathbb{R}, \mathbb{R})$ and $|f(x, t)| \leq C(1 + |t|^{p-1})$ for some $2 \leq p < 2^*$, where C is a positive constant;

(f₂) $f(x, t) = o(|t|)$ as $|t| \rightarrow 0$;

(f₃) $\frac{F(x, t)}{t^4} \rightarrow +\infty$ as $|t| \rightarrow +\infty$ uniformly in $\forall x \in \mathbb{R}^N$;

(f₄) $tf(x, t) \geq 4F(x, t)$, $\forall x \in \mathbb{R}^N$, $\forall t \in \mathbb{R}$.

Here (f₃) is essential in these references to overcome the missing of compactness. The author got a nontrivial solution of (1.2). In [8], Zhang and Tang also considered the problem (1.2) under the assumption (V), and they obtained infinitely many high energy solutions of the problem (1.2). In [11], Nie studied the following Schrödinger–Kirchhoff-type equation:

$$\begin{cases} -(a + b \int_{\mathbb{R}^3} |\nabla u|^2 dx) \Delta u + \lambda V(x)u = f(x, u), & \text{in } \mathbb{R}^3, \\ u(x) \rightarrow 0 & \text{as } |x| \rightarrow \infty, \end{cases} \quad (1.3)$$

under the assumption (V'). They got a sequence of high energy weak solutions whenever $\lambda > 0$ is sufficiently large. In [14], Xu and Chen also used condition (V') to study the problem (1.3).

More recently, Cheng and Tang [15] studied the following elliptic equation:

$$\begin{cases} \Delta^2 u - \Delta u + V(x)u - \frac{1}{2} \Delta(u^2)u = f(x, u), & \text{in } \mathbb{R}^N \\ u(x) \in H^2(\mathbb{R}^N), \end{cases} \quad (1.4)$$

under the assumption (f₃). Clearly, the problem (1.1) is equivalent to (1.4) whenever $N = 3$, $a = 1$, $b = 0$, $\lambda = 1$, and condition (f₃) is stronger than (F₂).

Motivated by the work we discussed above, we will use weaker conditions (F_2) , (F_3) instead of the common assumptions (f_3) , (f_4) , while $V(x)$ and $f(x, u)u$ are both allowed to be sign-changing. We will further study and establish the existence of infinitely many high energy solutions of (1.1) whenever $\lambda \geq 1$, by using the fountain theorem [16, 17] or its other versions [18, 19]. To the best of our knowledge, there is little work concerning this case up to now.

The following are our main results.

Theorem 1.1 *Assume that (V) and (F_1) – (F_4) are satisfied, then problem (1.1) possesses infinitely many high energy solutions whenever $\lambda \geq 1$.*

Corollary 1.2 *Assume that (V) and (F_1) – (F_4) are satisfied, then problem (1.3) possesses infinitely many high energy nontrivial solutions whenever $\lambda \geq 1$.*

Remark 1.3 Obviously, the condition (V) is weaker than (V') ; (F_1) is weaker than (f_1) and (f_2) ; (F_3) is weaker than (f_7) [14] and (f_4) ; (F_2) is weaker than (g_2) [15]. Furthermore, we do not require λ large enough, but we only need $\lambda \geq 1$. Therefore, our results extend and improve Theorem 1 [10], Theorem 1.2 [11], Theorem 1.3 [14], Theorem 1.1 [8], Theorem 1.4 [15] and so on.

Remark 1.4 There are many functions satisfying assumptions (F_1) – (F_4) not (f_3) . For example

$$f(x, u) = 4u^3 - \frac{2u(1+u^2)\ln(1+u^2) + 2u^3 - 2u^3\ln(1+u^2)}{(1+u^2)^2}$$

for all $(x, u) \in \mathbb{R}^3 \times \mathbb{R}$.

Indeed, $F(x, u) = u^4 - \frac{u^2\ln(1+u^2)}{1+u^2}$, then we can find a positive constant α such that

$$f(x, u)u - 4F(x, u) + \alpha u^2 = \frac{2u^2\ln(1+u^2) - 2u^4 + \alpha u^6 + 2\alpha u^4 + \alpha u^2}{(1+u^2)^2} \geq 0.$$

2 Preliminary lemmas and proof of our main result

In order to apply the variational method, we first recall some related preliminaries and establish a corresponding variational framework for our problem (1.1); then we give the proof of Theorem 1.1.

