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# Sharp criteria of blow-up solutions for the cubic nonlinear beam equation

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## Abstract

In this paper, we obtain the precisely sharp criteria of blow-up and global existence for the cubic nonlinear beam equation in the  $H^2$  energy-critical and  $H^2$  sub-critical cases, respectively.

MSC: 35G25; 35B44

**Keywords:** Cubic nonlinear beam equation;  $H^2$  energy-critical; Sharp criteria; Blow-up

## **1** Introduction

In this paper, we consider the Cauchy problem of the nonlinear beam equation

$$\begin{cases} \frac{\partial^2}{\partial t^2} u + \Delta^2 u + mu - |u|^2 u = 0, \quad t \ge 0, x \in \mathbb{R}^d, \\ u(0, x) = u_0, \qquad \frac{\partial}{\partial t} u(0, x) = u_1, \end{cases}$$
(1.1)

where u = u(t, x):  $\mathbb{R} \times \mathbb{R}^d \to \mathbb{R}$  and d is the space dimension;  $\Delta^2 = \Delta \Delta$  and  $\Delta = \sum_{j=1}^d \frac{\partial^2}{\partial x_j^2}$  is the Laplacian. The parameter m > 0. In fact, Eq. (1.1) is a class of fourth-order partial differential equations having different physical settings (see [19]). In particular, when d = 1, Eq. (1.1) is called Bretherton's type equation, which appears in studying of weak interactions of dispersive waves (see [2]). When d = 2, Eq. (1.1) models the motion of the clamped plate and beams (see [15]). During the last two decades, Eq. (1.1) has been widely studied (see [18] for a review).

The local well-posedness of Cauchy problem (1.1) was established by Levandosky in [12, 13]. The stability of traveling waves and standing waves was investigated by Levandosky in [12, 13]. The asymptotic behavior and scattering properties of global solutions were widely studied in [3, 14, 17]. On the other hand, for the blow-up solutions, a sufficient condition for the existence of blow-up solutions was given by Hebey and Pausader in [9]. In the  $L^2$ -critical case: m = 1, d = 4, the sharp criteria and limiting profile of blow-up solutions were investigated by Zheng and Leng in [22].

This motivates us to further study the blow-up solutions of Eq. (1.1) in the following sense: Under what conditions will the waves become unstable to collapse (blow-up)? Under what conditions will the waves be stable for all time (global existence)? In other words, what are the sharp criteria of blow-up and global existence?

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In the present paper, we focus on the two typical cases:  $H^2$  energy-critical (i.e., d = 8) and  $H^2$  sub-critical (i.e., d = 6). Indeed, we remark that Eq. (1.1) has the scaling symmetry  $v^{\lambda} := \lambda^2 u(\lambda^4 t, \lambda x)$  provided m = 0. More precisely, when d = 8,  $v^{\lambda}$  is a solution of Eq. (1.1) provided u solves Eq. (1.1) and  $\|v^{\lambda}\|_{\dot{H}^2} = \|u\|_{\dot{H}^2}$ . This case is called the  $H^2$  energy-critical (see [9]). And when d = 6, this case is called the  $H^2$  sub-critical due to  $\|v^{\lambda}\|_{\dot{H}^s} = \|u\|_{\dot{H}^s}$ being invariant, where s < 2.

Our main arguments are from the studies of the nonlinear Schrödinger equations. In fact, Kenig and Merle in [11] and Holmer and Roudenko in [10] injected the sharp Gagliardo–Nirenberg inequality into the energy functional to obtain the sharp threshold of blow-up and global existence for the nonlinear Schrödinger equations, in which the scaling invariance and conservation of  $L^2$ -norm play a crucial role. For the cubic non-linear Schrödinger equations with defect, Goubet and Hamraoui in [7] investigated both numerically and theoretically the influence of a defect on the blow-up of radial solutions to a cubic NLS equation in dimension two.