For $1 < s < +\infty$, define the Sobolev space

$$W^{m,s}(\mathbb{R}^N) = \{u \in L^s(\mathbb{R}^N) \mid D^\alpha u \in L^s(\mathbb{R}^N), |\alpha| \leq m\}$$

equipped with the norm

$$\|u\|_{W^{m,s}(\mathbb{R}^N)} = \left(\sum_{|\alpha| \leq m} \int_{\mathbb{R}^N} |D^\alpha u|^s dx \right)^{\frac{1}{s}},$$

where $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_N)$ with $\alpha_i \in \mathbb{Z}^+$ (the set of all non-negative integers), $i = 1, 2, \dots, N$, $|\alpha| = \alpha_1 + \alpha_2 + \dots + \alpha_N$ and

$$D^\alpha u = \frac{\partial^{|\alpha|} u}{\partial x_1^{\alpha_1} \partial x_2^{\alpha_2} \dots \partial x_N^{\alpha_N}}.$$

For $s = 2$, $H^m(\mathbb{R}^N) = W^{m,2}(\mathbb{R}^N)$ is a Hilbert space equipped with the scalar product

$$\langle u, v \rangle_{H^m} = \sum_{|\alpha| \leq m} \int_{\mathbb{R}^N} D^\alpha u D^\alpha v \, dx$$

and the norm

$$\|u\|_{H^m} = \langle u, u \rangle_{H^m}^{\frac{1}{2}} = \left(\sum_{|\alpha| \leq m} \int_{\mathbb{R}^N} |D^\alpha u|^2 \, dx \right)^{\frac{1}{2}}.$$

Moreover, for $m = 2$ one has

$$\begin{aligned} \langle u, v \rangle_{H^2} &= \int_{\mathbb{R}^N} (\Delta u \Delta v + \nabla u \nabla v + uv) \, dx, \\ \|u\|_{H^2}^2 &= \langle u, u \rangle_{H^2} = \int_{\mathbb{R}^N} (|\Delta u|^2 + |\nabla u|^2 + u^2) \, dx, \end{aligned}$$

whenever $u, v \in H^2(\mathbb{R}^N)$.

Under assumption (V), we can find $V_0 \geq 0$ such that $\tilde{V}(x) = V(x) + V_0 \geq 1$ for all $x \in \mathbb{R}^3$. Then

$$E_\lambda = \left\{ u \in H^2(\mathbb{R}^3) : \int_{\mathbb{R}^3} (a|\nabla u|^2 + \lambda \tilde{V}(x)u^2) \, dx < \infty \right\}$$

is a Hilbert space endowed with the norm

$$\|u\|_\lambda = \left(\int_{\mathbb{R}^3} (|\Delta u|^2 + a|\nabla u|^2 + \lambda \tilde{V}(x)u^2) \, dx \right)^{\frac{1}{2}}.$$

Let

$$\begin{aligned} \Phi_\lambda(u) &= \frac{1}{2} \int_{\mathbb{R}^3} (|\Delta u|^2 + a|\nabla u|^2 + \lambda V(x)u^2) \, dx + \frac{b}{4} \left(\int_{\mathbb{R}^3} |\nabla u|^2 \, dx \right)^2 \\ &\quad + \frac{1}{2} \int_{\mathbb{R}^3} u^2 |\nabla u|^2 \, dx - \int_{\mathbb{R}^3} F(x, u) \, dx, \quad \forall u \in E_\lambda. \end{aligned} \quad (2.1)$$

By condition (V), (F_1) and the fact $\int_{\mathbb{R}^3} u^2 |\nabla u|^2 \, dx < \infty$ (see Lemma 2.2 in [20]), Φ_λ is a well-defined class C^1 functional. For all $u, v \in E_\lambda$

$$\begin{aligned} \langle \Phi'_\lambda(u), v \rangle &= \int_{\mathbb{R}^3} (\Delta u \Delta v + a \nabla u \nabla v + \lambda V(x)uv) \, dx + b \int_{\mathbb{R}^3} |\nabla u|^2 \, dx \int_{\mathbb{R}^3} \nabla u \nabla v \, dx \\ &\quad + \int_{\mathbb{R}^3} (uv |\nabla u|^2 + u^2 \nabla u \nabla v) \, dx - \int_{\mathbb{R}^3} f(x, u)v \, dx. \end{aligned} \quad (2.2)$$

Clearly, seeking a weak solution of problem (1.1) is equivalent to finding a critical point of the functional Φ_λ .

Definition 2.1 A sequence $\{u_n\} \subset E_\lambda$ is said to be a $(C)_c$ sequence if

$$\Phi_\lambda(u_n) \rightarrow c, \quad \|\Phi'_\lambda(u_n)\|_\lambda (1 + \|u_n\|_\lambda) \rightarrow 0.$$

Φ_λ is said to satisfy the $(C)_c$ condition if any $(C)_c$ sequence possesses a convergent subsequence.

$$\text{Let } E'_\lambda = \{u \in H^2(\mathbb{R}^N) : \int_{\mathbb{R}^N} (a|\nabla u|^2 + \lambda \tilde{V}(x)u^2) dx < \infty\}.$$

Lemma 2.2 Under assumption (V), the embedding $E'_\lambda \hookrightarrow L^s(\mathbb{R}^N)$ is compact for $2 \leq s < 2_*$, where $2_* = \frac{2N}{N-4}$, if $N > 4$; $2_* = +\infty$, if $N \leq 4$.

Proof Define

$$E = \left\{ u \in H^1(\mathbb{R}^N) : \int_{\mathbb{R}^N} (a|\nabla u|^2 + \lambda \tilde{V}(x)u^2) dx < \infty \right\}.$$

By Propositions 3.1 and 3.3 in [13], we know that the embedding $E \hookrightarrow L^s(\mathbb{R}^N)$ is compact for $2 \leq s < 2_*$ due to the condition (V), and the embedding $E'_\lambda \hookrightarrow E$ is continuous, therefore, the embedding $E'_\lambda \hookrightarrow L^s(\mathbb{R}^N)$ is compact for $2 \leq s < 2_*$. \square

Lemma 2.3 Under assumptions (V), (F_1) , any bounded $(C)_c$ sequence of Φ_λ has a strongly convergent subsequence in E_λ .

Proof Let $\{u_n\} \subset E_\lambda$ hold with

$$\sup_n \|u_n\|_\lambda < +\infty. \quad (2.3)$$

Then up to a subsequence, there exists a constant $c \in \mathbb{R}$ such that

$$\Phi_\lambda(u_n) \rightarrow c, \quad \Phi'_\lambda(u_n) \rightarrow 0. \quad (2.4)$$

According to Lemma 2.2, going if necessary to a subsequence, we can assume that there exists $u \in E_\lambda$ such that

$$\begin{aligned} u_n &\rightharpoonup u \quad \text{in } E_\lambda, \\ u_n &\rightarrow u \quad \text{in } L^s(\mathbb{R}^3) \quad (2 \leq s < +\infty), \\ u_n &\rightarrow u \quad \text{a.e. in } \mathbb{R}^3. \end{aligned} \quad (2.5)$$

By an elementary computation,

$$\begin{aligned} &\langle \Phi'_\lambda(u_n) - \Phi'(u), u_n - u \rangle \\ &\geq \|u_n - u\|_\lambda^2 - \lambda V_0 \int_{\mathbb{R}^3} |u_n - u|^2 dx \end{aligned}$$

$$\begin{aligned}
& + b \left(\int_{\mathbb{R}^3} |\nabla u_n|^2 dx - \int_{\mathbb{R}^3} |\nabla u|^2 dx \right) \int_{\mathbb{R}^3} \nabla u_n \nabla (u_n - u) dx \\
& + \int_{\mathbb{R}^3} (u_n |\nabla u_n|^2 - u |\nabla u|^2) (u_n - u) dx + \int_{\mathbb{R}^3} (u_n^2 - u^2) \nabla u \nabla (u_n - u) dx \\
& + \int_{\mathbb{R}^3} (f(x, u) - f(x, u_n)) (u_n - u) dx.
\end{aligned} \quad (2.6)$$

Clearly, $\lambda V_0 \int_{\mathbb{R}^3} |u_n - u|^2 dx \rightarrow 0$, and $\langle \Phi'_\lambda(u_n) - \Phi'(u), u_n - u \rangle \rightarrow 0$. Then, since $\{u_n\} \subset E_\lambda$ is bounded, we have

$$\begin{aligned}
& \left| b \left(\int_{\mathbb{R}^3} |\nabla u_n|^2 dx - \int_{\mathbb{R}^3} |\nabla u|^2 dx \right) \int_{\mathbb{R}^3} \nabla u_n \nabla (u_n - u) dx \right| \\
& \leq \left| b \left(\int_{\mathbb{R}^3} |\nabla u_n|^2 dx - \int_{\mathbb{R}^3} |\nabla u|^2 dx \right) \int_{\mathbb{R}^3} \nabla u \nabla (u_n - u) dx \right| \\
& + \left| b \left(\int_{\mathbb{R}^3} |\nabla u_n|^2 dx - \int_{\mathbb{R}^3} |\nabla u|^2 dx \right) \int_{\mathbb{R}^3} |\nabla (u_n - u)|^2 dx \right| \\
& \rightarrow 0.
\end{aligned} \quad (2.7)$$