But for Eq. (1.1), the scaling invariance and conservation of  $L^2$ -norm fail, which is the main difficulty. For the  $H^2$  energy-critical case: d = 8, by injecting some new estimates into the best constant of the Sobolev embedding inequality, we find two invariant sets and obtain the precisely sharp criteria of blow-up and global existence for Eq. (1.1). For the  $H^2$  sub-critical case: and d = 6, the best constant of the Sobolev inequality is not determined, and the method in [11] for the  $H^1$  energy-critical Schrödinger equation and the method in [10] for the  $L^2$  sup-critical nonlinear Schrödinger equation cannot be directly applied. By exploring the convex properties of the energy functional, we can find two invariant sets and obtain precisely sharp criteria of blow-up and global existence for Eq. (1.1).

#### 2 Notations and preliminaries

In this paper, we abbreviate  $L^q(\mathbb{R}^d)$ ,  $\|\cdot\|_{L^q(\mathbb{R}^d)}$ ,  $H^2(\mathbb{R}^d)$ ,  $\dot{H}^2(\mathbb{R}^d)$ , and  $\int_{\mathbb{R}^d} \cdot dx$  by  $L^q$ ,  $\|\cdot\|_q$ ,  $H^2$ ,  $\dot{H}^2$ , and  $\int \cdot dx$ . The various positive constants will be simply denoted by *C*.

The work space is defined by

$$H^{2} := \left\{ v \in L^{2} \mid \int \left( |v|^{2} + |\nabla v|^{2} + |\Delta v|^{2} \right) dx < +\infty \right\}.$$

The norm is denoted by  $\|\nu\|_{H^2} = (\|\nu\|_2^2 + \|\nabla\nu\|_2^2 + \|\Delta\nu\|_2^2)^{\frac{1}{2}}$ , which is equivalent to  $(\|\nu\|_2^2 + \|\Delta\nu\|_2^2)^{\frac{1}{2}}$ . For Cauchy problem (1.1), we define two functionals in  $H^2 \times L^2$  by

$$\begin{split} &E\left(\left(\nu(t),\frac{\partial}{\partial t}\nu(t)\right)\right) \coloneqq \int \left[\frac{1}{2}\left|\frac{\partial}{\partial t}\nu(t)\right|^2 + \frac{1}{2}\left|\Delta\nu(t)\right|^2 + \frac{1}{2}\left|\nu(t)\right|^2 - \frac{1}{4}\left|\nu(t)\right|^4\right] dx,\\ &H\left(\nu(t)\right) \coloneqq \int \left[\frac{1}{2}\left|\nu(t)\right|^2 dx - \frac{1}{4}\left|\nu(t)\right|^4\right] dx. \end{split}$$

The functionals *E* and *H* are well-defined by the Sobolev embedding theorem (see [9]). The local well-posedness of Cauchy problem (1.1) is established by Hebey and Pausader in the energy space  $H^2 \times L^2$  (see [9]) as follows.

**Proposition 2.1** Let  $m = 1, 1 \le d \le 8$ , and  $(u_0, u_1) \in H^2 \times L^2$ . There exists a unique solution u(t, x) of Cauchy problem (1.1) on [0, T) such that  $u(t, x) \in C([0, T); H^2 \times L^2)$ . Moreover,

either  $T = +\infty$  (global existence) or  $0 < T < +\infty$  and  $\lim_{t\to T} ||u(t,x)||_{H^2} = +\infty$  (blow-up). Furthermore, for all  $t \in [0, T)$ , u(t, x) satisfies the following conservation law:

$$E\left(\left(u(t),\frac{\partial}{\partial t}u(t)\right)\right) = E\left((u_0,u_1)\right).$$
(2.1)

*Remark* 2.2 It follows from Hebey and Pausader's result in [9] that the local well-posedness of Cauchy problem (1.1) is also true in  $H^2 \times L^2$ . Moreover, let  $u(t,x) \in C([0,T); H^2 \times L^2)$  be the solution of Cauchy problem (1.1). If  $0 < T < +\infty$ , then  $\lim_{t\to T} ||u(t,x)||_{H^2} = +\infty$  or  $\limsup_{t\to T} ||u(t,x)||_{L^q_t([0,T); L^r_x)} = +\infty$  (blow-up), where  $(q,r) = (\frac{2(d+4)}{d-4}, \frac{2d(d+4)}{(d-4)(d+2)})$  is B-admissible.