Note that $E_\lambda \hookrightarrow H^2(\mathbb{R}^3) \hookrightarrow W^{1,s}(\mathbb{R}^3)$ for $2 \leq s \leq +\infty$,

$$\begin{aligned}
\int_{\mathbb{R}^3} |\nabla u_n|^3 dx & \leq \int_{\mathbb{R}^3} \left(|u_n|^2 + \sum_{i=1}^3 \left| \frac{\partial u_n}{\partial x_i} \right|^2 \right)^{\frac{3}{2}} dx \\
& \leq \int_{\mathbb{R}^3} \left(|u_n| + \sum_{i=1}^3 \left| \frac{\partial u_n}{\partial x_i} \right| \right)^3 dx \\
& \leq \int_{\mathbb{R}^3} \left[4 \max \left\{ |u_n|, \left| \frac{\partial u_n}{\partial x_1} \right|, \left| \frac{\partial u_n}{\partial x_2} \right|, \left| \frac{\partial u_n}{\partial x_3} \right| \right} \right]^3 dx \\
& \leq 4^3 \int_{\mathbb{R}^3} \left(|u_n|^3 + \sum_{i=1}^3 \left| \frac{\partial u_n}{\partial x_i} \right|^3 \right) dx \\
& = 4^3 \|u_n\|_{W^{1,3}(\mathbb{R}^3)}^3 \\
& \leq 4^3 S_3^3 \|u_n\|_\lambda^3,
\end{aligned} \quad (2.8)$$

where

$$S_s = \sup_{u \in E_\lambda, \|u\|_\lambda = 1} \|u\|_{W^{1,s}}, \quad \forall 2 \leq s \leq +\infty.$$

Applying (2.3)–(2.5) and (2.8), there exist constants $C_1 > 0$ such that

$$\begin{aligned}
& \left| \int_{\mathbb{R}^3} (u_n |\nabla u_n|^2 - u |\nabla u|^2) (u_n - u) dx \right| \\
& \leq \int_{\mathbb{R}^3} |u_n| |\nabla u_n|^2 |u_n - u| dx + \int_{\mathbb{R}^3} |u| |\nabla u|^2 |u_n - u| dx \\
& \leq \left(\int_{\mathbb{R}^3} |u_n|^6 dx \right)^{\frac{1}{6}} \left(\int_{\mathbb{R}^3} |\nabla u_n|^3 dx \right)^{\frac{2}{3}} \left(\int_{\mathbb{R}^3} |u_n - u|^6 dx \right)^{\frac{1}{6}}
\end{aligned}$$

$$\begin{aligned}
& + \left(\int_{\mathbb{R}^3} |u|^6 dx \right)^{\frac{1}{6}} \left(\int_{\mathbb{R}^3} |\nabla u|^3 dx \right)^{\frac{2}{3}} \left(\int_{\mathbb{R}^3} |u_n - u|^6 dx \right)^{\frac{1}{6}} \\
& \leq C_1 \|u_n - u\|_{L^6} \rightarrow 0, \quad \text{as } n \rightarrow \infty,
\end{aligned} \tag{2.9}$$

and $C'_1 > 0$ such that

$$\begin{aligned}
& \left| \int_{\mathbb{R}^3} (u_n^2 - u^2) \nabla u \nabla (u_n - u) dx \right| \\
& \leq \int_{\mathbb{R}^3} |u_n - u| |u_n + u| |\nabla u| |\nabla (u_n - u)| dx \\
& \leq \left(\int_{\mathbb{R}^3} |u_n - u|^6 dx \right)^{\frac{1}{6}} \left(\int_{\mathbb{R}^3} |u_n + u|^6 dx \right)^{\frac{1}{6}} \left(\int_{\mathbb{R}^3} |\nabla u|^3 dx \right)^{\frac{1}{3}} \left(\int_{\mathbb{R}^3} |\nabla (u_n - u)|^3 dx \right)^{\frac{1}{3}} \\
& \leq C'_1 \|u_n - u\|_{L^6} \rightarrow 0, \quad \text{as } n \rightarrow \infty.
\end{aligned} \tag{2.10}$$

By (F_1) and the Hölder inequality,

$$\begin{aligned}
& \left| \int_{\mathbb{R}^3} (f(x, u) - f(x, u_n))(u_n - u) dx \right| \\
& \leq C_0 \int_{\mathbb{R}^3} [|u| + |u|^{p-1} + |u_n| + |u_n|^{p-1}] |u_n - u| dx \\
& \leq C_0 \left[(\|u_n\|_{L^2} + \|u\|_{L^2}) \|u_n - u\|_{L^2} + (\|u_n\|_{L^p}^{p-1} + \|u\|_{L^p}^{p-1}) \|u_n - u\|_{L^p} \right].
\end{aligned}$$

Then, combining the last inequality with (2.5), we get

$$\int_{\mathbb{R}^3} (f(x, u) - f(x, u_n))(u_n - u) dx \rightarrow 0, \quad \text{as } n \rightarrow \infty. \tag{2.11}$$

Hence, the combination of (2.7) and (2.9)–(2.11) implies that

$$u_n \rightarrow u \quad \text{in } E_\lambda.$$

Therefore, the proof is complete. \square

Lemma 2.4 Assume that (V) and (F_1) – (F_3) hold, then Φ_λ satisfies the $(C)_c$ condition.

Proof Let $\{u_n\} \subset E_\lambda$ be such that

$$\Phi_\lambda(u_n) \rightarrow c, \quad \|\Phi'_\lambda(u_n)\|_\lambda (1 + \|u_n\|_\lambda) \rightarrow 0. \tag{2.12}$$

First, we prove that $\{u_n\}$ is bounded in E_λ . By (F_3) , (2.1), (2.2) and (2.12), one has

$$\begin{aligned}
c + o(1) &= \Phi_\lambda(u_n) - \frac{1}{4} \langle \Phi'_\lambda(u_n), u_n \rangle \\
&= \frac{1}{4} \int_{\mathbb{R}^3} (|\Delta u_n|^2 + a |\nabla u_n|^2 + \lambda \tilde{V}(x) u_n^2) dx \\
&\quad + \int_{\mathbb{R}^3} \left[\frac{1}{4} f(x, u_n) u_n - F(x, u_n) - \frac{\lambda}{4} V_0 u_n^2 \right] dx
\end{aligned}$$

$$\geq \frac{1}{4} \|u_n\|_\lambda^2 - \frac{\alpha + \lambda V_0}{4} \int_{\mathbb{R}^3} u_n^2 dx. \quad (2.13)$$

Thus, it remains to show that $\{u_n\}$ is bounded in $L^2(\mathbb{R}^3)$. Otherwise, suppose that $\|u_n\|_2 \rightarrow \infty$ and then $\|u_n\|_\lambda \rightarrow \infty$. Let $\omega_n = \frac{u_n}{\|u_n\|_\lambda}$, then $\|\omega_n\|_\lambda = 1$. According to Lemma 2.2, up to a subsequence, for some $\omega \in E_\lambda$, we obtain

$$\begin{aligned} \omega_n &\rightharpoonup \omega && \text{in } E_\lambda, \\ \omega_n &\rightarrow \omega && \text{in } L^2(\mathbb{R}^3), \\ \omega_n &\rightarrow \omega && \text{a.e. in } \mathbb{R}^3. \end{aligned}$$

Clearly, we deduce that $\omega \neq 0$ from (2.13). Then, for $x \in \{y \in \mathbb{R}^3 : \omega(y) \neq 0\}$, we have $|u_n(x)| \rightarrow \infty$ as $n \rightarrow \infty$. For any given $u \in H^2(\mathbb{R}^3) \setminus \{0\}$, define

$$\begin{aligned} g(t) &= \|t^{-1}u(tx)\|_{H^2}^2 - 1 \\ &= \frac{1}{t} \int_{\mathbb{R}^3} |\Delta u|^2 dx + \frac{1}{t^3} \int_{\mathbb{R}^3} |\nabla u|^2 dx + \frac{1}{t^5} \int_{\mathbb{R}^3} u^2 dx - 1, \quad \forall t > 0. \end{aligned}$$

By an elementary computation, there exists a unique $T = \tilde{t}(u) > 0$ such that

$$g(T) = 0, \quad \forall u \in H^2(\mathbb{R}^3) \setminus \{0\}.$$

This implies that $g(t) = 0$ defines a functional $T = \tilde{t}(u)$ on $H^2(\mathbb{R}^3) \setminus \{0\}$. We define $\tilde{t}(0) = 0$. It is easy to verify that $T = \tilde{t}(u)$ is continuous and $\tilde{t}(u) \rightarrow \infty$ as $\|u\|_{H^2} \rightarrow \infty$.