Next, we introduce two important sharp inequalities, which are used to describe the sharp criteria of blow-up and global existence for Cauchy problem (1.1).

**Lemma 2.3** Let  $v \in H^2$ . Then

$$\|\nu\|_{4}^{4} \leq \frac{2}{\|Q\|_{2}^{2}} \|\nu\|_{2}^{\frac{8-d}{2}} \|\Delta\nu\|_{2}^{\frac{d}{2}},\tag{2.2}$$

where Q is a ground state of

$$\frac{d}{4}\Delta^2 Q + \frac{8-d}{4}Q - |Q|^2 Q = 0, \quad Q \in H^2.$$
(2.3)

**Lemma 2.4** Let d = 8 and  $W(x) = \frac{8\sqrt{30}}{(1+|x|^2)^2}$  solve

$$\Delta^2 W - |W|^2 W = 0, \quad W \in \dot{H}^2.$$
(2.4)

Then the best constant  $C_* > 0$  of the Sobolev inequality

$$\|v\|_{4} \le C_{*} \|\Delta v\|_{2}, \quad v \in \dot{H}^{2}$$
(2.5)

is given by  $C_* = \|\Delta W\|_2^{-\frac{1}{2}}$ , where W is the solution of (2.4).

*Remark* 2.5 The sharp Gagliardo–Nirenberg inequality (2.2) was obtained by Fibich, Ilan, and Papanicolaou in [6], and the existence of the ground state solution of Eq. (2.3) was proved by Zhu, Zhang, and Yang in [23]. For the sharp generalized Gagliardo–Nirenberg inequalities (2.2) with fractional order derivatives, the readers can refer to [4, 5, 8, 21, 24, 25]. The sharp Sobolev inequality (2.5) was established in [1, 16, 20].

### 3 Sharp criteria of blow-up and global existence

In this section, we first consider the  $H^2$  energy-critical case: p = 3 and d = 8. By constructing the invariants, we can find the sharp criteria of blow-up and global existence for Cauchy problem (1.1).

**Theorem 3.1** *Let* m = 1, p = 3, d = 8, and *W* be the solution of (2.4). Assume that  $(u_0, u_1) \in H^2 \times L^2$  and

$$E((u_0, u_1)) < \frac{1}{4} \|\Delta W\|_2^2.$$
(3.1)

Then

(i) if ||∆u₀||<sup>2</sup><sub>2</sub> + ||u₀||<sup>2</sup><sub>2</sub> < ||∆W||<sup>2</sup><sub>2</sub>, then the solution u(t,x) of Cauchy problem (1.1) exists globally, and u(t,x) satisfies that, for all time t,

$$\left\|\Delta u(t)\right\|_{2}^{2} + \left\|u(t)\right\|_{2}^{2} < \|\Delta W\|_{2}^{2}, \tag{3.2}$$

(ii) if  $\|\Delta u_0\|_2^2 + \|u_0\|_2^2 > \|\Delta W\|_2^2$ , then the solution u(t, x) of Cauchy problem (1.1) blows up in finite time  $0 < T < +\infty$ .

*Proof* Firstly, applying the best constant of Sobolev inequality (2.5) to the energy functional *E*, for all  $t \in I$  (maximal existence interval), we get

$$E\left(\left(u(t),\frac{\partial}{\partial t}u(t)\right)\right) \geq \frac{1}{2}\left(\left\|\Delta u(t)\right\|_{2}^{2} + \left\|u(t)\right\|_{2}^{2}\right) - \frac{C_{*}^{4}}{4}\left(\left\|\Delta u(t)\right\|_{2}^{2}\right)^{2}$$
$$\geq \frac{1}{2}\left(\left\|\Delta u(t)\right\|_{2}^{2} + \left\|u(t)\right\|_{2}^{2}\right) - \frac{C_{*}^{4}}{4}\left(\left\|\Delta u(t)\right\|_{2}^{2} + \left\|u(t)\right\|_{2}^{2}\right)^{2}, \quad (3.3)$$

where  $C_* = \|\Delta W\|_2^{-\frac{1}{2}}$  and W is the solution of (2.4). Now, define a function on the interval  $[0, +\infty)$  by