Due to the definition of g , for any $u \in H^2(\mathbb{R}^3) \setminus \{0\}$, there exists

$$v(x) = T^{-1}u(Tx) \in H^2(\mathbb{R}^3)$$

such that

$$\|v\|_{H^2} = 1.$$

Note that $u_n \neq 0$ for large $n \in \mathbb{N}$, then there exist

$$v_n(x) = T_n^{-1}u_n(T_n x) \in H^2(\mathbb{R}^3)$$

such that

$$\|v_n\|_{H^2} = 1.$$

That is,

$$u_n(x) = T_n v_n(T_n^{-1}x),$$

with $\|v_n\|_{H^2} = 1$ for large $n \in \mathbb{N}$. Moreover, we have

$$T_n = \tilde{t}(u_n) \rightarrow \infty \quad \text{as } n \rightarrow \infty$$

and

$$\{x \in \mathbb{R}^3 : v_n(x) \neq 0\} \neq \emptyset \quad \text{for large } n \in \mathbb{N}.$$

From (F_1) – (F_3) , there are $R_0 > 0$ and $C_2 > 0$ such that, for all $x \in \mathbb{R}^3$,

$$f(x, u)u + \alpha u^2 \geq 4F(x, u) \geq 0, \quad \forall |u| \geq R_0, \quad (2.14)$$

and

$$|f(x, u)u| \leq C_2 u^2, \quad \forall |u| \leq R_0. \quad (2.15)$$

Thus, by (F_3) , (2.1), (2.2), (2.12)–(2.15) and $\|v_n\|_{H^2} = 1$,

$$\begin{aligned} c + o(1) &= \Phi_\lambda(u_n) - \frac{1}{2} \langle \Phi'_\lambda(u_n), u_n \rangle \\ &\geq -\frac{b}{4} \|\nabla u_n\|_2^4 - \frac{\alpha}{4} \int_{\mathbb{R}^3} u_n^2 dx - \frac{1}{2} \int_{\mathbb{R}^3} u_n^2 |\nabla u_n|^2 dx + \frac{1}{4} \int_{\mathbb{R}^3} f(x, u_n) u_n dx \\ &= -\frac{bT_n^6}{4} \|\nabla v_n\|_2^4 - \frac{\alpha T_n^5}{4} \int_{\mathbb{R}^3} v_n^2 dx - \frac{T_n^5}{2} \int_{\mathbb{R}^3} v_n^2 |\nabla v_n|^2 dx \\ &\quad + \frac{T_n^3}{4} \int_{|T_n v_n| \leq R_0} f(T_n x, T_n v_n) T_n v_n dx + \frac{T_n^6}{4} \int_{|T_n v_n| \geq R_0} \frac{f(T_n x, T_n v_n) T_n v_n}{T_n^3} dx \\ &\geq \frac{T_n^6}{4} \left\{ -b - \frac{\alpha + C_2}{T_n} + \int_{|T_n v_n| \geq R_0} \frac{f(T_n x, T_n v_n) T_n v_n}{T_n^3} dx \right. \\ &\quad \left. - \frac{2 \int_{\mathbb{R}^3} v_n^2 |\nabla v_n|^2 dx}{T_n} \right\}. \end{aligned} \quad (2.16)$$

By the Hölder inequality and the Sobolev embedding inequality, we see that the sequence of integrals $\int_{\mathbb{R}^3} v_n^2 |\nabla v_n|^2 dx < \infty$, since $\|v_n\|_{H^2} = 1$; on the other hand, by (F_2) and (2.14), we have

$$\int_{|T_n v_n| \geq R_0} \frac{f(T_n x, T_n v_n) T_n v_n}{T_n^3} dx \rightarrow +\infty \quad \text{as } n \rightarrow +\infty,$$

which contradicts (2.16). Hence, $\{u_n\}$ is bounded in $L^2(\mathbb{R}^3)$. This shows that $\{u_n\}$ is bounded in E_λ due to (2.13). By Lemma 2.3, $\{u_n\}$ contains a convergent subsequence. \square

Next, we define

$$X_j = \mathbb{R}e_j, \quad Y_k = \bigoplus_{j=1}^k X_j, \quad Z_k = \overline{\bigoplus_{j=k+1}^{\infty} X_j}, \quad k \in \mathbb{Z},$$

where $\{e_j\}$ is an orthonormal basis of E_λ .