$$f(y) := \frac{1}{2}y^2 - \frac{C_*^4}{4}y^4.$$

Then, we see that  $f'(y) = y - C_*^4 y^3 = y(1 - C_*^4 y^2)$ , and there are two roots for the equation f'(y) = 0:  $y_1 = 0$ ,  $y_2 = \frac{1}{C_*^2} = \|\Delta W\|_2$ . Hence,  $y_1$  is the minimizer of f(y) and  $y_2$  is the maximum of f(y). Meanwhile, f(y) is increasing on  $[y_1, y_2)$  and decreasing on  $[y_2, +\infty)$ . Note that  $f(y_1) = 0$  and  $f(y_2) = \frac{\|\Delta W\|_2^2}{4}$ . It follows from (2.1) and (3.1) that, for all  $t \in I$ ,

$$f\left(\sqrt{\|\Delta u(t)\|_{2}^{2} + \|u(t)\|_{2}^{2}}\right) \le E\left(\left(u(t), \frac{\partial}{\partial t}u(t)\right)\right) = E\left((u_{0}, u_{1})\right) < f(y_{2}).$$
(3.4)

Secondly, using the convexity and monotony of f(y) and the conservation of energy, we construct two invariant evolution flows generated by Cauchy problem (1.1) as follows:

$$K_{1} := \left\{ u(t) \in H^{2} \setminus \{0\} \mid \left\| \Delta u(t) \right\|_{2}^{2} + \left\| u(t) \right\|_{2}^{2} < \left\| \Delta W \right\|_{2}^{2}, 0 < E < \frac{\left\| \Delta W \right\|_{2}^{2}}{4} \right\},$$
  
$$K_{2} := \left\{ u(t) \in H^{2} \setminus \{0\} \mid \left\| \Delta u(t) \right\|_{2}^{2} + \left\| u(t) \right\|_{2}^{2} > \left\| \Delta W \right\|_{2}^{2}, 0 < E < \frac{\left\| \Delta W \right\|_{2}^{2}}{4} \right\},$$

where  $E := E((u(t), \frac{\partial}{\partial t}u(t)))$ . Indeed, if  $u_0 \in K_1$ , i.e.,  $\|\Delta u_0\|_2^2 + \|u_0\|_2^2 < \|\Delta W\|_2^2$ , then  $\sqrt{\|\Delta u_0\|_2^2 + \|u_0\|_2^2} < y_2$ . Since f(y) is increasing on  $[0, y_2)$  and for all  $t \in I$ ,

$$f\left(\sqrt{\left\|\Delta u(t)\right\|_{2}^{2}+\left\|u(t)\right\|_{2}^{2}}\right) \leq E\left((u_{0},u_{1})\right) < \frac{\left\|\Delta W\right\|_{2}^{2}}{4} = f_{\max} = f(y_{2}).$$
(3.5)

According to the bootstrap and continuity argument, we can show that the corresponding solution u(t,x) satisfies that, for all  $t \in I$ ,

$$\sqrt{\left\|\Delta u(t)\right\|_{2}^{2} + \left\|u(t)\right\|_{2}^{2} < y_{2},\tag{3.6}}$$

which implies that  $K_1$  is invariant. In fact, if (3.6) is not true, then there exists  $t_1 \in I$  such that  $\sqrt{\|\Delta u(t_1)\|_2^2 + \|u(t_1)\|_2^2} \ge y_2$ . Since the corresponding solution  $u(t,x) \in C([0,T); H^2 \times L^2)$  of Cauchy problem (1.1) is continuous with respect to t, there exists  $0 < t_0 \le t_1$  such that

$$\sqrt{\|\Delta u(t_0)\|_2^2 + \|u(t_0)\|_2^2} = y_2.$$

Thus, injecting this into (3.5) with  $t = t_0$ ,

$$f(y_2) = f\left(\sqrt{\left\|\Delta u(t_0)\right\|_2^2 + \left\|u(t_0)\right\|_2^2}\right) \le E\left((u_0, u_1)\right) < \frac{\left\|\Delta W\right\|_2^2}{4} = f_{\max} = f(y_2),$$