Lemma 2.5 Assume that (V) holds, then, for $2 \leq s < 2_*$,

$$\beta_k(s) = \sup_{u \in Z_k, \|u\|_\lambda = 1} \|u\|_s \rightarrow 0, \quad k \rightarrow \infty.$$

Proof By virtue of Lemma 2.2, we can prove the conclusion in a similar way to [16, Lemma 3.8] and [17, Corollary 8.18]. \square

Lemma 2.6 *Assume that (V) and (F_1) hold, then there exist constants $\rho, \alpha > 0$ such that $\Phi|_{\partial B_\rho \cap Z_m} \geq \alpha$.*

Proof From (2.1) and (F_1) , for all $u \in E_\lambda$ we have

$$\begin{aligned} \Phi_\lambda(u) &= \frac{1}{2} \int_{\mathbb{R}^3} (|\Delta u|^2 + a|\nabla u|^2 + \lambda V(x)u^2) dx + \frac{b}{4} \left(\int_{\mathbb{R}^3} |\nabla u|^2 dx \right)^2 \\ &\quad + \frac{1}{2} \int_{\mathbb{R}^3} u^2 |\nabla u|^2 dx - \int_{\mathbb{R}^3} F(x, u) dx \\ &\geq \frac{1}{2} \|u\|_\lambda^2 - \left(\frac{\lambda V_0 + C_0}{2} \|u\|_2^2 + \frac{C_0}{p} \|u\|_p^p \right). \end{aligned} \quad (2.17)$$

By virtue of Lemma 2.5, we can choose an integer $m \geq 1$, for all $u \in Z_m$, satisfying

$$\begin{aligned} \|u\|_2^2 &\leq \frac{1}{2(\lambda V_0 + C_0)} \|u\|_\lambda^2, \\ \|u\|_p^p &\leq \frac{p}{4C_0} \|u\|_\lambda^p. \end{aligned}$$

Combining this with (2.17), one has

$$\Phi_\lambda(u) \geq \frac{1}{4} \|u\|_\lambda^2 (1 - \|u\|_\lambda^{p-2}).$$

Note that, if we let $\rho = \|u\|_\lambda > 0$ be sufficiently small, then $\Phi_\lambda(u) \geq \frac{1}{8}\rho^2 > 0$. \square

Lemma 2.7 *Assume that (V), (F_1) and (F_2) hold, then, for any finite dimensional subspace $E \subset E_\lambda$, there exists $R = R(E) > 0$ such that $\Phi_\lambda|_{E \setminus B_\rho} < 0$.*

Proof According to the proof of Lemma 2.4, we know that, for any $u \in E \setminus \{0\}$, there exists a unique $T = \tilde{t}(u) > 0$ such that

$$v(x) = T^{-1}u(Tx) \in H^2(\mathbb{R}^3) \quad \text{and} \quad \|v\|_{H^2} = 1.$$

Hence

$$u(x) = Tv(T^{-1}x) \quad \text{with} \quad \|v\|_{H^2} = 1 \quad \text{and} \quad T > 0.$$

By the equivalence of norms in the finite dimensional space E , there exists $C_3 > 0$ such that

$$\min\{a, 1\} \|u\|_{H^2}^2 \leq \|u\|_\lambda^2 \leq C_3 \|u\|_2^2.$$

Combining this with

$$T = \tilde{t}(u) \rightarrow \infty \quad \text{as} \quad \|u\|_\lambda \rightarrow \infty \quad \text{uniformly in } E,$$

we find that, for any $\delta > 0$, there exists a large $R = R(E, \delta) > 0$ such that

$$T = \tilde{t}(u) \geq \delta \quad \text{for all } u \in E \text{ with } \|u\|_\lambda \geq R.$$