which is a contradiction. Hence, (3.6) is true. On the other hand, if  $u_0 \in K_2$ , i.e.,  $\|\Delta u_0\|_2^2 + \|u_0\|_2^2 > \|\Delta W\|_2^2$ , then  $\sqrt{\|\Delta u_0\|_2^2 + \|u_0\|_2^2} > y_2$ . Since f(y) is decreasing on  $[y_2, +\infty)$  and for all  $t \in I$ ,

$$f\left(\sqrt{\left\|\Delta u(t)\right\|_{2}^{2}+\left\|u(t)\right\|_{2}^{2}}\right) \leq E\left((u_{0},u_{1})\right) < \frac{\left\|\Delta W\right\|_{2}^{2}}{4} = f_{\max} = f(y_{2}).$$

According to the bootstrap and continuity argument (see the proof of the invariance of  $K_1$ ), the corresponding solution u(t, x) satisfies that, for all  $t \in I$ ,

$$\sqrt{\left\|\Delta u(t)\right\|_{2}^{2}+\left\|u(t)\right\|_{2}^{2}} > y_{2},$$
(3.7)

which implies that  $K_2$  is invariant.

Finally, we return to the proof of Theorem 3.1. By (3.1) and  $\|\Delta u_0\|_2^2 + \|u_0\|_2^2 < \|\Delta W\|_2^2$ , we get  $u_0 \in K_1$ . Applying the invariant of  $K_1$ , (3.2) is true and the solution u(t, x) of Cauchy problem (1.1) exists globally by the local well-posedness (see Proposition 2.1). This completes part (i) of the proof.

On the other hand, (3.1) and  $\|\Delta u_0\|_2^2 + \|u_0\|_2^2 > \|\Delta W\|_2^2$  imply  $u_0 \in K_2$ . Applying the invariant of  $K_2$ , (3.7) is true. According to the conservation of energy and assumption (3.1), we deduce that, for all  $t \in I$ ,

$$\|u(t)\|_{4}^{4} = -4E((u_{0}, u_{1})) + 2\left\|\frac{\partial}{\partial t}u(t)\right\|_{2}^{2} + 2\|\Delta u(t)\|_{2}^{2} + 2\|u(t)\|_{2}^{2}$$
  
$$> -\|\Delta W\|_{2}^{2} + 2\left\|\frac{\partial}{\partial t}u(t)\right\|_{2}^{2} + 2\|\Delta u(t)\|_{2}^{2} + 2\|u(t)\|_{2}^{2}.$$
(3.8)

Letting  $J(t) := \int |u(t,x)|^2 dx$  and computing the derivatives of J(t), we see that, for all  $t \in I$ ,  $J'(t) = 2 \int u(t) \frac{\partial}{\partial t} u(t) dx$  and

$$J''(t) = 2 \int \left( \left| \frac{\partial}{\partial t} u(t) \right|^2 + \left| u(t) \right|^4 - \left| \Delta u(t) \right|^2 - \left| u(t) \right|^2 \right) dx.$$
(3.9)

Inject (3.8) into (3.9). For all  $t \in I$ ,

$$J''(t) \ge 6 \left\| \frac{\partial}{\partial t} u(t) \right\|_{2}^{2} - 2 \|\Delta W\|_{2}^{2} + 2 \|\Delta u(t)\|_{2}^{2} + 2 \|u(t)\|_{2}^{2} > 6 \left\| \frac{\partial}{\partial t} u(t) \right\|_{2}^{2},$$
(3.10)

which implies that J''(t) is positive and has a lower bound for all  $t \in I$ . Applying the Hölder inequality to J'(t), we get

$$\left(J'(t)\right)^2 \leq 4 \left\| u(t) \right\|_2^2 \left\| \frac{\partial}{\partial t} u(t) \right\|_2^2$$

for all  $t \in I$ . Multiplying (3.10) with J(t), we deduce that, for all  $t \in I$ ,

$$J(t)J''(t) > 6 \left\| \frac{\partial}{\partial t} u(t) \right\|_{2}^{2} \left\| u(t) \right\|_{2}^{2} > \frac{3}{2} (J'(t))^{2},$$
(3.11)

and there exists  $t_0 > 0$  such that J'(t) > 0 for all  $t > t_0$ . Therefore, as the proof of Theorem 3.1, the solution u(t, x) of Cauchy problem (1.1) blows up in finite time  $0 < T < +\infty$ .  $\Box$ 

Now, we investigate the sharp criteria of blow-up and global existence for Cauchy problem (1.1) in the  $H^2$  sub-critical case: p = 3 and d = 6.

**Theorem 3.2** *Let* m = 1, p = 3, and d = 6. Assume that  $(u_0, u_1) \in H^2 \times L^2$  and

$$E((u_0, u_1)) < \frac{\sqrt{3}}{6} \|Q\|_2^2.$$
(3.12)

Then

(i) if  $\|\Delta u_0\|_2 < (\frac{4}{3})^{\frac{1}{4}} \|Q\|_2$ , then the solution u(t,x) of Cauchy problem (1.1) exists globally and u(t,x) satisfies that, for all time t,

$$\left\|\Delta u(t)\right\|_{2} < \left(\frac{4}{3}\right)^{\frac{1}{4}} \|Q\|_{2},\tag{3.13}$$

(ii) if  $\|\Delta u_0\|_2 > (\frac{4}{3})^{\frac{1}{4}} \|Q\|_2$ , then the solution u(t,x) of Cauchy problem (1.1) blows up in finite time  $0 < T < +\infty$ ,

where Q is the ground state of (2.3).

*Proof* It follows from the proof of Theorem 3.1 that we give the main schedule of the proof of Theorem 3.2 in the following. From the sharp generalized Gagliardo–Nirenberg inequality (2.2) with d = 6, we see that, for all  $t \in I$  (maximal existence interval),

$$E\left(\left(u(t), \frac{\partial}{\partial t}u(t)\right)\right) \geq \frac{1}{2} \|\Delta u(t)\|_{2}^{2} + \frac{1}{2} \|u(t)\|_{2}^{2} - \frac{1}{2\|Q\|_{2}^{2}} \|u(t)\|_{2} \|\Delta u(t)\|_{2}^{3}$$
  
$$\geq \frac{1}{2} \|\Delta u(t)\|_{2}^{2} + \frac{1}{2} \|u(t)\|_{2}^{2} - \frac{1}{2} \|u(t)\|_{2}^{2} - \frac{1}{8\|Q\|_{2}^{4}} \|\Delta u(t)\|_{2}^{6}$$
  
$$\geq \frac{1}{2} \|\Delta u(t)\|_{2}^{2} - \frac{1}{8\|Q\|_{2}^{4}} \|\Delta u(t)\|_{2}^{6}.$$
(3.14)

Define a function f(y) on the interval  $[0, +\infty)$  by

$$f(y) = \frac{1}{2}y^2 - \frac{1}{8\|Q\|_2^4}y^6$$

We see that  $y_1 = 0$  is the minimizer of f(y) and  $y_2 = (\frac{4}{3})^{\frac{1}{4}} ||Q||_2$  is the maximum of f(y). Meanwhile, f(y) is increasing on  $[y_1, y_2)$  and decreasing on  $[y_2, +\infty)$ . Note that  $f(y_1) = 0$  and  $f(y_2) = \frac{2\sqrt{3}}{9} ||Q||_2^2$ . By (2.1) and (3.12), we see that, for all  $t \in I$ ,

$$f\left(\left\|\Delta u(t)\right\|_{2}\right) \leq E\left(\left(u(t), \frac{\partial}{\partial t}u(t)\right)\right) = E\left((u_{0}, u_{1})\right) < \frac{\sqrt{3}}{6} \|Q\|_{2}^{2} < f(y_{2}).$$
(3.15)