By (F_1) , there exists $C_4 > 0$, for all $x \in \mathbb{R}^N$, $|u| \leq R_0$ such that

$$|F(x, u)| \leq C_4 u^2,$$

where R_0 is given by (2.15). Combining (2.1) with $\|v\|_{H^2} = 1$, it follows that for all $u \in E \setminus \{0\}$

$$\begin{aligned} \Phi_\lambda(u) &= \frac{1}{2} \|u\|_\lambda^2 + \frac{b}{4} \|\nabla u\|_2^4 + \frac{1}{2} \int_{\mathbb{R}^3} u^2 |\nabla u|^2 dx - \int_{\mathbb{R}^3} \left[\frac{\lambda V_0}{2} u^2 + F(x, u) \right] dx \\ &\leq \frac{C_3}{2} \|u\|_2^2 + \frac{b}{4} \|\nabla u\|_2^4 + \frac{1}{2} \int_{\mathbb{R}^3} u^2 |\nabla u|^2 dx - \int_{\mathbb{R}^3} \left[\frac{\lambda V_0}{2} u^2 + F(x, u) \right] dx \\ &= \frac{C_3 - \lambda V_0}{2} T^5 \|v\|_2^2 + \frac{bT^6}{4} \|\nabla v\|_2^4 - T^3 \int_{\mathbb{R}^3} F(Tx, Tv) dx + \frac{1}{2} \int_{\mathbb{R}^3} u^2 |\nabla u|^2 dx \\ &\leq T^6 \left(\frac{b}{4} + \frac{C_3 + \lambda V_0 + 2C_4}{2T} - \int_{|Tv| \geq R_0} \frac{F(Tx, Tv)}{T^3} dx \right) + \frac{1}{2} \int_{\mathbb{R}^3} u^2 |\nabla u|^2 dx \\ &= \Psi(T). \end{aligned} \quad (2.18)$$

Note that $v \neq 0$, then it follows from (F_2) that

$$\frac{F(Tx, Tv)}{|Tv|^3} \rightarrow +\infty \quad \text{as } T \rightarrow +\infty.$$

Thus

$$\int_{|Tv| \geq R_0} \frac{F(Tx, Tv)}{T^3} \rightarrow +\infty \quad \text{as } T \rightarrow +\infty.$$

Combining this with (2.18), we obtain

$$\Psi(T) \rightarrow -\infty \quad \text{as } T \rightarrow +\infty.$$

Thus, there exists a large $T_0 > 0$ such that

$$\Psi(T) \leq -1$$

for all $T \geq T_0$. Taking $\delta = T_0$, then there exists a large $R = R(E) > 0$ such that

$$T = \tilde{t}(u) \geq T_0$$

for all $u \in E$ with $\|u\|_\lambda \geq R$.

Hence, $\Phi_\lambda(u) < 0$ for all $u \in E$ with $\|u\|_\lambda \geq R$. \square

Proof of Theorem 1.1 Let $X = E_\lambda$, $Y = Y_m$ and $Z = \overline{Z_m}$. Clearly, $\Phi(0) = 0$ and $\Phi(u) = \Phi(-u)$ due to (F_4) . By virtue of Lemma 2.4, Lemma 2.6, Lemma 2.7 and the fountain theorem (Theorem 3.6 [16]), problem (1.1) possesses infinitely many high energy solutions. \square

Proof of Corollary 1.2 Let us consider the Hilbert space

$$H = \left\{ u \in H^1(\mathbb{R}^3) : \int_{\mathbb{R}^3} (a|\nabla u|^2 + \lambda \tilde{V}(x)u^2) dx < \infty \right\}$$

endowed with the norm

$$\|u\| = \left(\int_{\mathbb{R}^3} (a|\nabla u|^2 + \lambda \tilde{V}(x)u^2) dx \right)^{\frac{1}{2}}.$$

Let

$$\Phi(u) = \frac{1}{2} \int_{\mathbb{R}^3} (a|\nabla u|^2 + \lambda V(x)u^2) dx + \frac{b}{4} \left(\int_{\mathbb{R}^3} |\nabla u|^2 dx \right)^2 - \int_{\mathbb{R}^3} F(x, u) dx, \quad \forall u \in H.$$

Obviously, Φ is a well-defined class C^1 functional, and the embedding $H \hookrightarrow L^s$ is compact for $2 \leq s < 6$ (see the proof of Lemma 2.2). By Lemma 2.4, Lemma 2.6, Lemma 2.7 and the fountain theorem (Theorem 3.6 [16]), problem (1.3) possesses infinitely many high energy solutions. \square

Remark 2.8 In the next paper, we wish to consider the sign-changing solutions for the biharmonic problem like in [19, 21] and so on.

3 Conclusions

In this paper, we consider a sequence of high energy weak solutions for the modified quasi-linear fourth-order elliptic equation (1.1) under rather weak conditions. We first prove that the energy functional satisfies the Cerami condition in the well-defined Hilbert space and then prove that the fountain theorem holds under the given conditions by a new technique. Our results extend and improve some recent results.

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Competing interests

The authors declare that they have no competing interests.

Authors' contributions

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