Then, as in the proof of Theorem 3.1, we can construct two invariant evolution flows generated by Cauchy problem (1.1) as follows:

$$K_{1} := \left\{ u(t) \in H^{2} \setminus \{0\} \mid \left\| \Delta u(t) \right\|_{2} < \left(\frac{4}{3}\right)^{\frac{1}{4}} \|Q\|_{2}, 0 < E < \frac{\sqrt{3}}{6} \|Q\|_{2}^{2} \right\},$$
  
$$K_{2} := \left\{ u(t) \in H^{2} \setminus \{0\} \mid \left\| \Delta u(t) \right\|_{2} > \left(\frac{4}{3}\right)^{\frac{1}{4}} \|Q\|_{2}, 0 < E < \frac{\sqrt{3}}{6} \|Q\|_{2}^{2} \right\},$$

where  $E := E((u(t), \frac{\partial}{\partial t}u(t)))$ . Finally, using the invariances of  $K_1$  and  $K_2$ , we can complete the proof of Theorem 3.2. (3.12) and  $\|\Delta u_0\|_2 < (\frac{4}{3})^{\frac{1}{4}} \|Q\|_2$  imply that  $u_0 \in K_1$ . Applying the invariant of  $K_1$ , (3.13) is true and the solution u(t, x) of Cauchy problem (1.1) exists globally by Proposition 2.1. This completes the proof of part (i).

(3.12) and  $\|\Delta u_0\|_2 > (\frac{4}{3})^{\frac{1}{4}} \|Q\|_2$  imply that  $u_0 \in K_2$ . Applying the invariant of  $K_2$ , (2.1), and (3.12), we deduce that, for all  $t \in I$ ,

$$2 \|u(t)\|_{4}^{4} = -8E((u_{0}, u_{1})) + 4 \left\|\frac{\partial}{\partial t}u(t)\right\|_{2}^{2} + 4 \|\Delta u(t)\|_{2}^{2} + 4 \|u(t)\|_{2}^{2}$$
$$> -\frac{4\sqrt{3}}{3} \|Q\|_{2}^{2} + 4 \left\|\frac{\partial}{\partial t}u(t)\right\|_{2}^{2} + 4 \|\Delta u(t)\|_{2}^{2} + 4 \|u(t)\|_{2}^{2}.$$
(3.16)

Letting  $J(t) := \int |u(t,x)|^2 dx$ , by some basic computations, we deduce that, for all  $t \in I$ ,  $J'(t) = 2 \int u(t) \frac{\partial}{\partial t} u(t) dx$  and

$$J''(t) = 2 \int \left( \left| \frac{\partial}{\partial t} u(t) \right|^2 + \left| u(t) \right|^4 - \left| \Delta u(t) \right|^2 - \left| u(t) \right|^2 \right) dx.$$
(3.17)

It follows from (3.16) and (3.17) that, for all  $t \in I$ ,

$$J''(t) > 6 \left\| \frac{\partial}{\partial t} u(t) \right\|_{2}^{2} - \frac{4\sqrt{3}}{3} \|Q\|_{2}^{2} + 2 \|\Delta u(t)\|_{2}^{2} + 2 \|u(t)\|_{2}^{2} \ge 6 \left\| \frac{\partial}{\partial t} u(t) \right\|_{2}^{2},$$
(3.18)

which implies that J''(t) is positive and has a lower bound for all  $t \in I$ . Applying the Hölder inequality, we get  $J'(t)^2 \le 4 \|u(t)\|_2^2 \|\frac{\partial}{\partial t}u(t)\|_2^2$ . Multiplying (3.18) with J(t), one deduces that, for all  $t \in I$ ,

$$J(t)J''(t) > 6 \left\| \frac{\partial}{\partial t} u(t) \right\|_{2}^{2} \| u(t) \|_{2}^{2} > \frac{3}{2}J'(t)^{2}.$$
(3.19)

Thus, as the proof of Theorem 3.1, the solution u(t,x) of Cauchy problem (1.1) blows up in finite time  $0 < T < +\infty$ .

#### Acknowledgements

The authors would like to thank the reviewers for their helpful comments and suggestions.

#### Funding

This work is supported by the National Natural Science Foundation of China (No. 11871138).

#### Abbreviations

Not applicable.

#### Availability of data and materials

Data sharing not applicable to this article as no datasets were generated or analyzed during the current study.

#### **Competing interests**

The authors declare that they have no competing interests.

#### Authors' contributions

JQ and CYZ have the same contribution to this work. All authors read and approved the final manuscript.

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#### Received: 5 December 2018 Accepted: 4 February 2019 Published online: 12 February 2019

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